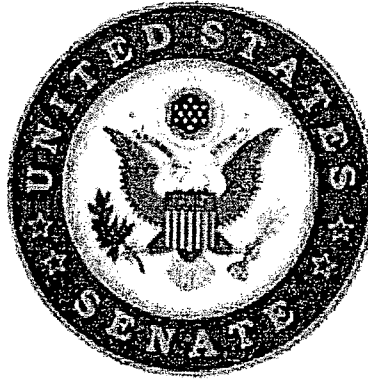


SELECT COMMITTEE ON
INTELLIGENCE

UNITED STATES SENATE



QUESTIONNAIRE FOR COMPLETION BY
PRESIDENTIAL NOMINEES

**SELECT COMMITTEE ON INTELLIGENCE
UNITED STATES SENATE**

**QUESTIONNAIRE FOR COMPLETION BY
PRESIDENTIAL NOMINEES**

PART A - BIOGRAPHICAL INFORMATION

1. NAME: David Brent Buckley
2. DATE AND PLACE OF BIRTH: November 27, 1957; Melbourne, Florida.
3. MARITAL STATUS: Married
4. SPOUSE'S NAME: Janice Gayle Howard Buckley
5. SPOUSE'S MAIDEN NAME IF APPLICABLE: Howard
6. NAMES AND AGES OF CHILDREN:

<u>NAME</u>	<u>AGE</u>
[Information Redacted]	[Information Redacted]

7. EDUCATION SINCE HIGH SCHOOL:

<u>INSTITUTION</u>	<u>DATES ATTENDED</u>	<u>DEGREE RECEIVED</u>	<u>DATE OF DEGREE</u>
University of Maryland University College	1978-1987	B.S.	December 1987

8. EMPLOYMENT RECORD (LIST ALL POSITIONS HELD SINCE COLLEGE, INCLUDING MILITARY SERVICE. INDICATE NAME OF EMPLOYER, POSITION, TITLE OR DESCRIPTION, LOCATION, AND DATES OF EMPLOYMENT.)

<u>EMPLOYER</u>	<u>POSITION/TITLE</u>	<u>LOCATION</u>	<u>DATES</u>
Deloitte Consulting LLP	Senior Manager	Washington DC Arlington VA	2007-present
House Permanent Select Committee on Intelligence	Minority Staff Director	Washington DC	2005-2007
Treasury Inspector General for Tax Administration	Assistant IG for Investigations	Washington DC	1999-2005

Treasury Department	Senior Advisor	Washington DC	1998-1999
General Accounting Office, Office of Special Investigations	Assistant Director	Washington DC	1998
Department of Defense Inspector General	Special Assistant to the Inspector General	Arlington VA	1995-1998
Senate Permanent Subcommittee on Investigations (Governmental Affairs)	Investigator, then Chief Investigator	Washington DC	1987-1995
US Air Force Office of Special Investigation	civilian Special Agent	Andrews AFB MD	1984-1987
US Air Force Active Reserves	enlisted, AFOSI Special Agent	Washington DC	1984-1992
US Air Force Active Duty	enlisted, AFOSI Security Police,	Langley AFB, VA Bolling AFB DC Kaiserslautern, Germany	1976-1984

9. GOVERNMENT EXPERIENCE (INDICATE EXPERIENCE IN OR ASSOCIATION WITH FEDERAL, STATE, OR LOCAL GOVERNMENTS, INCLUDING ADVISORY, CONSULTATIVE, HONORARY, OR OTHER PART-TIME SERVICE OR POSITION. DO NOT REPEAT INFORMATION ALREADY PROVIDED IN QUESTION 8):

See my federal civilian and military service outlined in question 8. In addition, from 2007 to the present, I have served as a consultant to the Office of the Deputy Director of National Intelligence for Analysis (Analytic Transformation and Technology), the Office of the Undersecretary of Defense for Intelligence, the Department of Homeland Security and U.S. Immigration and Customs Enforcement.

