

SELECT COMMITTEE ON INTELLIGENCE

UNITED STATES SENATE



QUESTIONNAIRE FOR COMPLETION BY
PRESIDENTIAL NOMINEES

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PART A - BIOGRAPHICAL INFORMATION

1. FULL NAME: Thomas Andrew Monheim
OTHER NAMES USED: None
2. DATE AND PLACE OF BIRTH: May 15, 1967; Belleville, IL, USA
CITIZENSHIP: US
3. MARITAL STATUS: Married
4. SPOUSE'S NAME: Catherine Ann Monheim
5. SPOUSE'S MAIDEN NAME IF APPLICABLE: Marchetti
6. NAMES AND AGES OF CHILDREN:

REDACTED

7. EDUCATION SINCE HIGH SCHOOL:

INSTITUTION	DATES ATTENDED	DEGREE RECEIVED	DATE OF DEGREE
National Defense University/ National War College	2013-2014	Masters	June 2014
UCLA School of Law	1989-1992	Juris Doctor	May 1992
University of Pennsylvania	1985-1989	Bachelor of Arts	May 1989
Papillion-La Vista High School	1983-1985	Diploma	May 1985

8. EMPLOYMENT RECORD (LIST ALL POSITIONS HELD SINCE COLLEGE, INCLUDING MILITARY SERVICE. INDICATE NAME OF EMPLOYER, POSITION, TITLE OR DESCRIPTION, LOCATION, AND DATES OF EMPLOYMENT).

Civilian employment:

EMPLOYER	POSITION/TITLE	LOCATION	DATES
National Geospatial-Intelligence Agency	Special Advisor to the Chief of Staff	Springfield, VA	May 2021 - present
Office of the Director of National Intelligence	(Acting) Inspector General of the Intelligence Community	Reston, VA	April 2020 - May 2021
National Geospatial-Intelligence Agency	General Counsel	Springfield, VA	2016-2020
National Geospatial-Intelligence Agency	Deputy General Counsel	Springfield, VA	2014-2016
Office of the Director of National Intelligence	Deputy General Counsel	McLean, VA	2013-2014
Office of the Director of National Intelligence, National Counterterrorism Center	Senior Legal Advisor for Counterterrorism	McLean, VA	2008-2013
U.S. Department of Justice	Associate Deputy Attorney General	Washington, DC	2005-2008
Executive Office of the President	Associate Counsel to the President	Washington, DC	2003-2005
King & Spalding LLP	Associate	Washington, DC	1998-2003
Holloman AFB Youth Center	Tae Kwon Do instructor	Alamogordo, NM	1994-1996
Crowe & Day	Associate	Los Angeles, CA	1992-1993
Barash & Hill	Summer Associate	Los Angeles, CA	1991
University of Pennsylvania	Tutor	Philadelphia, PA	1987-1989

Military service:

(Note: some time periods overlap with dates above because of part-time reserve duty and two mobilizations)

EMPLOYER	POSITION/TITLE	LOCATION	DATES
U.S. Air Force, HQ Air Mobility Command	Senior Individual Mobilization Augmentee (Reservist)	Scott AFB, IL	2015-2016
U.S. Air Force, Air Force Legal Operations Agency, AFLOA/JAC	Senior Individual Mobilization Augmentee (Reservist)	JB Andrews, MD	2013-2015
U.S. Air Force, Air Force Legal Operations Agency, AFLOA/JAJG	Senior Individual Mobilization Augmentee (Reservist)	JB Andrews, MD	2011-2013
Joint Special Operations Task Force	Legal Advisor (mobilized Reservist)	JB Balad, Iraq	2009-2010
U.S. Air Force, Air Force Legal Operations Agency, AFLOA/JAT	Military Judge (Reservist)	JB Andrews, MD	2008-2011
U.S. Air Force, White House Military Office	Deputy General Counsel (Reservist)	Washington, DC	2004-2008
U.S. Air Force, Air Force Legal Operations Agency, AFLOA/JAJG	Appellate Government Counsel (Reservist)	Bolling AFB, DC	2003-2004
U.S. Air Force,	Special Counsel	Pentagon, VA	2002-2003

