

SELECT COMMITTEE ON  
INTELLIGENCE

UNITED STATES SENATE



QUESTIONNAIRE FOR COMPLETION BY  
PRESIDENTIAL NOMINEES

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**PART A - BIOGRAPHICAL INFORMATION**

1. NAME: James Robert Clapper, Jr
2. DATE AND PLACE OF BIRTH: 14 March 1941; Ft Wayne, Indiana
3. MARITAL STATUS: Married
4. SPOUSE'S NAME: Susan Ellen Clapper
5. SPOUSE'S MAIDEN NAME IF APPLICABLE: Terry
6. NAMES AND AGES OF CHILDREN:

<u>NAME</u>	<u>AGE</u>
[ Redacted ]	[ Redacted ]

7. EDUCATION SINCE HIGH SCHOOL:

<u>INSTITUTION</u>	<u>DATES ATTENDED</u>	<u>DEGREE RECEIVED</u>	<u>DATE OF DEGREE</u>
<u>St Mary's University</u>	Aug 64 to May 70	M.A.	May 70
<u>University of Maryland</u>	Sep 59 to Jun 63	B.A.	Jun 63

8. EMPLOYMENT RECORD (LIST ALL POSITIONS HELD SINCE COLLEGE, INCLUDING MILITARY SERVICE. INDICATE NAME OF EMPLOYER, POSITION, TITLE OR DESCRIPTION, LOCATION, AND DATES OF EMPLOYMENT.)

<u>EMPLOYER</u>	<u>POSITION/TITLE</u>	<u>LOCATION</u>	<u>DATES</u>
<u>Department of Defense</u>	Under Secretary Of Defense (Intel)	Pentagon	Apr 07 - Present
<u>DFI International</u>	Chief Operating Officer	Washington DC	Oct 06 - Apr 07
<u>Georgetown University</u>	Professor	Washington DC	Oct 06 - Dec 06
<u>Department of Defense</u>	Director, NGA	Bethesda, MD	Sep 01 - Jun 06

<u>SRA, International, Inc.</u>	Vice President, Intel	Fairfax, VA	Nov 98 – Aug 01
<u>Booz, Allen and Hamilton</u>	Principal	McLean, VA	Mar 97 – Nov 98
<u>Vredenburg</u>	Special Assistant to President	Reston, VA	May 96 – Mar 97
<u>Department of Defense</u>	Active Duty USAF officer (2Lt to Lt Gen) Various Positions	Various Locations	Jul 63 – Sep 95
<u>Department of Defense</u>	Inactive Reserve USMC/USAF Enlisted		Feb 61 – Jun 63

9. GOVERNMENT EXPERIENCE (INDICATE EXPERIENCE IN OR ASSOCIATION WITH FEDERAL, STATE, OR LOCAL GOVERNMENTS, INCLUDING ADVISORY, CONSULTATIVE, HONORARY, OR OTHER PART-TIME SERVICE OR POSITION. DO NOT REPEAT INFORMATION ALREADY PROVIDED IN QUESTION 8):

Served as a member of the NSA Advisory Board from 1998-2001.

Served as Vice Chairman of a Congressionally-mandated commission to address homeland security issues from 1999–2001. The Gilmore Commission which was active 1999–Feb 2004, chaired by former Governor of Virginia Jim Gilmore, was chartered to assess domestic response capabilities for terrorism involving weapons of mass destruction.

Served as head of the intelligence assessment team for the Downing Commission in 1996. The Commission was chartered to examine the facts and circumstances surrounding the Khobar Towers bombing.

10. INDICATE ANY SPECIALIZED INTELLIGENCE OR NATIONAL SECURITY EXPERTISE YOU HAVE ACQUIRED HAVING SERVED IN THE POSITIONS DESCRIBED IN QUESTIONS 8 AND/OR 9.

Nearly every position I have held during my 46+ year career has focused on intelligence — as a collector, analyst, staff officer, commander, or Director — spanning all phases of the Intelligence cycle. Specifically, I served for 32 years on active duty in the Air Force, worked in the private sector supporting the Intelligence Community for 6 years, served for 5 years as a civil servant, taught intelligence tradecraft at the graduate-level, and most recently, as a political appointee (Under Secretary of Defense for Intelligence) for over 3 years.