10. INDICATE ANY SPECIALIZED INTELLIGENCE OR NATIONAL SECURITY EXPERTISE YOU HAVE ACQUIRED HAVING SERVED IN THE POSITIONS DESCRIBED IN QUESTIONS 8 AND/OR 9.

Counterintelligence collections and analysis, AFOSI, 1982-1987
 Counterespionage case officer, AFOSI, 1984-1987
 Graduated Military Operations Training Course (MOTC) 1987
 Congressional investigation of the US Government's ability to protect against espionage abroad, US Senate PSI, 1987-1988
 Intelligence community legislation, policy and oversight, HPSCI staff, Minority Staff Director, 2005-2007
 Intelligence community analytic transformation and technology, information sharing (consulting) ODNI, 2009-2010

11. HONORS AND AWARDS (PROVIDE INFORMATION ON SCHOLARSHIPS, FELLOWSHIPS, HONORARY DEGREES, MILITARY DECORATIONS, CIVILIAN SERVICE CITATIONS, OR ANY OTHER SPECIAL RECOGNITION FOR OUTSTANDING PERFORMANCE OR ACHIEVEMENT):

Secretary of Defense Medal for Outstanding Public Service, 1998
 Senate Permanent Subcommittee on Investigations Resolution, 1995
 USAF Meritorious Service Medal, 1990
 USAF Commendation Medals (2), 1984
 USAF Achievement Medals (2), 1982, 1991

12. ORGANIZATIONAL AFFILIATIONS (LIST MEMBERSHIPS IN AND OFFICES HELD WITHIN THE LAST TEN YEARS IN ANY PROFESSIONAL, CIVIC, FRATERNAL, BUSINESS, SCHOLARLY, CULTURAL, CHARITABLE, OR OTHER SIMILAR ORGANIZATIONS):

<u>ORGANIZATION</u>	<u>OFFICE HELD</u>	<u>DATES</u>
Izaak Walton League, Arlington Fairfax Chapter	Member	1998-2008
Springfield VA Swim and Racquet Club	Member	1990-present
National Rifle Association	Member	1973-2010
Senior Executives Association	Member	1999-present w/breaks
Association of former AFOSI Agents	Member	1990-present w/breaks
Federal Executive Institute Alumni Association	Member	1998-present w/breaks
Intelligence and National Security Alliance	Member	2007-present
Boy Scouts of America	Asst Scoutmaster, Unit Commissioner, various	1995-present
Fresta Valley Christian School	Board Member	2009-present
International Association of Chiefs of Police	Member	1999-2009 w/breaks

13. PUBLISHED WRITINGS AND SPEECHES (LIST THE TITLES, PUBLISHERS, AND PUBLICATION DATES OF ANY BOOKS, ARTICLES, REPORTS, OR OTHER PUBLISHED MATERIALS YOU HAVE AUTHORED. ALSO LIST ANY PUBLIC SPEECHES YOU HAVE MADE WITHIN THE LAST TEN YEARS FOR WHICH THERE IS A TEXT OR TRANSCRIPT. TO THE EXTENT POSSIBLE, PLEASE PROVIDE A COPY OF EACH SUCH PUBLICATION, TEXT, OR TRANSCRIPT):

Journal of Public Inquiry, "You've Got Jail: Penetrating Questions about Investigating Computer Intrusion," Fall/Winter 2000 edition, co-authored with Andrew Fried and Daniel Wilkins

PART B - QUALIFICATIONS

14. QUALIFICATIONS (DESCRIBE WHY YOU BELIEVE YOU ARE QUALIFIED TO SERVE IN THE POSITION FOR WHICH YOU HAVE BEEN NOMINATED):

I was privileged to serve in the U.S. Air Force from 1976-1984 and then as a federal civilian employee in the legislative and executive branches of the government, from 1984-2007. My career started with working to protect the Air Force from internal and external criminal threats, first in law enforcement. I then focused on the conduct of investigations on a wide variety of criminal and counterintelligence matters with the Air Force Office of Special Investigations, which is subordinate to the US Air Force Inspector General. I received specialized training in offensive counterespionage operations and the conduct of clandestine HUMINT operations and was a counterespionage case officer for three years. In 1987, I accepted employment as a staff investigator for the U.S. Senate Permanent Subcommittee on Investigations, of the then Senate Committee on Governmental Affairs. While working in the Senate, I conducted, managed and led investigations to examine fraud, waste and abuse in and affecting federal programs, working closely with the U.S. GAO and Inspector General community. As a Senate professional staff member and subcommittee chief investigator, I examined the impact of crime and abuse on federal programs and identified gaps in regulatory oversight which lead to enabling criminal behavior in certain programs.