Department of Defense, Office of General Counsel	(mobilized Reservist)		
U.S. Air Force, Air Force Legal Services Agency, AFLSA/JAJT	Circuit Trial Counsel (Reservist)	Bolling AFB, DC	1998-2002
U.S. Air Force, Air Force Legal Services Agency, AFLSA/JAJT	Circuit Trial Counsel (active duty)	Bolling AFB, DC	1996-1998
U.S. Air Force, Air Force Legal Services Agency, AFLSA/JAJD	Area Defense Counsel (active duty)	Holloman AFB, NM	1995-1996
U.S. Air Force, Air Combat Command, 49 th Fighter Wing	Assistant Staff Judge Advocate (active duty)	Holloman AFB, NM	1994-1995
U.S. Air Force, Air Education and Training Command, Lowry Training Center	Assistant Staff Judge Advocate (active duty)	Lowry AFB, CO	1993-1994
U.S. Air Force, Air Mobility Command, 60 th Air Mobility Wing	Legal intern (temporary duty)	Travis AFB, CA	Summer 1990
U.S. Air Force, ROTC Detachment 750	Cadet	Philadelphia, PA	1985-1989

9. GOVERNMENT EXPERIENCE (INDICATE EXPERIENCE IN OR ASSOCIATION WITH FEDERAL, STATE, OR LOCAL GOVERNMENTS, INCLUDING ADVISORY, CONSULTATIVE, HONORARY, OR OTHER PART-TIME SERVICE OR POSITION. DO NOT REPEAT INFORMATION ALREADY PROVIDED IN QUESTION 8).

Please see my response to Question 8, which describes my more than 30 years of Government experience.

10. INDICATE ANY SPECIALIZED INTELLIGENCE OR NATIONAL SECURITY EXPERTISE YOU HAVE ACQUIRED HAVING SERVED IN THE POSITIONS DESCRIBED IN QUESTIONS 8 AND/OR 9.

The majority of my 30-plus year career has been in the national security field, with the last 13 years in the Intelligence Community (IC) and more than 5 years before that working closely with the IC.

My military service (active duty and reserve) included experiences related to the rule of law, litigation, interagency and international agreements, operational law, information sharing, and privacy and civil liberties.

I began working closely with the IC while, as Associate Counsel to the President, I helped coordinate the Executive Branch's response to the National Commission on Terrorist Attacks Upon the United States ("9/11 Commission") and subsequent implementation of various recommendations, including those in the Intelligence Reform and Terrorism Prevention Act of 2004. I continued working closely with the IC while at the Department of Justice, where I helped coordinate the Department's national security-related efforts and represent the Department in various interagency meetings.

Since joining the IC in 2008, I have been privileged to serve in a variety of legal roles at the National Counterterrorism Center (NCTC), the Office of the Director of National Intelligence (ODNI), and the National Geospatial-Intelligence Agency (NGA). In those roles, I provided timely, accurate, and practical advice to enable the organization's mission consistent with the Constitution and law. While at NGA, I also oversaw the agency's ethics program and intelligence oversight program. Most recently, I have been the Acting Inspector General of the Intelligence Community, conducting independent and objective oversight of programs and activities within the responsibility and authority of the Director of National Intelligence (DNI).

11. HONORS AND AWARDS (PROVIDE INFORMATION ON SCHOLARSHIPS, FELLOWSHIPS, HONORARY DEGREES, MILITARY DECORATIONS, CIVILIAN SERVICE CITATIONS, OR ANY OTHER SPECIAL RECOGNITION FOR OUTSTANDING PERFORMANCE OR ACHIEVEMENT).

Civilian honors and awards:

- Presidential Rank Award, Meritorious Executive, 2016
- Office of the Director of National Intelligence Exceptional Service Award, 2014
- Director of National Intelligence Exceptional Achievement Award, 2012
- Director of the National Counterterrorism Center Team Award, 2012
- Department of Justice Outstanding Service Recognition, 2008
- Department of State Superior Honor Award, 2006

Military honors and awards:

- Judge Advocates Association, Outstanding Career Judge Advocate Award
- Legion of Merit
- Bronze Star Medal
- Defense Meritorious Service Medal
- Meritorious Service Medal with three oak leaf clusters
- Joint Service Commendation Medal
- Air Force Commendation Medal with one oak leaf cluster
- Air Force Organizational Excellence Award with two oak leaf clusters
- National Defense Service Medal with one device
- Iraq Campaign Medal
- Global War on Terrorism Service Medal
- Air Force Expeditionary Service Ribbon with Gold Border
- Air Force Longevity Service Medal
- Armed Forces Reserve Medal with "M" device
- Air Force Training Ribbon
- Air Force Reserve Officer Training Corps Distinguished Graduate

12. ORGANIZATIONAL AFFILIATIONS (LIST MEMBERSHIPS IN AND OFFICES HELD WITHIN THE LAST TEN YEARS IN ANY PROFESSIONAL, CIVIC, FRATERNAL, BUSINESS, SCHOLARLY, CULTURAL, CHARITABLE, OR OTHER SIMILAR ORGANIZATIONS).

