

**SELECT COMMITTEE ON
INTELLIGENCE**

UNITED STATES SENATE



**QUESTIONNAIRE FOR COMPLETION BY
PRESIDENTIAL NOMINEES**

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PART A - BIOGRAPHICAL INFORMATION

1. NAME: David C. Gompert
2. DATE AND PLACE OF BIRTH: 10/6/45 Hackensack, New Jersey
3. MARITAL STATUS: Married
4. SPOUSE'S NAME: Cynthia Ann Gompert
5. SPOUSE'S MAIDEN NAME IF APPLICABLE: Cynthia Ann Tuttle
6. NAMES AND AGES OF CHILDREN:

NAME

AGE

Redacted

7. EDUCATION SINCE HIGH SCHOOL:

<u>INSTITUTION</u>	<u>DATES ATTENDED</u>	<u>DEGREE RECEIVED</u>	<u>DATE OF DEGREE</u>
U.S. Naval Academy	1963-1967	BS	June 1967
Princeton University	1971-1973	MPA	June 1973

8. EMPLOYMENT RECORD (LIST ALL POSITIONS HELD SINCE COLLEGE, INCLUDING MILITARY SERVICE. INDICATE NAME OF EMPLOYER, POSITION, TITLE OR DESCRIPTION, LOCATION, AND DATES OF EMPLOYMENT.)

<u>EMPLOYER</u>	<u>POSITION/TITLE</u>	<u>LOCATION</u>	<u>DATES</u>
U.S. Navy	Main Propulsion Officer	Newport, RI	1967-1969
U.S. Navy	Flag Lieutenant	Mayport, FLA	1969-1971
National Security Council	Asst. to Senior Director	White House	1973
State Department	Special Asst. to Secretary	Washington, DC	1973-1975
Council on Foreign Relations	Senior Fellow	New York, NY	1975-1977
State Department	Deputy Director, Pol-Mil Affairs	Washington DC	1977-1981
State Department	Deputy Asst Secretary, European Affairs	Washington DC	1981-1982
State Department	Deputy to Under Sec, Political Affairs	Washington DC	1982-1983

AT&T Corporation	Vice President	Washington DC	1983-1989
Unisys Corporation	Group President	Philadelphia PA	1989-1990
National Security Council	Special Asst to President	White House	1990-1993
RAND Corporation	Vice President	Santa Monica CA	1993-2000
National Defense University	Research Professor	Washington DC	1997-1998
RAND Europe	President	Netherlands	2000-2003
Rand Corporation	Senior Fellow	Washington DC	2003-2009
Coalition Provisional Authority, Iraq	Senior Advisor	Baghdad	2003-2004
National Defense University	Research Professor	Washington DC	2004-2005
Director of National Intelligence	Employee (term-limited)	Washington DC	Aug. 2009-present

9. GOVERNMENT EXPERIENCE (INDICATE EXPERIENCE IN OR ASSOCIATION WITH FEDERAL, STATE, OR LOCAL GOVERNMENTS, INCLUDING ADVISORY, CONSULTATIVE, HONORARY, OR OTHER PART-TIME SERVICE OR POSITION. DO NOT REPEAT INFORMATION ALREADY PROVIDED IN QUESTION 8):

Member of Executive Panel of Chief of Naval Operations, 1995-2009
 Led two special panels for Defense Department, 1996 and 2001
 Member of Board of Advisors, Defense Department Regional Centers, 1996-1998

10. INDICATE ANY SPECIALIZED INTELLIGENCE OR NATIONAL SECURITY EXPERTISE YOU HAVE ACQUIRED HAVING SERVED IN THE POSITIONS DESCRIBED IN QUESTIONS 8 AND/OR 9.

Nuclear strategy, arms control, and non-proliferation
 NATO and other alliance relationships and policies
 Base negotiations and operations
 Arms transfers and export controls
 State-Defense cooperation
 Defense strategy, programs, and budget
 Advanced technology for national security
 Intelligence analysis and priority-setting
 Integration of intelligence into decision-making
 Force planning
 Interagency process reform
 Europe, Middle East, South Asia, Africa, and East Asia
 Relations with China and Russia
 Relations with major European allies and Japan
 Counterinsurgency
 Counterterrorism
 Maritime security
 Space security
 Cyber security
 Exploiting Information Technology in military and intelligence systems
 Ethnic conflict, sectarian conflict, genocide
 Failed and fragile states
 Security sector reform and other aspects of nation-building
 Economic and political development