In 1995, I moved from the Senate to the Department of Defense Office of the Inspector General, where I served as the special assistant to IG Eleanor Hill. There, I worked primarily with the deputy and assistant inspectors general on organizational management and leadership issues and on special matters with the Office of the Secretary of Defense and with the IGs of the State Department, Justice Department and CIA.

In 1998, I worked at the U.S. General Accounting Office as the Assistant Director for Defense and National Security matters in the Office of Investigations, before being asked to serve at the Treasury Department to assist in the transition of the criminal investigative element of the IRS Inspection Service into the just-created Treasury Inspector General for Tax Administration (TIGTA). I was hired as an Assistant Inspector General for Investigations. The Office of Investigations consisted of over 500 FTE with approximately 55 field locations, and was focused on the conduct of investigations and operations to protect federal tax administration of internal and external criminal threats.

In 2005, I was asked by the minority members of the House Permanent Select Committee on Intelligence to join the committee staff to conduct investigative oversight of the intelligence community. However, shortly after joining the HSPCI staff, I was selected as minority staff director, where I lead the staff and was a key intermediary with IC leadership.

I have had the privilege of serving with and learning from outstanding public servants. And, I have had the honor of directly serving some of the best and the brightest minds in the government, including Senator Sam Nunn and Congresswoman Jane Harman. I have experience working with the highest levels of government and have in-depth experience conducting oversight of government operations and in leading auditors, evaluators and investigators. I have profound respect for the men and women serving our country in the intelligence community and understand the tremendous pressures they work under as they protect the nation by collecting, analyzing and producing knowledge for our policymakers and war fighters.

I also have great regard for the rule of law and governance of conduct. I have served in two statutory offices of Inspectors General – DoD and TIGTA and have direct experience in the conduct of independent, fair, thorough and balanced oversight of sensitive government operations. I fully respect and honor the balance of power and checks and balances established by the Constitution and as codified in Title 5 and Title 50 with respect to the Inspectors General of the United States.

PART C - POLITICAL AND FOREIGN AFFILIATIONS

15. POLITICAL ACTIVITIES (LIST ANY MEMBERSHIPS OR OFFICES HELD IN OR FINANCIAL CONTRIBUTIONS OR SERVICES RENDERED TO, ANY POLITICAL PARTY, ELECTION COMMITTEE, POLITICAL ACTION COMMITTEE, OR INDIVIDUAL CANDIDATE DURING THE LAST TEN YEARS):

I did not participate in political campaigns while I served in the government. I was a local campaign volunteer for Obama for America after my departure from the federal government, from August-November 2008.

Based on my review of bank and credit card statements, I have determined that I made the following contributions:

Obama For America – Mar. 2008, \$50.00
Obama For America – May 2008, \$30.00
Obama For America – Aug. 2008, \$25.00
Obama For America – Nov. 2008, \$60.00
Democratic National Committee – \$10.00 per month recurring contribution estimated from Feb. 2006–Jan. 2009 (\$240.00 total)
Democratic National Committee - July 2010 \$43.00
Democratic National Committee – Aug. 2007, \$50.00
Democratic National Committee – Dec. 2004, \$50.00

16. CANDIDACY FOR PUBLIC OFFICE (FURNISH DETAILS OF ANY CANDIDACY FOR ELECTIVE PUBLIC OFFICE):

None.