ORGANIZATION	OFFICE HELD	DATES
District of Columbia Bar Association	Member	1998-present
Colorado Bar Association	Member (currently inactive)	1993-present
California Bar Association	Member (currently inactive)	1992-present
U.S. Supreme Court Bar	Member	2006-present
U.S. Court of Appeals for the Armed Forces Bar	Member	1993-present
Air Force Court of Criminal Appeals Bar	Member	1993-present
Penn Alumni Association	Member	1989-present
UCLA Alumni Association	Member	1992-present
National War College Alumni Association	Member	2014-present
Bush-Cheney Alumni Association	Member	2008-present
Army and Navy Club	Member	2015-present
Church of the Nativity	Member	1999-present
South Run Forest Homeowners' Association	Member	1999-present
Bethany West Homeowners' Association	Member	2010-present
U.S.A. Triathlon Association	Member	1998-2018

U.S. Masters Swimming	Member	2012-2015
Intelligence and National Security Alliance	Honorary member	2014-2020
U.S. Geospatial Intelligence Foundation	Honorary member	2014-2020

13. PUBLISHED WRITINGS AND SPEECHES (LIST THE TITLES, PUBLISHERS, BLOGS AND PUBLICATION DATES OF ANY BOOKS, ARTICLES, REPORTS, OR OTHER PUBLISHED MATERIALS YOU HAVE AUTHORED. ALSO LIST ANY PUBLIC SPEECHES OR REMARKS YOU HAVE MADE WITHIN THE LAST TEN YEARS FOR WHICH THERE IS A TEXT, TRANSCRIPT, OR VIDEO). IF ASKED, WILL YOU PROVIDE A COPY OF EACH REQUESTED PUBLICATION, TEXT, TRANSCRIPT, OR VIDEO?

Below are all the writings and remarks I can recall that are responsive to the Committee's requests. If asked, I will provide the Committee with copies of any publication, text, transcript, or video in my possession.

Published writings:

- "Message from the Inspector General" in the Office of the Inspector General of the Intelligence Community (IC IG) Semi-Annual Report for the period ending in March 2021, which will be posted on the IC IG website after the DNI and Congress receive it.
- "Message from the Inspector General" in the IC IG Semi-Annual Report for the period ending in September 2020, which is posted on the IC IG website.
- "Message from the Inspector General" in the IC IG Semi-Annual Report for the period ending in March 2020, which is posted on the IC IG website.
- "Inspector General Foreword" in the IC IG Fiscal Year 2021 Annual Work Plan, which is posted on the IC IG website.
- IC IG message about whistleblowing in the IC, which is posted on the IC whistleblowing website.
- In 2019, in my personal capacity, I contributed a brief "Counselor's Corner" comment to a "Fundamentals of Business Law" book by my sister Melissa Randall and Community College of Denver Students.
- In 2016, while the NGA General Counsel, I signed a statement of IC general counsels support for diversity & inclusion in the IC legal community, which was posted on the ODNI website.
- In 2006, while working at the Department of Justice, I was part of the United States delegation that provided information to the United Nations regarding US compliance with our obligations under the Convention Against Torture, some of which was posted on the United Nations website.
- In 2002, while at King & Spalding, I was an author of the "Nunn-Wolfowitz Task Force Report: Industry Best Practices Regarding Export Compliance Programs," which is now publicly available.
- In 1992, while in law school, I wrote an article entitled "Personal Communications Services: The Wireless Future of Telecommunications," which was published in the Federal Communications Law Journal.

Recorded speeches and remarks in the last 10 years:

- In April 2021, I recorded a brief video message in support of diversity, equity & inclusion that was incorporated in a Council of the Inspectors General on Integrity and Efficiency (CIGIE) Town Hall.
- In November 2020, I gave a presentation at Mantua Elementary School about Veterans Day, which was recorded by Fairfax County Public Schools.