11. HONORS AND AWARDS (PROVIDE INFORMATION ON SCHOLARSHIPS, FELLOWSHIPS, HONORARY DEGREES, MILITARY DECORATIONS, CIVILIAN SERVICE CITATIONS, OR ANY OTHER SPECIAL RECOGNITION FOR OUTSTANDING PERFORMANCE OR ACHIEVEMENT):

National Defense Medal, 1967

Superior Honor Award, State Department, 1983
Distinguished Service Award, National Defense University, 1997

12. ORGANIZATIONAL AFFILIATIONS (LIST MEMBERSHIPS IN AND OFFICES HELD WITHIN THE LAST TEN YEARS IN ANY PROFESSIONAL, CIVIC, FRATERNAL, BUSINESS, SCHOLARLY, CULTURAL, CHARITABLE, OR OTHER SIMILAR ORGANIZATIONS):

<u>ORGANIZATION</u>	<u>OFFICE HELD</u>	<u>DATES</u>
Council on Foreign Relations	Member	1975-present
International Institute for Strategic Studies	Member	1975-2000
Foreign Policy Association	Fellow	1997-2000
Atlantic-Bruecke	Member	2000-2009
Hopkins House (charity for children)	Trustee	2005-2009

13. PUBLISHED WRITINGS AND SPEECHES (LIST THE TITLES, PUBLISHERS, AND PUBLICATION DATES OF ANY BOOKS, ARTICLES, REPORTS, OR OTHER PUBLISHED MATERIALS YOU HAVE AUTHORED. ALSO LIST ANY PUBLIC SPEECHES YOU HAVE MADE WITHIN THE LAST TEN YEARS FOR WHICH THERE IS A TEXT OR TRANSCRIPT. TO THE EXTENT POSSIBLE, PLEASE PROVIDE A COPY OF EACH SUCH PUBLICATION, TEXT, OR TRANSCRIPT):

See attached lists

PART B - QUALIFICATIONS

14. QUALIFICATIONS (DESCRIBE WHY YOU BELIEVE YOU ARE QUALIFIED TO SERVE IN THE POSITION FOR WHICH YOU HAVE BEEN NOMINATED):

A. Breadth of Experience: My career has had three parts: (1) Senior official in national security establishment, including two assignments on the National Security Council Staff and four assignments at the State Department; (2) Senior corporate executive in the information technology industry; and (3) Executive and researcher at the RAND Corporation. In addition, I have taught at the National Defense University and the U.S. Naval Academy. In the course of this career, I have dealt with and contributed to national security in the following areas (and others):

- Nuclear strategy, arms control, and non-proliferation
- NATO and other alliance relationships and policies
- Base negotiations and operations
- Arms transfers and export controls
- State-Defense cooperation
- Defense strategy, programs, and budget
- Advanced technology for national security
- Force planning
- Interagency process reform
- Europe, Middle East, South Asia, Africa, and East Asia
- Relations with China and Russia
- Relations with major European allies and Japan
- Counterinsurgency
- Counterterrorism
- Maritime security
- Exploiting information technology in military and intelligence systems
- Ethnic conflict, sectarian conflict, genocide
- Failed and fragile states
- Security sector reform and other aspects of nation-building
- Economic and political development

My understanding of these areas – and virtually all aspects of national security – is reflected in (a) my policy contributions, (b) my writings, and (c) my public speaking and teaching.

B. Knowledge and Application of Intelligence: My specific experience with intelligence includes:

- Twenty years as a consumer of all types of intelligence
- Setting national priorities (especially when on the National Security Council staff)
- Strategic review of operations
- Intelligence institution-building (in Iraq and elsewhere)
- Research on interpretation of intelligence on extremism and other matters
- Intelligence methods and products as they relate to nuclear issues and verification
- Managing the incorporation of intelligence into interagency policy-making
- Prescribing improvements in coordination of intelligence and military operations
- Space security
- Cyber security
- Information requirements for effective counterinsurgency

C. Senior Management: In addition to military and government experience, I have held the following senior executive positions in private industry:

- Director of Market Planning, AT&T International
- Vice President, Civil Services, AT&T Federal Systems (\$900 million in annual revenue)
- Vice President, Corporate Development and Planning, Unisys

- President, Systems Management Group, Unisys (1700 employees)
- Vice President and Director of National Defense Research Institute (NDRI - FFRDC), RAND
- President, RAND Europe

D. *Objectivity*: The hallmark of the RAND Corporation and of those divisions of RAND which I managed is independence and objectivity. RAND's sponsors expect, and RAND's charter demands, that all analysis be objective, regardless of purpose and source of funding. Thus, throughout my career in research, all my work and that of others for which I have been responsible have conformed to the highest standard of objectivity. In addition, in all of the senior positions I have held in the national security arms of government, I have always provided, insisted on, and been known for complete objectivity in analysis and decision-making.