11. HONORS AND AWARDS (PROVIDE INFORMATION ON SCHOLARSHIPS, FELLOWSHIPS, HONORARY DEGREES, MILITARY DECORATIONS, CIVILIAN SERVICE CITATIONS, OR ANY OTHER SPECIAL RECOGNITION FOR OUTSTANDING PERFORMANCE OR ACHIEVEMENT):

Defense Distinguished Service Medal (two)  
 Air Force Distinguished Service Medal  
 Defense Superior Service Medal  
 Legion of Merit (three)



Bronze Star Medal (two)  
 Defense Meritorious Service Medal  
 Meritorious Service Medal (two)  
 Air Medal (two)  
 Joint Service Commendation Medal  
 Air Force Commendation Medal  
 National Intelligence Distinguished Service Medal (three)  
 National Security Medal (conferred by President Clinton, 1995)  
 Department of Defense Distinguished Civilian Service Award  
 U.S. Coast Guard Distinguished Public Service Award  
 French Republic *Ordre national du Mérite* (National Order of Merit)  
 (*Commandeur*)  
 Republic of Korea Order of National Security of Merit, Chonsu Medal  
 Norwegian Forsvarsmedaljen Med Laurb/Ergen Award  
 Canadian Force Medallion for Distinguished Service  
 Slovak Memorial Medal of the Military Intelligence Service  
 Top 100 Information Technology Executives (Federal Computer Week, 2001)  
 NAACP National Distinguished Service Award  
 Honorary Doctorate in Strategic Intelligence from Joint Military Intelligence  
 College (1995)  
 Baker Award (Intelligence and National Security Alliance-2006)

12. ORGANIZATIONAL AFFILIATIONS (LIST MEMBERSHIPS IN AND OFFICES HELD WITHIN THE LAST TEN YEARS IN ANY PROFESSIONAL, CIVIC, FRATERNAL, BUSINESS, SCHOLARLY, CULTURAL, CHARITABLE, OR OTHER SIMILAR ORGANIZATIONS):

<u>ORGANIZATION</u>	<u>OFFICE HELD</u>	<u>DATES</u>
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None

13. PUBLISHED WRITINGS AND SPEECHES (LIST THE TITLES, PUBLISHERS, AND PUBLICATION DATES OF ANY BOOKS, ARTICLES, REPORTS, OR OTHER PUBLISHED MATERIALS YOU HAVE AUTHORED. ALSO LIST ANY PUBLIC SPEECHES YOU HAVE MADE WITHIN THE LAST TEN YEARS FOR WHICH THERE IS A TEXT OR TRANSCRIPT. TO THE EXTENT POSSIBLE, PLEASE PROVIDE A COPY OF EACH SUCH PUBLICATION, TEXT, OR TRANSCRIPT):

"The Role of Defense in Shaping U.S. Intelligence Reform," in Loch K. Johnson, *The Oxford Handbook of National Security Intelligence*. Oxford University Press: New York, 2010, pp. 629-639. Copy attached.

"Fresh Thinking Prepares NGA for Future Intelligence Challenges," in *Earth Imaging Journal*, November/December 2005. Copy unavailable.

"America's Image Issue; Friends Indeed," Letter to the Editor, *U.S. News & World Report*, 23 May 2005,  
<http://www.usnews.com/usnews/letters/articles/050523/23lett.htm>, accessed 6 June 2010. Copy attached.

Speeches: I have made speeches in the course of my employment, but I do not use prepared texts, nor do I know of any that were transcribed.

#### **PART B - QUALIFICATIONS**

14. QUALIFICATIONS (DESCRIBE WHY YOU BELIEVE YOU ARE QUALIFIED TO SERVE IN THE POSITION FOR WHICH YOU HAVE BEEN NOMINATED):

My career spans over 46 years in intelligence; I have been responsible for operating and managing at all phases and levels in peace and combat, including 32 years on Active Duty in the Air Force. I have served as the Director of two major Intelligence Agencies (DIA and NGA) for a total of almost 9 years; a Director of Intelligence for three war-fighting commands; and a Service Intelligence Chief. I have also taught Intelligence at the graduate level and have worked as a contractor for the IC. At present I serve as the Under Secretary of Defense for Intelligence and wear a second hat as the Director of Defense Intelligence for the Director of National Intelligence.