- In February 2020, I recorded a podcast about the NGA core value Accountability, which is posted on the NGA classified system.
- In September 2019, I gave a presentation at an event hosted by the American Bar Association (ABA) National Security Law Committee entitled “The Geospatial-Intelligence Revolution is Underway – And You Should Care!,” which was posted on an ABA website.
- I have given other presentations and participated on panels in various venues (such as conferences, professional development seminars, law schools, and universities) related to national security and trial advocacy, but, to the best of my knowledge, those events were not recorded or transcribed and the materials were not published.

PART B - QUALIFICATIONS

14. QUALIFICATIONS (DESCRIBE WHY YOU BELIEVE YOU ARE QUALIFIED TO SERVE AS THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY).

I have dedicated my entire professional career to upholding the rule of law and will continue to do so. As briefly summarized in my response to Question 10, I have been privileged to serve the American people and this great Nation for more than 30 years.

Most recently, I served in this position as the Acting Inspector General of the Intelligence Community for more than a year. During that time, I led the IC IG team to accomplish our vital missions, despite various challenges. Among other things, we: independently conducted audits, inspections, investigations, and reviews of programs and activities within the DNI’s responsibility and authority; managed the IC IG hotline and whistleblower program; kept the DNI and Congress fully and currently informed; led the IC IG Forum (consisting of the Inspectors General with oversight responsibility for an IC element) in a collaborative and productive manner; and fostered partnerships and worked collaboratively with other colleagues in the IG community, the Council of the Inspectors General on Integrity and Efficiency (CIGIE), and the Five Eyes Intelligence Oversight and Review Council (FIORC).

This most recent experience builds on my prior 12 years of experience in the IC in various positions, including 6 years at ODNI and NCTC, where I gained an understanding and appreciation of the ODNI authorities, responsibilities, missions, and organization. My years of experience participating in the IC General Counsels Forum while at ODNI and NGA gave me different perspectives and deeper appreciation of how ODNI can embrace the responsibility of leading the IC in a collaborative way while also respecting the roles, responsibilities, and authorities of the individual IC elements.

I also have 29 years of legal experience, during which I have been upholding the rule of law in myriad ways, including 6 years as NGA General Counsel, where I worked closely with the NGA Inspector General; and more than 10 years as a military prosecutor, defense counsel, appellate counsel, and judge.

Throughout my career, I have proven my ability to build and effectively lead diverse multi-disciplinary teams, and take good care of the people I am entrusted to lead, so that together we can better accomplish our mission. I have also worked closely and collaboratively with interagency, inter-governmental, international, industry, and academic partners to achieve better results.

I endeavor to follow the guiding principles and exemplify the core values of the organizations I serve. The IC IG’s core values of Integrity, Independence, Accountability, Diversity, and Transparency resonate with me, inspire me, and will continue to guide me and the teams I lead.

If privileged to be confirmed as the Inspector General of the Intelligence Community, I will continue to well and faithfully discharge the important duties of this critical position to the best of my abilities.

PART C - POLITICAL AND FOREIGN AFFILIATIONS

15. POLITICAL ACTIVITIES (LIST ANY MEMBERSHIPS OR OFFICES HELD IN OR FINANCIAL CONTRIBUTIONS OR SERVICES RENDERED TO, ANY POLITICAL PARTY, ELECTION COMMITTEE, POLITICAL ACTION COMMITTEE, OR INDIVIDUAL CANDIDATE DURING THE LAST TEN YEARS).

None.

16. CANDIDACY FOR PUBLIC OFFICE (FURNISH DETAILS OF ANY CANDIDACY FOR ELECTIVE PUBLIC OFFICE).

None.

17. FOREIGN AFFILIATIONS

(NOTE: QUESTIONS 17A AND B ARE NOT LIMITED TO RELATIONSHIPS REQUIRING REGISTRATION UNDER THE FOREIGN AGENTS REGISTRATION ACT. QUESTIONS 17A, B, AND C DO NOT CALL FOR A POSITIVE RESPONSE IF THE REPRESENTATION OR TRANSACTION WAS AUTHORIZED BY THE UNITED STATES GOVERNMENT IN CONNECTION WITH YOUR OR YOUR SPOUSE'S EMPLOYMENT IN GOVERNMENT SERVICE.)