PART C - POLITICAL AND FOREIGN AFFILIATIONS

15. POLITICAL ACTIVITIES (LIST ANY MEMBERSHIPS OR OFFICES HELD IN OR FINANCIAL CONTRIBUTIONS OR SERVICES RENDERED TO, ANY POLITICAL PARTY, ELECTION COMMITTEE, POLITICAL ACTION COMMITTEE, OR INDIVIDUAL CANDIDATE DURING THE LAST TEN YEARS): None

16. CANDIDACY FOR PUBLIC OFFICE (FURNISH DETAILS OF ANY CANDIDACY FOR ELECTIVE PUBLIC OFFICE): None

17. FOREIGN AFFILIATIONS: I have worked extensively with allied governments in policy-making and research, all on behalf of or with the full support and involvement of the U.S. Government. I have also helped reform the national security establishments of a number of countries (Europe, Africa, Middle East) at the behest of the U.S. Government. As President of RAND Europe, I supervised work on public policy issues, including but not limited to security, for many allied European countries, with the knowledge of the U.S. Government. As an executive in private industry, I carried out numerous negotiations with foreign governments and companies, all within the constraints of U.S. policy, regulations, and laws (e.g., export controls).

(NOTE: QUESTIONS 17A AND B ARE NOT LIMITED TO RELATIONSHIPS REQUIRING REGISTRATION UNDER THE FOREIGN AGENTS REGISTRATION ACT. QUESTIONS 17A, B, AND C DO NOT CALL FOR A POSITIVE RESPONSE IF THE REPRESENTATION OR TRANSACTION WAS AUTHORIZED BY THE UNITED STATES GOVERNMENT IN CONNECTION WITH YOUR OR YOUR SPOUSE'S EMPLOYMENT IN GOVERNMENT SERVICE.)

A. HAVE YOU OR YOUR SPOUSE EVER REPRESENTED IN ANY CAPACITY (E.G. EMPLOYEE, ATTORNEY, OR POLITICAL/BUSINESS CONSULTANT), WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No

B. HAVE ANY OF YOUR OR YOUR SPOUSE'S ASSOCIATES REPRESENTED, IN ANY CAPACITY, WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No

C. DURING THE PAST TEN YEARS, HAVE YOU OR YOUR SPOUSE RECEIVED ANY COMPENSATION FROM, OR BEEN INVOLVED IN ANY FINANCIAL OR BUSINESS TRANSACTIONS WITH, A FOREIGN GOVERNMENT OR ANY ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

As noted, I supervised or performed RAND research for allied governments at the request of or with the full knowledge of the U.S. Government. I was never compensated for such work except for my regular RAND salary.

D. HAVE YOU OR YOUR SPOUSE EVER REGISTERED UNDER THE FOREIGN AGENTS REGISTRATION ACT? IF SO, PLEASE PROVIDE DETAILS.

No

18. DESCRIBE ANY LOBBYING ACTIVITY DURING THE PAST TEN YEARS, OTHER THAN IN AN OFFICIAL U.S. GOVERNMENT CAPACITY, IN WHICH YOU OR YOUR SPOUSE HAVE ENGAGED FOR THE PURPOSE OF DIRECTLY OR INDIRECTLY INFLUENCING THE PASSAGE, DEFEAT, OR MODIFICATION OF FEDERAL LEGISLATION, OR FOR THE PURPOSE OF AFFECTING THE ADMINISTRATION AND EXECUTION OF FEDERAL LAW OR PUBLIC POLICY.

None

PART D - FINANCIAL DISCLOSURE AND CONFLICT OF INTEREST

19. DESCRIBE ANY EMPLOYMENT, BUSINESS RELATIONSHIP, FINANCIAL TRANSACTION, INVESTMENT, ASSOCIATION, OR ACTIVITY (INCLUDING, BUT NOT LIMITED TO, DEALINGS WITH THE FEDERAL GOVERNMENT ON YOUR OWN BEHALF OR ON BEHALF OF A CLIENT), WHICH COULD CREATE, OR APPEAR TO CREATE, A CONFLICT OF INTEREST IN THE POSITION TO WHICH YOU HAVE BEEN NOMINATED.