#### **PART C - POLITICAL AND FOREIGN AFFILIATIONS**

15. POLITICAL ACTIVITIES (LIST ANY MEMBERSHIPS OR OFFICES HELD IN OR FINANCIAL CONTRIBUTIONS OR SERVICES RENDERED TO, ANY POLITICAL PARTY, ELECTION COMMITTEE, POLITICAL ACTION COMMITTEE, OR INDIVIDUAL CANDIDATE DURING THE LAST TEN YEARS):

7/21/2000      \$1,000      Senator John Warner Committee

16. CANDIDACY FOR PUBLIC OFFICE (FURNISH DETAILS OF ANY CANDIDACY FOR ELECTIVE PUBLIC OFFICE):

None

17. FOREIGN AFFILIATIONS

(NOTE: QUESTIONS 17A AND B ARE NOT LIMITED TO RELATIONSHIPS REQUIRING REGISTRATION UNDER THE FOREIGN AGENTS REGISTRATION ACT. QUESTIONS 17A, B, AND C DO NOT CALL FOR A POSITIVE RESPONSE IF THE REPRESENTATION OR TRANSACTION WAS AUTHORIZED BY THE UNITED STATES GOVERNMENT IN CONNECTION WITH YOUR OR YOUR SPOUSE'S EMPLOYMENT IN GOVERNMENT SERVICE.)



A. HAVE YOU OR YOUR SPOUSE EVER REPRESENTED IN ANY CAPACITY (E.G. EMPLOYEE, ATTORNEY, OR POLITICAL/BUSINESS CONSULTANT), WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No

B. HAVE ANY OF YOUR OR YOUR SPOUSE'S ASSOCIATES REPRESENTED, IN ANY CAPACITY, WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No

C. DURING THE PAST TEN YEARS, HAVE YOU OR YOUR SPOUSE RECEIVED ANY COMPENSATION FROM, OR BEEN INVOLVED IN ANY FINANCIAL OR BUSINESS TRANSACTIONS WITH, A FOREIGN GOVERNMENT OR ANY ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No

D. HAVE YOU OR YOUR SPOUSE EVER REGISTERED UNDER THE FOREIGN AGENTS REGISTRATION ACT? IF SO, PLEASE PROVIDE DETAILS.

No

18. DESCRIBE ANY LOBBYING ACTIVITY DURING THE PAST TEN YEARS, OTHER THAN IN AN OFFICIAL U.S. GOVERNMENT CAPACITY, IN WHICH YOU OR YOUR SPOUSE HAVE ENGAGED FOR THE PURPOSE OF DIRECTLY OR INDIRECTLY INFLUENCING THE PASSAGE, DEFEAT, OR MODIFICATION OF FEDERAL LEGISLATION, OR FOR THE PURPOSE OF AFFECTING THE ADMINISTRATION AND EXECUTION OF FEDERAL LAW OR PUBLIC POLICY.

None

**PART D - FINANCIAL DISCLOSURE AND CONFLICT OF INTEREST**

19. DESCRIBE ANY EMPLOYMENT, BUSINESS RELATIONSHIP, FINANCIAL TRANSACTION, INVESTMENT, ASSOCIATION, OR ACTIVITY (INCLUDING, BUT NOT LIMITED TO, DEALINGS WITH THE FEDERAL GOVERNMENT ON YOUR OWN BEHALF OR ON BEHALF OF A CLIENT), WHICH COULD CREATE, OR APPEAR TO CREATE, A CONFLICT OF INTEREST IN THE POSITION TO WHICH YOU HAVE BEEN NOMINATED.

In connection with the nomination process, I have consulted with the Office of Government Ethics and the DNI's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the DNI's designated agency ethics official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

20. DO YOU INTEND TO SEVER ALL BUSINESS CONNECTIONS WITH YOUR PRESENT EMPLOYERS, FIRMS, BUSINESS ASSOCIATES AND/OR PARTNERSHIPS, OR OTHER ORGANIZATIONS IN THE EVENT THAT YOU ARE CONFIRMED BY THE SENATE? IF NOT, PLEASE EXPLAIN.