17. FOREIGN AFFILIATIONS

(NOTE: QUESTIONS 17A AND B ARE NOT LIMITED TO RELATIONSHIPS REQUIRING REGISTRATION UNDER THE FOREIGN AGENTS REGISTRATION ACT. QUESTIONS 17A, B, AND C DO NOT CALL FOR A POSITIVE RESPONSE IF THE REPRESENTATION OR TRANSACTION WAS AUTHORIZED BY THE UNITED STATES GOVERNMENT IN CONNECTION WITH YOUR OR YOUR SPOUSE'S EMPLOYMENT IN GOVERNMENT SERVICE.)

A. HAVE YOU OR YOUR SPOUSE EVER REPRESENTED IN ANY CAPACITY (E.G. EMPLOYEE, ATTORNEY, OR POLITICAL/BUSINESS CONSULTANT), WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No.

B. HAVE ANY OF YOUR OR YOUR SPOUSE'S ASSOCIATES REPRESENTED, IN ANY CAPACITY, WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No.

C. DURING THE PAST TEN YEARS, HAVE YOU OR YOUR SPOUSE RECEIVED ANY COMPENSATION FROM, OR BEEN INVOLVED IN ANY FINANCIAL OR BUSINESS TRANSACTIONS WITH, A FOREIGN GOVERNMENT OR ANY ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No.

D. HAVE YOU OR YOUR SPOUSE EVER REGISTERED UNDER THE FOREIGN AGENTS REGISTRATION ACT? IF SO, PLEASE PROVIDE DETAILS.

No.

18. DESCRIBE ANY LOBBYING ACTIVITY DURING THE PAST TEN YEARS, OTHER THAN IN AN OFFICIAL U.S. GOVERNMENT CAPACITY, IN WHICH YOU OR YOUR SPOUSE HAVE ENGAGED FOR THE PURPOSE OF DIRECTLY OR INDIRECTLY INFLUENCING THE PASSAGE, DEFEAT, OR MODIFICATION OF FEDERAL LEGISLATION, OR FOR THE PURPOSE OF AFFECTING THE ADMINISTRATION AND EXECUTION OF FEDERAL LAW OR PUBLIC POLICY.

None.

PART D - FINANCIAL DISCLOSURE AND CONFLICT OF INTEREST

19. DESCRIBE ANY EMPLOYMENT, BUSINESS RELATIONSHIP, FINANCIAL TRANSACTION, INVESTMENT, ASSOCIATION, OR ACTIVITY (INCLUDING, BUT NOT LIMITED TO, DEALINGS WITH THE FEDERAL GOVERNMENT ON YOUR OWN BEHALF OR ON BEHALF OF A CLIENT), WHICH COULD CREATE, OR APPEAR TO CREATE, A CONFLICT OF INTEREST IN THE POSITION TO WHICH YOU HAVE BEEN NOMINATED.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Central Intelligence Agency's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into

with the Central Intelligence Agency's designated agency ethics official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

20. DO YOU INTEND TO SEVER ALL BUSINESS CONNECTIONS WITH YOUR PRESENT EMPLOYERS, FIRMS, BUSINESS ASSOCIATES AND/OR PARTNERSHIPS, OR OTHER ORGANIZATIONS IN THE EVENT THAT YOU ARE CONFIRMED BY THE SENATE? IF NOT, PLEASE EXPLAIN.

Yes.

21. DESCRIBE THE FINANCIAL ARRANGEMENTS YOU HAVE MADE OR PLAN TO MAKE, IF YOU ARE CONFIRMED, IN CONNECTION WITH SEVERANCE FROM YOUR CURRENT POSITION. PLEASE INCLUDE SEVERANCE PAY, PENSION RIGHTS, STOCK OPTIONS, DEFERRED INCOME ARRANGEMENTS, AND ANY AND ALL COMPENSATION THAT WILL OR MIGHT BE RECEIVED IN THE FUTURE AS A RESULT OF YOUR CURRENT BUSINESS OR PROFESSIONAL RELATIONSHIPS.