None

20. DO YOU INTEND TO SEVER ALL BUSINESS CONNECTIONS WITH YOUR PRESENT EMPLOYERS, FIRMS, BUSINESS ASSOCIATES AND/OR PARTNERSHIPS, OR OTHER ORGANIZATIONS IN THE EVENT THAT YOU ARE CONFIRMED BY THE SENATE? IF NOT, PLEASE EXPLAIN.

I will sever all such relationships.

21. DESCRIBE THE FINANCIAL ARRANGEMENTS YOU HAVE MADE OR PLAN TO MAKE, IF YOU ARE CONFIRMED, IN CONNECTION WITH SEVERANCE FROM YOUR CURRENT POSITION. PLEASE INCLUDE SEVERANCE PAY, PENSION RIGHTS, STOCK OPTIONS, DEFERRED INCOME ARRANGEMENTS, AND ANY AND ALL COMPENSATION THAT WILL OR MIGHT BE RECEIVED IN THE FUTURE AS A RESULT OF YOUR CURRENT BUSINESS OR PROFESSIONAL RELATIONSHIPS.

I have made no such arrangements. I am scheduled to receive a modest defined-benefit pension (\$15,000 per year) from Lucent as of October, 2010.

22. DO YOU HAVE ANY PLANS, COMMITMENTS, OR AGREEMENTS TO PURSUE OUTSIDE EMPLOYMENT, WITH OR WITHOUT COMPENSATION, DURING YOUR SERVICE WITH THE GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No

23. AS FAR AS CAN BE FORESEEN, STATE YOUR PLANS AFTER COMPLETING GOVERNMENT SERVICE. PLEASE SPECIFICALLY DESCRIBE ANY AGREEMENTS OR UNDERSTANDINGS, WRITTEN OR UNWRITTEN, CONCERNING EMPLOYMENT AFTER LEAVING GOVERNMENT SERVICE. IN PARTICULAR, DESCRIBE ANY AGREEMENTS, UNDERSTANDINGS, OR OPTIONS TO RETURN TO YOUR CURRENT POSITION.

No

24. IF YOU ARE PRESENTLY IN GOVERNMENT SERVICE, DURING THE PAST FIVE YEARS OF SUCH SERVICE, HAVE YOU RECEIVED FROM A PERSON OUTSIDE OF GOVERNMENT AN OFFER OR EXPRESSION OF INTEREST TO EMPLOY YOUR SERVICES AFTER YOU LEAVE GOVERNMENT SERVICE? IF YES, PLEASE PROVIDE DETAILS.

No

25. IS YOUR SPOUSE EMPLOYED? IF YES AND THE NATURE OF THIS EMPLOYMENT IS RELATED IN ANY WAY TO THE POSITION FOR WHICH YOU ARE SEEKING CONFIRMATION, PLEASE INDICATE YOUR SPOUSE'S EMPLOYER, THE POSITION, AND THE LENGTH OF TIME THE POSITION HAS BEEN HELD. IF YOUR SPOUSE'S EMPLOYMENT IS NOT RELATED TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED, PLEASE SO STATE.

My wife retired in early 2009 from active management of a small antique and folk art shop (called Eight Hands Round). She still maintains some inventory, a miniscule revenue stream, and a Virginia business license. This is unrelated to the position to which I have been nominated.

26. LIST BELOW ALL CORPORATIONS, PARTNERSHIPS, FOUNDATIONS, TRUSTS, OR OTHER ENTITIES TOWARD WHICH YOU OR YOUR SPOUSE HAVE FIDUCIARY OBLIGATIONS OR IN WHICH YOU OR YOUR SPOUSE HAVE HELD DIRECTORSHIPS OR OTHER POSITIONS OF TRUST DURING THE PAST FIVE YEARS.

I am a trustee of Hopkins House – a charitable agency to help at-risk children. I intend to sever this relationship if confirmed.

27. LIST ALL GIFTS EXCEEDING \$100 IN VALUE RECEIVED DURING THE PAST FIVE YEARS BY YOU, YOUR SPOUSE, OR YOUR DEPENDENTS. (NOTE: GIFTS RECEIVED FROM RELATIVES AND GIFTS GIVEN TO YOUR SPOUSE OR DEPENDENT NEED NOT BE INCLUDED UNLESS THE GIFT WAS GIVEN WITH YOUR KNOWLEDGE AND ACQUIESCENCE AND YOU HAD REASON TO BELIEVE THE GIFT WAS GIVEN BECAUSE OF YOUR OFFICIAL POSITION.)