N/A

21. DESCRIBE THE FINANCIAL ARRANGEMENTS YOU HAVE MADE OR PLAN TO MAKE, IF YOU ARE CONFIRMED, IN CONNECTION WITH SEVERANCE FROM YOUR CURRENT POSITION. PLEASE INCLUDE SEVERANCE PAY, PENSION RIGHTS, STOCK OPTIONS, DEFERRED INCOME ARRANGEMENTS, AND ANY AND ALL COMPENSATION THAT WILL OR MIGHT BE RECEIVED IN THE FUTURE AS A RESULT OF YOUR CURRENT BUSINESS OR PROFESSIONAL RELATIONSHIPS.

None

22. DO YOU HAVE ANY PLANS, COMMITMENTS, OR AGREEMENTS TO PURSUE OUTSIDE EMPLOYMENT, WITH OR WITHOUT COMPENSATION, DURING YOUR SERVICE WITH THE GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No

23. AS FAR AS CAN BE FORESEEN, STATE YOUR PLANS AFTER COMPLETING GOVERNMENT SERVICE. PLEASE SPECIFICALLY DESCRIBE ANY AGREEMENTS OR UNDERSTANDINGS, WRITTEN OR UNWRITTEN, CONCERNING EMPLOYMENT AFTER LEAVING GOVERNMENT SERVICE. IN PARTICULAR, DESCRIBE ANY AGREEMENTS, UNDERSTANDINGS, OR OPTIONS TO RETURN TO YOUR CURRENT POSITION.

None

24. IF YOU ARE PRESENTLY IN GOVERNMENT SERVICE, DURING THE PAST FIVE YEARS OF SUCH SERVICE, HAVE YOU RECEIVED FROM A PERSON OUTSIDE OF GOVERNMENT AN OFFER OR EXPRESSION OF INTEREST TO EMPLOY YOUR SERVICES AFTER YOU LEAVE GOVERNMENT SERVICE? IF YES, PLEASE PROVIDE DETAILS.

No

25. IS YOUR SPOUSE EMPLOYED? IF YES AND THE NATURE OF THIS EMPLOYMENT IS RELATED IN ANY WAY TO THE POSITION FOR WHICH YOU ARE SEEKING CONFIRMATION, PLEASE INDICATE YOUR SPOUSE'S EMPLOYER, THE POSITION, AND THE LENGTH OF TIME THE POSITION HAS BEEN HELD. IF YOUR SPOUSE'S EMPLOYMENT IS NOT RELATED TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED, PLEASE SO STATE.

No

26. LIST BELOW ALL CORPORATIONS, PARTNERSHIPS, FOUNDATIONS, TRUSTS, OR OTHER ENTITIES TOWARD WHICH YOU OR YOUR SPOUSE HAVE FIDUCIARY OBLIGATIONS OR IN WHICH YOU OR YOUR SPOUSE HAVE HELD DIRECTORSHIPS OR OTHER POSITIONS OF TRUST DURING THE PAST FIVE YEARS.

<u>NAME OF ENTITY</u>	<u>POSITION</u>	<u>DATES HELD</u>	<u>SELF OR SPOUSE</u>
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None



27. LIST ALL GIFTS EXCEEDING \$100 IN VALUE RECEIVED DURING THE PAST FIVE YEARS BY YOU, YOUR SPOUSE, OR YOUR DEPENDENTS. (NOTE: GIFTS RECEIVED FROM RELATIVES AND GIFTS GIVEN TO YOUR SPOUSE OR DEPENDENT NEED NOT BE INCLUDED UNLESS THE GIFT WAS GIVEN WITH YOUR KNOWLEDGE AND ACQUIESCENCE AND YOU HAD REASON TO BELIEVE THE GIFT WAS GIVEN BECAUSE OF YOUR OFFICIAL POSITION.)

None

28. LIST ALL SECURITIES, REAL PROPERTY, PARTNERSHIP INTERESTS, OR OTHER INVESTMENTS OR RECEIVABLES WITH A CURRENT MARKET VALUE (OR, IF MARKET VALUE IS NOT ASCERTAINABLE, ESTIMATED CURRENT FAIR VALUE) IN EXCESS OF \$1,000. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE A OF THE DISCLOSURE FORMS OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CURRENT VALUATIONS ARE USED.)