I will receive compensation from Deloitte Consulting LLP for any unused, earned personal time off upon resignation. I am a vested member of Deloitte's defined benefit pension plan and will receive a pension upon reaching age 62. I will receive a performance award on September 3, 2010 for performance during the fiscal year which ended on May 31, 2010.

22. DO YOU HAVE ANY PLANS, COMMITMENTS, OR AGREEMENTS TO PURSUE OUTSIDE EMPLOYMENT, WITH OR WITHOUT COMPENSATION, DURING YOUR SERVICE WITH THE GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No.

23. AS FAR AS CAN BE FORESEEN, STATE YOUR PLANS AFTER COMPLETING GOVERNMENT SERVICE. PLEASE SPECIFICALLY DESCRIBE ANY AGREEMENTS OR UNDERSTANDINGS, WRITTEN OR UNWRITTEN, CONCERNING EMPLOYMENT AFTER LEAVING GOVERNMENT SERVICE. IN PARTICULAR, DESCRIBE ANY AGREEMENTS, UNDERSTANDINGS, OR OPTIONS TO RETURN TO YOUR CURRENT POSITION.

I have no formal or informal plans, agreements, or understandings with others.

24. IF YOU ARE PRESENTLY IN GOVERNMENT SERVICE, DURING THE PAST FIVE YEARS OF SUCH SERVICE, HAVE YOU RECEIVED FROM A PERSON OUTSIDE OF GOVERNMENT AN OFFER OR EXPRESSION OF INTEREST TO EMPLOY YOUR SERVICES AFTER YOU LEAVE GOVERNMENT SERVICE? IF YES, PLEASE PROVIDE DETAILS.

N/A.

25. IS YOUR SPOUSE EMPLOYED? IF YES AND THE NATURE OF THIS EMPLOYMENT IS RELATED IN ANY WAY TO THE POSITION FOR WHICH YOU ARE SEEKING CONFIRMATION, PLEASE INDICATE YOUR SPOUSE'S EMPLOYER, THE POSITION, AND THE LENGTH OF TIME THE

POSITION HAS BEEN HELD. IF YOUR SPOUSE'S EMPLOYMENT IS NOT RELATED TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED, PLEASE SO STATE.

Yes, however my wife's employment as a realtor is unrelated to the position to which I have been nominated. She would not knowingly accept, as a client, an employee of the Central Intelligence Agency during my service at the CIA.

26. LIST BELOW ALL CORPORATIONS, PARTNERSHIPS, FOUNDATIONS, TRUSTS, OR OTHER ENTITIES TOWARD WHICH YOU OR YOUR SPOUSE HAVE FIDUCIARY OBLIGATIONS OR IN WHICH YOU OR YOUR SPOUSE HAVE HELD DIRECTORSHIPS OR OTHER POSITIONS OF TRUST DURING THE PAST FIVE YEARS.

<u>NAME OF ENTITY</u>	<u>POSITION</u>	<u>DATES HELD</u>	<u>SELF OR SPOUSE</u>
Fresta Valley Christian School	Board Member	October 2009-present	Self (no compensation)

27. LIST ALL GIFTS EXCEEDING \$100 IN VALUE RECEIVED DURING THE PAST FIVE YEARS BY YOU, YOUR SPOUSE, OR YOUR DEPENDENTS. (NOTE: GIFTS RECEIVED FROM RELATIVES AND GIFTS GIVEN TO YOUR SPOUSE OR DEPENDENT NEED NOT BE INCLUDED UNLESS THE GIFT WAS GIVEN WITH YOUR KNOWLEDGE AND ACQUIESCENCE AND YOU HAD REASON TO BELIEVE THE GIFT WAS GIVEN BECAUSE OF YOUR OFFICIAL POSITION.)

I received a pair of boots, as a gift, from Chairman Silvestre Reyes, HPSCI, upon my departure from the HPSCI in March 2007.

I received an iPod iTouch 8gb as a gift from several Deloitte principals and employees in April 2009.