None

28. LIST ALL SECURITIES, REAL PROPERTY, PARTNERSHIP INTERESTS, OR OTHER INVESTMENTS OR RECEIVABLES WITH A CURRENT MARKET VALUE (OR, IF MARKET VALUE IS NOT ASCERTAINABLE, ESTIMATED CURRENT FAIR VALUE) IN EXCESS OF \$1,000. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE A OF THE DISCLOSURE FORMS OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CURRENT VALUATIONS ARE USED.)

<u>DESCRIPTION OF PROPERTY</u>	<u>VALUE</u>	<u>METHOD OF VALUATION</u>
Home – VA	1,200,000	Assessed Market Value
Home – Maine	350,000	Assessed Market Value

For additional investments, see Schedule A of my OGE Disclosure form: incorporated by reference.

29. LIST ALL LOANS OR OTHER INDEBTEDNESS (INCLUDING ANY CONTINGENT LIABILITIES) IN EXCESS OF \$10,000. EXCLUDE A MORTGAGE ON YOUR PERSONAL RESIDENCE UNLESS IT IS RENTED OUT, AND LOANS SECURED BY AUTOMOBILES, HOUSEHOLD FURNITURE, OR APPLIANCES. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE C OF THE DISCLOSURE FORM OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CONTINGENT LIABILITIES ARE ALSO INCLUDED.)

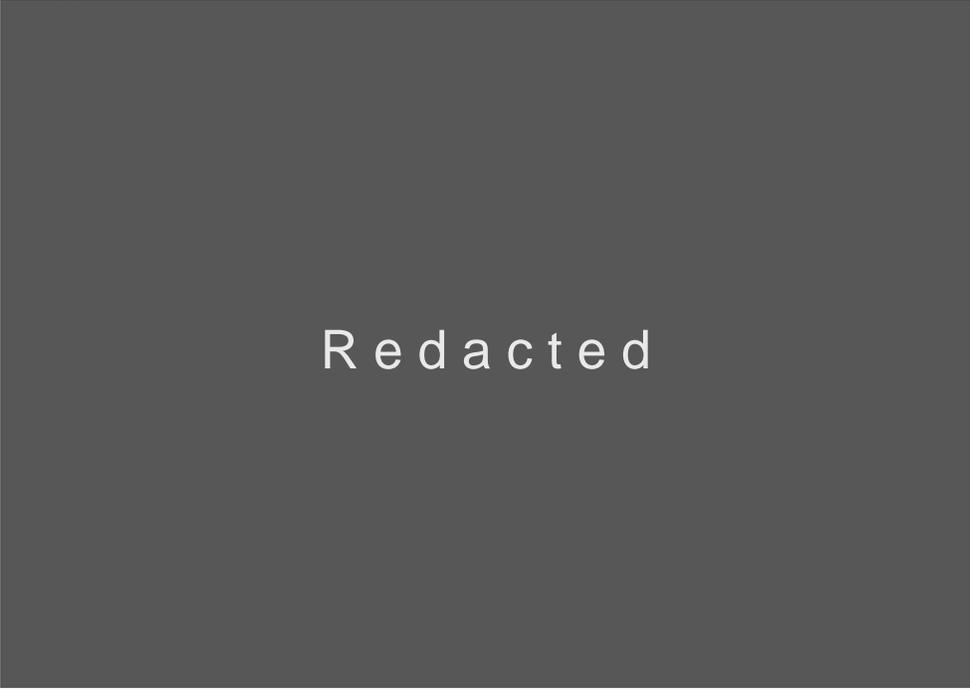
<u>NATURE OF OBLIGATION</u>	<u>NAME OF OBLIGEE</u>	<u>AMOUNT</u>
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None

30. ARE YOU OR YOUR SPOUSE NOW IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION? HAVE YOU OR YOUR SPOUSE BEEN IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION IN THE PAST TEN YEARS? HAVE YOU OR YOUR SPOUSE EVER BEEN REFUSED CREDIT OR HAD A LOAN APPLICATION DENIED? IF THE ANSWER TO ANY OF THESE QUESTIONS IS YES, PLEASE PROVIDE DETAILS.