<u>DESCRIPTION OF PROPERTY</u>	<u>VALUE</u>	<u>METHOD OF VALUATION</u>
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See Attached Schedule A from SF-278. In addition:

Personal Residence	\$732,000	Recent sales in the neighborhood
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29. LIST ALL LOANS OR OTHER INDEBTEDNESS (INCLUDING ANY CONTINGENT LIABILITIES) IN EXCESS OF \$10,000. EXCLUDE A MORTGAGE ON YOUR PERSONAL RESIDENCE UNLESS IT IS RENTED OUT, AND LOANS SECURED BY AUTOMOBILES, HOUSEHOLD FURNITURE, OR APPLIANCES. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE C OF THE DISCLOSURE FORM OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CONTINGENT LIABILITIES ARE ALSO INCLUDED.)

<u>NATURE OF OBLIGATION</u>	<u>NAME OF OBLIGEE</u>	<u>AMOUNT</u>
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None

30. ARE YOU OR YOUR SPOUSE NOW IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION? HAVE YOU OR YOUR SPOUSE BEEN IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION IN THE PAST TEN YEARS? HAVE YOU OR YOUR SPOUSE EVER BEEN REFUSED CREDIT OR HAD A LOAN APPLICATION DENIED? IF THE ANSWER TO ANY OF THESE QUESTIONS IS YES, PLEASE PROVIDE DETAILS.

No



31. LIST THE SPECIFIC SOURCES AND AMOUNTS OF ALL INCOME RECEIVED DURING THE LAST FIVE YEARS, INCLUDING ALL SALARIES, FEES, DIVIDENDS, INTEREST, GIFTS, RENTS, ROYALTIES, PATENTS, HONORARIA, AND OTHER ITEMS EXCEEDING \$200. (COPIES OF U.S. INCOME TAX RETURNS FOR THESE YEARS MAY BE SUBSTITUTED HERE, BUT THEIR SUBMISSION IS NOT REQUIRED.)

	2005	2006	2007	2008	2009
SALARIES					
FEES					
ROYALTIES					
DIVIDENDS					
INTEREST					
GIFTS					
RENTS					
OTHER (To Capital Gains, Business Income, Social Security, IRA Distributions, and Pensions)					
TOTAL					

[Redacted Information]

32. IF ASKED, WILL YOU PROVIDE THE COMMITTEE WITH COPIES OF YOUR AND YOUR SPOUSE'S FEDERAL INCOME TAX RETURNS FOR THE PAST THREE YEARS?

Yes

33. LIST ALL JURISDICTIONS IN WHICH YOU AND YOUR SPOUSE FILE ANNUAL INCOME TAX RETURNS.

Virginia

34. HAVE YOUR FEDERAL OR STATE TAX RETURNS BEEN THE SUBJECT OF AN AUDIT, INVESTIGATION, OR INQUIRY AT ANY TIME? IF SO, PLEASE PROVIDE DETAILS, INCLUDING THE RESULT OF ANY SUCH PROCEEDING.

No

35. IF YOU ARE AN ATTORNEY, ACCOUNTANT, OR OTHER PROFESSIONAL, PLEASE LIST ALL CLIENTS AND CUSTOMERS WHOM YOU BILLED MORE THAN \$200 WORTH OF SERVICES DURING THE PAST FIVE YEARS. ALSO, LIST ALL JURISDICTIONS IN WHICH YOU ARE LICENSED TO PRACTICE.

N/A

36. DO YOU INTEND TO PLACE YOUR FINANCIAL HOLDINGS AND THOSE OF YOUR SPOUSE AND DEPENDENT MEMBERS OF YOUR IMMEDIATE HOUSEHOLD IN A BLIND TRUST? IF YES, PLEASE FURNISH DETAILS. IF NO, DESCRIBE OTHER ARRANGEMENTS FOR AVOIDING ANY POTENTIAL CONFLICTS OF INTEREST.

No, however in connection with the nomination process, I have consulted with the Office of Government Ethics and the DNI's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the DNI's designated agency ethics official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

37. IF APPLICABLE, ATTACH THE LAST THREE YEARS OF ANNUAL FINANCIAL DISCLOSURE FORMS YOU HAVE BEEN REQUIRED TO FILE WITH YOUR AGENCY, DEPARTMENT, OR BRANCH OF GOVERNMENT.