- A. HAVE YOU OR YOUR SPOUSE EVER REPRESENTED IN ANY CAPACITY (E.G. EMPLOYEE, ATTORNEY, OR POLITICAL/BUSINESS CONSULTANT), WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No.

- B. HAVE ANY OF YOUR OR YOUR SPOUSE'S ASSOCIATES REPRESENTED, IN ANY CAPACITY, WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No.

- C. DURING THE PAST TEN YEARS, HAVE YOU OR YOUR SPOUSE RECEIVED ANY COMPENSATION FROM, OR BEEN INVOLVED IN ANY FINANCIAL OR BUSINESS TRANSACTIONS WITH, A FOREIGN GOVERNMENT OR ANY ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No.

- D. HAVE YOU OR YOUR SPOUSE EVER REGISTERED UNDER THE FOREIGN AGENTS REGISTRATION ACT? IF SO, PLEASE PROVIDE DETAILS.

No.

18. DESCRIBE ANY LOBBYING ACTIVITY DURING THE PAST TEN YEARS, OTHER THAN IN AN OFFICIAL U.S. GOVERNMENT CAPACITY, IN WHICH YOU OR YOUR SPOUSE HAVE ENGAGED FOR THE PURPOSE OF DIRECTLY OR INDIRECTLY INFLUENCING THE PASSAGE, DEFEAT, OR MODIFICATION OF FEDERAL LEGISLATION, OR FOR THE PURPOSE OF AFFECTING THE ADMINISTRATION AND EXECUTION OF FEDERAL LAW OR PUBLIC POLICY.

None.

PART D - FINANCIAL DISCLOSURE AND CONFLICT OF INTEREST

19. DESCRIBE ANY EMPLOYMENT, BUSINESS RELATIONSHIP, FINANCIAL TRANSACTION, INVESTMENT, ASSOCIATION, OR ACTIVITY (INCLUDING, BUT NOT LIMITED TO, DEALINGS WITH THE FEDERAL GOVERNMENT ON YOUR OWN BEHALF OR ON BEHALF OF A CLIENT), WHICH COULD CREATE, OR APPEAR TO CREATE, A CONFLICT OF INTEREST IN THE POSITION TO WHICH YOU HAVE BEEN NOMINATED.

In connection with the nomination process, I consulted with the ODNI's Designated Agency Ethics Official (DAEO) and the Office of Government Ethics (OGE) to identify any potential conflicts of interest. I also completed an Ethics Agreement that describes the steps that I will take to avoid any actual or apparent conflict of interest if I am confirmed. That Ethics Agreement is attached as Exhibit 1.

As reflected in Section 2 of that agreement, if confirmed, I will divest my interest in the Fidelity Defense and Aerospace Portfolio Fund no later than 90 days after my confirmation. Furthermore, until I have completed that divestiture, I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the financial interests of any holding in that sector, unless I first obtain a waiver or qualify for a regulatory exemption.

I will continue to follow the advice of government ethics officials to address any conflict-of-interest issues that might arise.

20. DO YOU INTEND TO SEVER ALL BUSINESS CONNECTIONS WITH YOUR PRESENT EMPLOYERS, FIRMS, BUSINESS ASSOCIATES AND/OR PARTNERSHIPS, OR OTHER ORGANIZATIONS IN THE EVENT THAT YOU ARE CONFIRMED BY THE SENATE? IF NOT, PLEASE EXPLAIN.

Yes.

21. DESCRIBE THE FINANCIAL ARRANGEMENTS YOU HAVE MADE OR PLAN TO MAKE, IF YOU ARE CONFIRMED, IN CONNECTION WITH SEVERANCE FROM YOUR CURRENT POSITION. PLEASE INCLUDE SEVERANCE PAY, PENSION RIGHTS, STOCK OPTIONS, DEFERRED INCOME ARRANGEMENTS, AND ANY AND ALL COMPENSATION THAT WILL OR MIGHT BE RECEIVED IN THE FUTURE AS A RESULT OF YOUR CURRENT BUSINESS OR PROFESSIONAL RELATIONSHIPS.

If confirmed, I plan to retain my current government benefits if possible. I intend to continue participating in the 401(k) defined benefit plan through a prior employer (King & Spalding LLP), but the firm ceased making contributions upon my separation in 2003.