No

31. LIST THE SPECIFIC SOURCES AND AMOUNTS OF ALL INCOME RECEIVED DURING THE LAST FIVE YEARS, INCLUDING ALL SALARIES, FEES, DIVIDENDS, INTEREST, GIFTS, RENTS, ROYALTIES, PATENTS, HONORARIA, AND OTHER ITEMS EXCEEDING \$200. (COPIES OF U.S. INCOME TAX RETURNS FOR THESE YEARS MAY BE SUBSTITUTED HERE, BUT THEIR SUBMISSION IS NOT REQUIRED.)

	2004	2005	2006	2007	2008	2009
SALARIES	 A large dark grey rectangular area covers the data cells of the table, with the word "Redacted" centered in white text.					
FEES						
ROYALTIES						
DIVIDENDS						
INTEREST						
GIFTS						
RENTS						
OTHER						
Wife's business						
Capital gains						
Tax refund						
Pension						
IRA distribution						
TOTAL						

32. IF ASKED, WILL YOU PROVIDE THE COMMITTEE WITH COPIES OF YOUR AND YOUR SPOUSE'S FEDERAL INCOME TAX RETURNS FOR THE PAST THREE YEARS?

Yes

33. LIST ALL JURISDICTIONS IN WHICH YOU AND YOUR SPOUSE FILE ANNUAL INCOME TAX RETURNS.

U.S. Federal and Commonwealth of Virginia

34. HAVE YOUR FEDERAL OR STATE TAX RETURNS BEEN THE SUBJECT OF AN AUDIT, INVESTIGATION, OR INQUIRY AT ANY TIME? IF SO, PLEASE PROVIDE DETAILS, INCLUDING THE RESULT OF ANY SUCH PROCEEDING.

Several inquiries from IRS, all of which were promptly resolved:

(1) For 2005, we did not list gross proceeds from a bond sale for which the sales price was the same as the cost basis. Upon IRS review, no added capital gains were recognized and therefore no added tax was charged.

(2) For 2005 and 2006, the IRS disallowed claim of IRA deductions for my wife because we exceeded income eligibility. This was the result of our misunderstanding that eligibility for IRA deduction is based on combined income. (Otherwise, my wife qualified for IRA deduction.) We had to pay \$2500.

(3) For 2007, we paid \$2811 in additional tax on net proceeds from sale of mutual funds inadvertently omitted in initial filing.

35. IF YOU ARE AN ATTORNEY, ACCOUNTANT, OR OTHER PROFESSIONAL, PLEASE LIST ALL CLIENTS AND CUSTOMERS WHOM YOU BILLED MORE THAN \$200 WORTH OF SERVICES DURING THE PAST FIVE YEARS. ALSO, LIST ALL JURISDICTIONS IN WHICH YOU ARE LICENSED TO PRACTICE.

Not applicable.

36. DO YOU INTEND TO PLACE YOUR FINANCIAL HOLDINGS AND THOSE OF YOUR SPOUSE AND DEPENDENT MEMBERS OF YOUR IMMEDIATE HOUSEHOLD IN A BLIND TRUST? IF YES, PLEASE FURNISH DETAILS. IF NO, DESCRIBE OTHER ARRANGEMENTS FOR AVOIDING ANY POTENTIAL CONFLICTS OF INTEREST.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the DNI's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the DNI's designated agency ethics official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

37. IF APPLICABLE, ATTACH THE LAST THREE YEARS OF ANNUAL FINANCIAL DISCLOSURE FORMS YOU HAVE BEEN REQUIRED TO FILE WITH YOUR AGENCY, DEPARTMENT, OR BRANCH OF GOVERNMENT.

SF 278 attached

PART E - ETHICAL MATTERS

38. HAVE YOU EVER BEEN THE SUBJECT OF A DISCIPLINARY PROCEEDING OR CITED FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT BY, OR BEEN THE SUBJECT OF A

COMPLAINT TO, ANY COURT, ADMINISTRATIVE AGENCY, PROFESSIONAL ASSOCIATION,
DISCIPLINARY COMMITTEE, OR OTHER PROFESSIONAL GROUP? IF SO, PROVIDE DETAILS.

No

39. HAVE YOU EVER BEEN INVESTIGATED, HELD, ARRESTED, OR CHARGED BY ANY FEDERAL, STATE, OR OTHER LAW ENFORCEMENT AUTHORITY FOR VIOLATION OF ANY FEDERAL STATE, COUNTY, OR MUNICIPAL LAW, REGULATION, OR ORDINANCE, OTHER THAN A MINOR TRAFFIC OFFENSE, OR NAMED AS A DEFENDANT OR OTHERWISE IN ANY INDICTMENT OR INFORMATION RELATING TO SUCH VIOLATION? IF SO, PROVIDE DETAILS.