See Attached forms from 2007, 2008 and 2009

#### **PART E - ETHICAL MATTERS**

38. HAVE YOU EVER BEEN THE SUBJECT OF A DISCIPLINARY PROCEEDING OR CITED FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT BY, OR BEEN THE SUBJECT OF A COMPLAINT TO, ANY COURT, ADMINISTRATIVE AGENCY, PROFESSIONAL ASSOCIATION, DISCIPLINARY COMMITTEE, OR OTHER PROFESSIONAL GROUP? IF SO, PROVIDE DETAILS.

No, except for the complaints submitted to the DoD IG described in question 44 below.

I am not aware of any other complaints submitted to a court, administrative agency, professional association, disciplinary committee, or other professional group, but for the sake of transparency, I would note that during my confirmation process in 2007, an anonymous letter was sent to the Senate Armed Services Committee alerting them to funds spent on my departure ceremony as Director of the NGA. I had not been involved in the planning of the event, nor was aware of its total cost until I learned about it during the confirmation process. I am not aware of any formal complaint or investigation related to this matter.



39. HAVE YOU EVER BEEN INVESTIGATED, HELD, ARRESTED, OR CHARGED BY ANY FEDERAL, STATE, OR OTHER LAW ENFORCEMENT AUTHORITY FOR VIOLATION OF ANY FEDERAL STATE, COUNTY, OR MUNICIPAL LAW, REGULATION, OR ORDINANCE, OTHER THAN A MINOR TRAFFIC OFFENSE, OR NAMED AS A DEFENDANT OR OTHERWISE IN ANY INDICTMENT OR INFORMATION RELATING TO SUCH VIOLATION? IF SO, PROVIDE DETAILS.

No

40. HAVE YOU EVER BEEN CONVICTED OF OR ENTERED A PLEA OF GUILTY OR NOLO CONTENDERE TO ANY CRIMINAL VIOLATION OTHER THAN A MINOR TRAFFIC OFFENSE? IF SO, PROVIDE DETAILS.

No

41. ARE YOU PRESENTLY OR HAVE YOU EVER BEEN A PARTY IN INTEREST IN ANY ADMINISTRATIVE AGENCY PROCEEDING OR CIVIL LITIGATION? IF SO, PLEASE PROVIDE DETAILS.

No

42. HAVE YOU BEEN INTERVIEWED OR ASKED TO SUPPLY ANY INFORMATION AS A WITNESS OR OTHERWISE IN CONNECTION WITH ANY CONGRESSIONAL INVESTIGATION, FEDERAL, OR STATE AGENCY PROCEEDING, GRAND JURY INVESTIGATION, OR CRIMINAL OR CIVIL LITIGATION IN THE PAST TEN YEARS? IF SO, PROVIDE DETAILS.

In May 2000, I appeared as a prosecution expert witness in Federal Trial of 5 Cuban nationals charged with spying against the U.S. in Federal Court in Miami, Florida. All were found guilty.

43. HAS ANY BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, DIRECTOR, OR PARTNER BEEN A PARTY TO ANY ADMINISTRATIVE AGENCY PROCEEDING OR CRIMINAL OR CIVIL LITIGATION RELEVANT TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED? IF SO, PROVIDE DETAILS. (WITH RESPECT TO A BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, YOU NEED ONLY CONSIDER PROCEEDINGS AND LITIGATION THAT OCCURRED WHILE YOU WERE AN OFFICER OF THAT BUSINESS.)

No

44. HAVE YOU EVER BEEN THE SUBJECT OF ANY INSPECTOR GENERAL INVESTIGATION? IF SO, PROVIDE DETAILS.

I am aware that the DOD IG has received five complaints concerning my behavior during my long tenure with the Department. Several of these issues were disposed of so quickly that I was not aware of the complaint at the time, but became aware only when I was subsequently asked about them during my FBI Background Investigation in 2007. In each case, the DOD IG concluded that the complaints were unsubstantiated or without merit.