28. LIST ALL SECURITIES, REAL PROPERTY, PARTNERSHIP INTERESTS, OR OTHER INVESTMENTS OR RECEIVABLES WITH A CURRENT MARKET VALUE (OR, IF MARKET VALUE IS NOT ASCERTAINABLE, ESTIMATED CURRENT FAIR VALUE) IN EXCESS OF \$1,000. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE A OF THE DISCLOSURE FORMS OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CURRENT VALUATIONS ARE USED.)

In addition to the items listed on Schedule A of my SF-278, which has been provided to this Committee:

<u>DESCRIPTION OF PROPERTY</u>	<u>VALUE</u>	<u>METHOD OF VALUATION</u>
Residence, VA	\$620,000	comparable sales

[Information Redacted]

[Information Redacted]

29. LIST ALL LOANS OR OTHER INDEBTEDNESS (INCLUDING ANY CONTINGENT LIABILITIES) IN EXCESS OF \$10,000. EXCLUDE A MORTGAGE ON YOUR PERSONAL RESIDENCE UNLESS IT IS RENTED OUT, AND LOANS SECURED BY AUTOMOBILES, HOUSEHOLD FURNITURE, OR APPLIANCES. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE C OF THE DISCLOSURE FORM OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CONTINGENT LIABILITIES ARE ALSO INCLUDED.)

<u>NATURE OF OBLIGATION</u>	<u>NAME OF OBLIGEE</u>	<u>AMOUNT</u>
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None.

30. ARE YOU OR YOUR SPOUSE NOW IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION? HAVE YOU OR YOUR SPOUSE BEEN IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION IN THE PAST TEN YEARS? HAVE YOU OR YOUR SPOUSE EVER BEEN REFUSED CREDIT OR HAD A LOAN APPLICATION DENIED? IF THE ANSWER TO ANY OF THESE QUESTIONS IS YES, PLEASE PROVIDE DETAILS.

No.

31. LIST THE SPECIFIC SOURCES AND AMOUNTS OF ALL INCOME RECEIVED DURING THE LAST FIVE YEARS, INCLUDING ALL SALARIES, FEES, DIVIDENDS, INTEREST, GIFTS, RENTS, ROYALTIES, PATENTS, HONORARIA, AND OTHER ITEMS EXCEEDING \$200. (COPIES OF U.S. INCOME TAX RETURNS FOR THESE YEARS MAY BE SUBSTITUTED HERE, BUT THEIR SUBMISSION IS NOT REQUIRED.)

2005	2006	2007	2008	2009
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[Information Redacted]

32. IF ASKED, WILL YOU PROVIDE THE COMMITTEE WITH COPIES OF YOUR AND YOUR SPOUSE'S FEDERAL INCOME TAX RETURNS FOR THE PAST THREE YEARS?

Yes.

33. LIST ALL JURISDICTIONS IN WHICH YOU AND YOUR SPOUSE FILE ANNUAL INCOME TAX RETURNS.

- A. Federal
- B. Virginia

34. HAVE YOUR FEDERAL OR STATE TAX RETURNS BEEN THE SUBJECT OF AN AUDIT, INVESTIGATION, OR INQUIRY AT ANY TIME? IF SO, PLEASE PROVIDE DETAILS, INCLUDING THE RESULT OF ANY SUCH PROCEEDING.

No.

35. IF YOU ARE AN ATTORNEY, ACCOUNTANT, OR OTHER PROFESSIONAL, PLEASE LIST ALL CLIENTS AND CUSTOMERS WHOM YOU BILLED MORE THAN \$200 WORTH OF SERVICES DURING THE PAST FIVE YEARS. ALSO, LIST ALL JURISDICTIONS IN WHICH YOU ARE LICENSED TO PRACTICE.