No

40. HAVE YOU EVER BEEN CONVICTED OF OR ENTERED A PLEA OF GUILTY OR NOLO CONTENDERE TO ANY CRIMINAL VIOLATION OTHER THAN A MINOR TRAFFIC OFFENSE? IF SO, PROVIDE DETAILS.

No

41. ARE YOU PRESENTLY OR HAVE YOU EVER BEEN A PARTY IN INTEREST IN ANY ADMINISTRATIVE AGENCY PROCEEDING OR CIVIL LITIGATION? IF SO, PLEASE PROVIDE DETAILS.

No

(My wife was once involved in a minor civil litigation regarding her shop. There has been no judgment against her.)

42. HAVE YOU BEEN INTERVIEWED OR ASKED TO SUPPLY ANY INFORMATION AS A WITNESS OR OTHERWISE IN CONNECTION WITH ANY CONGRESSIONAL INVESTIGATION, FEDERAL, OR STATE AGENCY PROCEEDING, GRAND JURY INVESTIGATION, OR CRIMINAL OR CIVIL LITIGATION IN THE PAST TEN YEARS? IF SO, PROVIDE DETAILS.

No

43. HAS ANY BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, DIRECTOR, OR PARTNER BEEN A PARTY TO ANY ADMINISTRATIVE AGENCY PROCEEDING OR CRIMINAL OR CIVIL LITIGATION RELEVANT TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED? IF SO, PROVIDE DETAILS. (WITH RESPECT TO A BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, YOU NEED ONLY CONSIDER PROCEEDINGS AND LITIGATION THAT OCCURRED WHILE YOU WERE AN OFFICER OF THAT BUSINESS.)

No

44. HAVE YOU EVER BEEN THE SUBJECT OF ANY INSPECTOR GENERAL INVESTIGATION? IF SO, PROVIDE DETAILS.

No

PART F - SECURITY INFORMATION

45. HAVE YOU EVER BEEN DENIED ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION FOR ANY REASON? IF YES, PLEASE EXPLAIN IN DETAIL.

No

46. HAVE YOU BEEN REQUIRED TO TAKE A POLYGRAPH EXAMINATION FOR ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION? IF YES, PLEASE EXPLAIN.

Yes; as a standard procedure in connection with special access clearances at RAND.

47. HAVE YOU EVER REFUSED TO SUBMIT TO A POLYGRAPH EXAMINATION? IF YES, PLEASE EXPLAIN.

No

PART G - ADDITIONAL INFORMATION

48. DESCRIBE IN YOUR OWN WORDS THE CONCEPT OF CONGRESSIONAL OVERSIGHT OF U.S. INTELLIGENCE ACTIVITIES. IN PARTICULAR, CHARACTERIZE WHAT YOU BELIEVE TO BE THE OBLIGATIONS OF THE PRINCIPAL DEPUTY DIRECTOR OF NATIONAL INTELLIGENCE AND THE INTELLIGENCE COMMITTEES OF THE CONGRESS RESPECTIVELY IN THE OVERSIGHT PROCESS.

The leadership of the intelligence community answers to both the President and the Congress – the President as chief executive and the Congress as the body representative of the American people. This dual accountability is fundamental and especially critical in the domain of intelligence. The collection of intelligence information and conduct of intelligence activities abroad, as well as the relationship of foreign to domestic intelligence, are highly sensitive, both in regard to national security and in regard to protecting the rights of American citizens. The American people hold the Executive Branch and the Congress *together* responsible for safeguarding their security and their rights, and they should consider it impermissible for the Executive Branch and the Congress to fail to work closely, openly and trustfully in meeting that singular responsibility. The attacks of 9/11/2001 have made effective Executive-Congressional cooperation in intelligence not merely an expectation but an imperative. While the intelligence community and its leadership operate within the interagency process of the Executive Branch, their link to Congress is no less important. This puts a premium on a system of Congressional oversight that is governed not only by legal requirements but also by the energetic efforts of the intelligence community's leadership to engage the intelligence committees. In the expectation that the intelligence committees will provide helpful and thoughtful oversight, the leaders of the intelligence community should have a strong commitment to keep them well, currently, and fully informed. This attitude should guide not only formal proceedings but also informal ones, which should be frequent and trustful.