22. DO YOU HAVE ANY PLANS, COMMITMENTS, OR AGREEMENTS TO PURSUE OUTSIDE EMPLOYMENT, WITH OR WITHOUT COMPENSATION, DURING YOUR SERVICE WITH THE GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No.

23. AS FAR AS CAN BE FORESEEN, STATE YOUR PLANS AFTER COMPLETING GOVERNMENT SERVICE. PLEASE SPECIFICALLY DESCRIBE ANY AGREEMENTS OR UNDERSTANDINGS, WRITTEN OR UNWRITTEN, CONCERNING EMPLOYMENT AFTER LEAVING GOVERNMENT SERVICE. IN PARTICULAR, DESCRIBE ANY AGREEMENTS, UNDERSTANDINGS, OR OPTIONS TO RETURN TO YOUR CURRENT POSITION.

I currently intend to retire after government service. I do not have any agreements or understandings regarding future employment.

24. IF YOU ARE PRESENTLY IN GOVERNMENT SERVICE, DURING THE PAST FIVE YEARS OF SUCH SERVICE, HAVE YOU RECEIVED FROM A PERSON OUTSIDE OF GOVERNMENT AN OFFER OR EXPRESSION OF INTEREST TO EMPLOY YOUR SERVICES AFTER YOU LEAVE GOVERNMENT SERVICE? IF YES, PLEASE PROVIDE DETAILS.

No.

25. IS YOUR SPOUSE EMPLOYED? IF YES AND THE NATURE OF THIS EMPLOYMENT IS RELATED IN ANY WAY TO THE POSITION FOR WHICH YOU ARE SEEKING CONFIRMATION, PLEASE INDICATE YOUR SPOUSE'S EMPLOYER, THE POSITION, AND THE LENGTH OF TIME THE POSITION HAS BEEN HELD. IF YOUR SPOUSE'S EMPLOYMENT IS NOT RELATED TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED, PLEASE SO STATE.

Yes. My wife's employment (at Fairfax County Public Schools) is not related to the position to which I have been nominated.

26. LIST BELOW ALL CORPORATIONS, PARTNERSHIPS, FOUNDATIONS, TRUSTS, OR OTHER ENTITIES TOWARD WHICH YOU OR YOUR SPOUSE HAVE FIDUCIARY OBLIGATIONS OR IN WHICH YOU OR YOUR SPOUSE HAVE HELD DIRECTORSHIPS OR OTHER POSITIONS OF TRUST DURING THE PAST FIVE YEARS.

REDACTED

27. LIST ALL GIFTS EXCEEDING \$100 IN VALUE RECEIVED DURING THE PAST FIVE YEARS BY YOU, YOUR SPOUSE, OR YOUR DEPENDENTS. (NOTE: GIFTS RECEIVED FROM RELATIVES AND GIFTS GIVEN TO YOUR SPOUSE OR DEPENDENT NEED NOT BE INCLUDED UNLESS THE GIFT WAS GIVEN WITH YOUR KNOWLEDGE AND ACQUIESCENCE AND YOU HAD REASON TO BELIEVE THE GIFT WAS GIVEN BECAUSE OF YOUR OFFICIAL POSITION.)

Tickets for my spouse and I to attend the Intelligence and National Security Alliance (INSA) Baker Award dinner in 2019.

Tickets for my spouse and I to attend the United States Geospatial Intelligence Foundation (USGIF) GEOGala Dinner in 2017 and 2018.

28. LIST ALL SECURITIES, REAL PROPERTY, PARTNERSHIP INTERESTS, OR OTHER INVESTMENTS OR RECEIVABLES WITH A CURRENT MARKET VALUE (OR, IF MARKET VALUE IS NOT ASCERTAINABLE, ESTIMATED CURRENT FAIR VALUE) IN EXCESS OF \$1,000. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE A OF THE DISCLOSURE FORMS OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CURRENT VALUATIONS ARE USED.)

<u>DESCRIPTION OF PROPERTY</u>	<u>VALUE</u>	<u>METHOD OF VALUATION</u>
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Please see my OGE-278, which was completed using current valuations.

29. LIST ALL LOANS OR OTHER INDEBTEDNESS (INCLUDING ANY CONTINGENT LIABILITIES) IN EXCESS OF \$10,000. EXCLUDE A MORTGAGE ON YOUR PERSONAL RESIDENCE UNLESS IT IS RENTED OUT, AND LOANS SECURED BY AUTOMOBILES, HOUSEHOLD FURNITURE, OR APPLIANCES. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE C OF THE DISCLOSURE FORM OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CONTINGENT LIABILITIES ARE ALSO INCLUDED.)