N/A

36. DO YOU INTEND TO PLACE YOUR FINANCIAL HOLDINGS AND THOSE OF YOUR SPOUSE AND DEPENDENT MEMBERS OF YOUR IMMEDIATE HOUSEHOLD IN A BLIND TRUST? IF YES, PLEASE FURNISH DETAILS. IF NO, DESCRIBE OTHER ARRANGEMENTS FOR AVOIDING ANY POTENTIAL CONFLICTS OF INTEREST.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the Central Intelligence Agency's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the Central Intelligence Agency's designated agency ethics official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

37. IF APPLICABLE, ATTACH THE LAST THREE YEARS OF ANNUAL FINANCIAL DISCLOSURE FORMS YOU HAVE BEEN REQUIRED TO FILE WITH YOUR AGENCY, DEPARTMENT, OR BRANCH OF GOVERNMENT.

2010 SF 278 (as nominee)
2009 CIA Financial Disclosure Form (FDF)
2007 Congressional Staff financial disclosure statement

PART E - ETHICAL MATTERS

38. HAVE YOU EVER BEEN THE SUBJECT OF A DISCIPLINARY PROCEEDING OR CITED FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT BY, OR BEEN THE SUBJECT OF A COMPLAINT TO, ANY COURT, ADMINISTRATIVE AGENCY, PROFESSIONAL ASSOCIATION, DISCIPLINARY COMMITTEE, OR OTHER PROFESSIONAL GROUP? IF SO, PROVIDE DETAILS.
- In 2003 or 2004 I was deposed in connection with an EEOC matter. The deposition related to a Treasury Inspector General for Tax Administration (TIGTA) employee who believed she had been unfairly passed over for promotion and who had complaints about me and other managers at TIGTA. After my interview, I had no further involvement in this matter and do not know how it was resolved, however during the vetting process for this nomination, I learned that I had been one of several supervisors in the employee's management chain to be named in the complaint.
 - During the vetting process for this nomination, I was asked about a complaint alleging I had misused TIGTA resources to order an investigation of a case of identity theft that I had been a victim of in 2003. I never ordered such an investigation, and I had never before heard about the complaint. I do not know whether the complaint was investigated.
39. HAVE YOU EVER BEEN INVESTIGATED, HELD, ARRESTED, OR CHARGED BY ANY FEDERAL, STATE, OR OTHER LAW ENFORCEMENT AUTHORITY FOR VIOLATION OF ANY FEDERAL STATE, COUNTY, OR MUNICIPAL LAW, REGULATION, OR ORDINANCE, OTHER THAN A MINOR

TRAFFIC OFFENSE, OR NAMED AS A DEFENDANT OR OTHERWISE IN ANY INDICTMENT OR INFORMATION RELATING TO SUCH VIOLATION? IF SO, PROVIDE DETAILS.

No.

40. HAVE YOU EVER BEEN CONVICTED OF OR ENTERED A PLEA OF GUILTY OR NOLO CONTENDERE TO ANY CRIMINAL VIOLATION OTHER THAN A MINOR TRAFFIC OFFENSE? IF SO, PROVIDE DETAILS.

No.

41. ARE YOU PRESENTLY OR HAVE YOU EVER BEEN A PARTY IN INTEREST IN ANY ADMINISTRATIVE AGENCY PROCEEDING OR CIVIL LITIGATION? IF SO, PLEASE PROVIDE DETAILS.

No, except in connection with the EEO matter described in question 38.

42. HAVE YOU BEEN INTERVIEWED OR ASKED TO SUPPLY ANY INFORMATION AS A WITNESS OR OTHERWISE IN CONNECTION WITH ANY CONGRESSIONAL INVESTIGATION, FEDERAL, OR STATE AGENCY PROCEEDING, GRAND JURY INVESTIGATION, OR CRIMINAL OR CIVIL LITIGATION IN THE PAST TEN YEARS? IF SO, PROVIDE DETAILS.

I was deposed in 2003 or 2004 in connection with the EEO matter described in question 38. I was also interviewed in 2007 by the FBI as a witness in a leak investigation.

43. HAS ANY BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, DIRECTOR, OR PARTNER BEEN A PARTY TO ANY ADMINISTRATIVE AGENCY PROCEEDING OR CRIMINAL OR CIVIL LITIGATION RELEVANT TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED? IF SO, PROVIDE DETAILS. (WITH RESPECT TO A BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, YOU NEED ONLY CONSIDER PROCEEDINGS AND LITIGATION THAT OCCURRED WHILE YOU WERE AN OFFICER OF THAT BUSINESS.)