- In 1994, a complaint wrongly alleged that I had used my government position to obtain a free hotel accommodation. As best I can tell, the issue related to a time when I received an upgraded hotel room that I had not requested. After a preliminary inquiry, the IG closed the matter with no further action.
- In 1994, a complaint wrongly alleged that I had inappropriately solicited funds for the Air Force Assistance Fund using my official position. After a preliminary inquiry, the IG found that I was authorized to do so, and closed the matter with no further action.
- In 1995, a complaint wrongly alleged that my spouse and I had misused a government laptop computer. Based on the preliminary inquiry, the IG found no violations of policies or regulations and the matter was closed with no further action.
- In 1995, a complaint alleged that I had improperly used my position at DIA and attempted to work as a consultant for the Joint Military Intelligence College. The IG found that there had been no improper conduct at issue, and closed the matter with no further action.
- In 2005, a complaint wrongly alleged that I had engaged in an inappropriate relationship with a female subordinate and that I provided preferential treatment to that subordinate. Based on a preliminary inquiry, the DoD IG found insufficient basis for the allegations to warrant an investigation, and the matter was closed with no further action.

**PART F - SECURITY INFORMATION**

45. HAVE YOU EVER BEEN DENIED ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION FOR ANY REASON? IF YES, PLEASE EXPLAIN IN DETAIL.

No

46. HAVE YOU BEEN REQUIRED TO TAKE A POLYGRAPH EXAMINATION FOR ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION? IF YES, PLEASE EXPLAIN.

Yes, last polygraph conducted May 2005 as Director, NGA. Previous polygraphs conducted circa 1987 as J-2 PACOM, and circa 2000, as a member of the NSA Advisory Board



47. HAVE YOU EVER REFUSED TO SUBMIT TO A POLYGRAPH EXAMINATION? IF YES, PLEASE EXPLAIN.

No

**PART G - ADDITIONAL INFORMATION**

48. DESCRIBE IN YOUR OWN WORDS THE CONCEPT OF CONGRESSIONAL OVERSIGHT OF U.S. INTELLIGENCE ACTIVITIES. IN PARTICULAR, CHARACTERIZE WHAT YOU BELIEVE TO BE THE OBLIGATIONS OF THE DIRECTOR OF NATIONAL INTELLIGENCE AND THE INTELLIGENCE COMMITTEES OF THE CONGRESS RESPECTIVELY IN THE OVERSIGHT PROCESS.

The Congressional committees charged with the oversight of the Intelligence Community monitor and are cognizant of activities, programs, and policies executed by the Intelligence Community. The basic obligation imposed by section 502 of the National Security Act of 1947 is to keep the two intelligence committees "fully and currently informed" of all U.S. intelligence activities (excepting covert actions that are covered in section 503), including "significant anticipated intelligence activities" and "significant intelligence failures." The oversight process provides a necessary check and balance structure ensuring that Intelligence Community resources — split between the National Intelligence Program (NIP) and the Military Intelligence Program (MIP) — are appropriately aligned with national priorities. The relationship between the Intelligence Community and its Congressional overseers is mutually-beneficial; the obligation of the overseers is to ensure the nation's security, as well as to be vigilant for potential abuses — particularly in the area of civil liberties.

49. EXPLAIN YOUR UNDERSTANDING OF THE RESPONSIBILITIES OF THE DIRECTOR OF NATIONAL INTELLIGENCE.

The DNI is responsible to the President for the performance of the entire Intelligence Community as an enterprise and to ensure, ultimately, that decision-makers — from the White House to the fox hole — are provided accurate, timely intelligence. This entails supervision and oversight of all aspects of the enterprise: substantive analysis, resource management, intelligence policy development, operational effectiveness, and compliance with the law. The DNI also serves as the principal intelligence advisor to the President and is responsible for ensuring that the Congress is able to carry out its oversight of the Intelligence Community.

**AFFIRMATION**

I, JAMES R. CLAPPER, DO SWEAR THAT THE ANSWERS I HAVE PROVIDED TO THIS QUESTIONNAIRE ARE ACCURATE AND COMPLETE.

7 JUNE 2010 (Date)      James R. Clapper (Name)

David D. Grund (Notary)  
DAVID D. GRUND





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TO THE CHAIRMAN, SELECT COMMITTEE ON INTELLIGENCE:

In connection with my nomination to be the Director of National Intelligence, I hereby express my willingness to respond to requests to appear and testify before any duly constituted committee of the Senate.

A handwritten signature in black ink, appearing to read "James R. Clapper". The signature is written in a cursive style with a large initial "J".

\_\_\_\_\_  
Signature

Date: 7 JUNE 2010