49. EXPLAIN YOUR UNDERSTANDING OF THE RESPONSIBILITIES OF THE PRINCIPAL DEPUTY DIRECTOR OF NATIONAL INTELLIGENCE, OFFICE OF THE DIRECTOR OF NATIONAL INTELLIGENCE.

The Principal Deputy DNI is responsible for assisting the DNI in carrying out the duties and responsibilities of the DNI. The PDDNI must be able to act for, and exercise the powers of, the DNI during the absence or disability of the DNI. When necessary, the PDDNI will serve as the principal intelligence advisor to the President, the National Security and Homeland Security Councils. The PDDNI will assist the DNI in leading, managing, and transforming the Intelligence Community (IC) to meet the threats of today and tomorrow, to include providing timely, objective and independent intelligence to support the needs of the President, the Executive Branch, the Congress, and anyone else the DNI determines is appropriate. The PDDNI may assist the DNI in any of the DNI's duties, specifically to include managing the National Intelligence Program budget for current operations and future investments, building an integrated, collaborative IC, and ensuring maximum availability of and access to intelligence information within the IC, consistent with national security requirements. The PDDNI performs all of his duties under law in a manner that respects civil liberties and privacy of all Americans.

AFFIRMATION

I, DAVID C. GOMPERT, DO SWEAR THAT THE ANSWERS I HAVE PROVIDED TO THIS QUESTIONNAIRE ARE ACCURATE AND COMPLETE.

AUG 26, 2009
(Date)

David C. Gompert
(Name)

John Wigle
NOTARY PUBLIC
Commonwealth of Virginia
My Commission Expires 6/30/2010

John Wigle
(Notary)

324663



TO THE CHAIRMAN, SELECT COMMITTEE ON INTELLIGENCE:

In connection with my nomination to be Principal Deputy Director of National Intelligence, I hereby express my willingness to respond to requests to appear and testify before any duly constituted committee of the Senate.



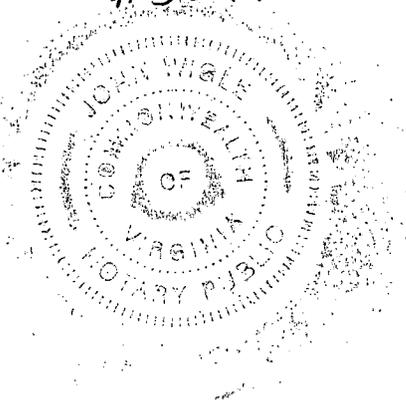
Signature

Date: AUG 26, 2009

John Wigle
NOTARY PUBLIC
Commonwealth of Virginia
My Commission Expires 6/30/ 2010



#324663



Books, Articles, and Public Statements of David C. Gompert

Dangers Ahead: A Framework for Analyzing Iraq's Security as U.S. Forces Leave, with Terrence Kelly and Jessica Watkins, RAND, 2009

Withdrawing from Iraq: Alternative Schedules, Associated Risks, and Mitigating Strategies, with Walter L. Perry, et al., RAND 2009.

Hope under Fire: Improving Security for Civil Counterinsurgency, with Terrence Kelly, Michelle Parker, Brooke Stearns Lawson, Kim Colloton, RAND, 2009

Underkill: Scalable Capabilities for Military Operations amid Populations, with Stuart Johnson, Martin Libicki, David Frelinger, John Gordon IV, and Raymond Smith. RAND, 2009

Oversight of the Liberian National Police, with Rob Davis and Brooke Stearns Lawson. RAND, 2009

"Decisions of the CPA," with L. Paul Bremer and James Dobbins. *Survival*, Volume 50, Issue 4, August 2008.

Analysis of Strategy and Strategies of Analysis, with Paul K. Davis, Stuart E. Johnson, and Duncan Long. RAND, 2008

Developing Resource-Informed Strategic Assessments and Recommendations, with Paul K. Davis, Stuart E. Johnson, and Duncan Long. RAND, 2008

War by Other Means: Building Complete and Balanced Capabilities for Counterinsurgency, with John Gordon. RAND, 2008

"Deterring China through Escalation," with Duncan Long, in *Coping with the Dragon: Essays on PLA Transformation and the U.S. Military*. National Defense University, 2007

Byting Back: Regaining Information Superiority against 21st-Century Insurgents, with Martin Libicki, David Frelinger, and Raymond Smith. RAND, 2007

Making Liberia Safe: Transformation of the National Security Sector, with Olga Oliker, Brooke Stearns, Keith Crane, and K. Jack Riley. RAND, 2007

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