No.

44. HAVE YOU EVER BEEN THE SUBJECT OF ANY INSPECTOR GENERAL INVESTIGATION? IF SO, PROVIDE DETAILS.

No.

PART F - SECURITY INFORMATION

45. HAVE YOU EVER BEEN DENIED ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION FOR ANY REASON? IF YES, PLEASE EXPLAIN IN DETAIL.

No.

46. HAVE YOU BEEN REQUIRED TO TAKE A POLYGRAPH EXAMINATION FOR ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION? IF YES, PLEASE EXPLAIN.

Yes. CIA Full Scope Polygraph, 2007; USAF counterintelligence scope polygraph, 1986.

47. HAVE YOU EVER REFUSED TO SUBMIT TO A POLYGRAPH EXAMINATION? IF YES, PLEASE EXPLAIN.

No.

PART G - ADDITIONAL INFORMATION

48. DESCRIBE IN YOUR OWN WORDS THE CONCEPT OF CONGRESSIONAL OVERSIGHT OF U.S. INTELLIGENCE ACTIVITIES. IN PARTICULAR, CHARACTERIZE WHAT YOU BELIEVE TO BE THE OBLIGATIONS OF THE INSPECTOR GENERAL OF THE CENTRAL INTELLIGENCE AGENCY AND THE INTELLIGENCE COMMITTEES OF THE CONGRESS RESPECTIVELY IN THE OVERSIGHT PROCESS.

The Congress, through its duly organized appropriation and select committees on intelligence, conducts high level oversight of the U.S. intelligence activities. The committees, through the annual legislative authorization and appropriation process, receive testimony and written reporting, which form the basis for Congress' knowledge of the activities. The members and staff conduct formal and informal inquiry both in the Washington metropolitan area and in the field, where they probe select matters, providing for more focused and direct oversight of the intelligence community. The SSCI and the HPSCI and the appropriations committees, of course, rely heavily on the executive branch to proactively disclose the intelligence community's activities, principally through the budget requests, budget justification narratives, testimony, program reporting, informal briefings, and through formal hearings and inquiries.

The Congress also depends on the Office of the Inspector General, authorized in 50 USC 403q, to perform objective and effective oversight of CIA programs and operations through investigations, inspections and audits. The IG, while a direct report to the Director, CIA, reports to the intelligence committees, usually through the semiannual report, forwarded by the Director, on matters of significant problems, abuses and deficiencies relating to the Agency's programs. The statute also specifically enumerates events which trigger direct IG reporting to the committees and to the Attorney General on violations of federal law.

49. EXPLAIN YOUR UNDERSTANDING OF THE RESPONSIBILITIES OF THE INSPECTOR GENERAL OF THE CENTRAL INTELLIGENCE AGENCY.

Most of the duties and responsibilities of the Inspector General, CIA are specifically codified in the Central Intelligence Agency Act of 1949 (50 USC 403q). The IG, CIA is under the direct supervision of, and reports directly to the Director of the CIA. The primary role of the IG is to keep the Director, and the Congress, through the Senate and House intelligence committees, fully and currently informed concerning violations of law and regulations pertaining to Agency matters. The IG does this by leadership and management over independent and objective investigations, inspections and audits of Agency programs. The OIG receives and handles complaints concerning matters within the authority of the IG, and also provides leadership and recommends policies designed to promote economy, efficiency, and effectiveness in Agency programs. As a member of the federal government inspector general community, the IG, CIA participates in the Council of the Inspectors General on Integrity and Efficiency and assures that allegations of violations of federal criminal law are provided to the Attorney General.

TO THE CHAIRMAN, SELECT COMMITTEE ON INTELLIGENCE:

In connection with my nomination to be the Inspector General of the Central Intelligence Agency, I hereby express my willingness to respond to requests to appear and testify before any duly constituted committee of the Senate.



Signature

Date: 8/25/2010