<u>NATURE OF OBLIGATION</u>	<u>NAME OF OBLIGEE</u>	<u>AMOUNT</u>
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Please see my OGE-278, which included contingent liabilities.

30. ARE YOU OR YOUR SPOUSE NOW IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION? HAVE YOU OR YOUR SPOUSE BEEN IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION IN THE PAST TEN YEARS? HAVE YOU OR YOUR SPOUSE EVER BEEN REFUSED CREDIT OR HAD A LOAN APPLICATION DENIED? IF THE ANSWER TO ANY OF THESE QUESTIONS IS YES, PLEASE PROVIDE DETAILS.

No to all.

31. LIST THE SPECIFIC SOURCES AND AMOUNTS OF ALL INCOME RECEIVED DURING THE LAST FIVE YEARS, INCLUDING ALL SALARIES, FEES, DIVIDENDS, INTEREST, GIFTS, RENTS, ROYALTIES, PATENTS, HONORARIA, AND OTHER ITEMS EXCEEDING \$200. (COPIES OF U.S. INCOME TAX RETURNS FOR THESE YEARS MAY BE SUBSTITUTED HERE, BUT THEIR SUBMISSION IS NOT REQUIRED.)

REDACTED

32. IF ASKED, WILL YOU PROVIDE THE COMMITTEE WITH COPIES OF YOUR AND YOUR SPOUSE'S FEDERAL INCOME TAX RETURNS FOR THE PAST THREE YEARS?

Yes.

33. LIST ALL JURISDICTIONS IN WHICH YOU AND YOUR SPOUSE FILE ANNUAL INCOME TAX RETURNS.

Federal
Virginia

34. HAVE YOUR FEDERAL OR STATE TAX RETURNS BEEN THE SUBJECT OF AN AUDIT, INVESTIGATION, OR INQUIRY AT ANY TIME? IF SO, PLEASE PROVIDE DETAILS, INCLUDING THE RESULT OF ANY SUCH PROCEEDING.

No.

35. IF YOU ARE AN ATTORNEY, ACCOUNTANT, OR OTHER PROFESSIONAL, PLEASE LIST ALL CLIENTS AND CUSTOMERS WHOM YOU BILLED MORE THAN \$200 WORTH OF SERVICES DURING THE PAST FIVE YEARS. ALSO, LIST ALL JURISDICTIONS IN WHICH YOU ARE LICENSED TO PRACTICE.

I have been in government service and have not billed any clients during the past five years.

I am licensed to practice law in the District of Columbia (active), Colorado (inactive), and California (inactive).

36. DO YOU INTEND TO PLACE YOUR FINANCIAL HOLDINGS AND THOSE OF YOUR SPOUSE AND DEPENDENT MEMBERS OF YOUR IMMEDIATE HOUSEHOLD IN A BLIND TRUST? IF YES, PLEASE FURNISH DETAILS. IF NO, DESCRIBE OTHER ARRANGEMENTS FOR AVOIDING ANY POTENTIAL CONFLICTS OF INTEREST.

No. My financial holdings consist of diversified mutual funds, real property, cash, and cash equivalents.

As noted above in response to Question 19 and as reflected in my Ethics Agreement, if confirmed, I will divest my interest in the Fidelity Defense and Aerospace Portfolio Fund no later than 90 days after my confirmation.

I will continue to follow the advice of government ethics officials to address any conflict-of-interest issues that might arise.

37. IF APPLICABLE, LIST THE LAST THREE YEARS OF ANNUAL FINANCIAL DISCLOSURE REPORTS YOU HAVE BEEN REQUIRED TO FILE WITH YOUR AGENCY, DEPARTMENT, OR BRANCH OF GOVERNMENT. IF ASKED, WILL YOU PROVIDE A COPY OF THESE REPORTS?

I filed OGE Form 278 with the ODNI as a nominee in 2021. I filed OGE Form 278 with NGA in 2019, 2018, 2017, and plan to file my 2020 report before my extended deadline of July 1, 2021.

I also filed the NGA Security Financial Disclosure form in 2021, 2020, and 2019.

Yes, if asked, I will provide copies of these reports.

PART E - ETHICAL MATTERS

38. HAVE YOU EVER BEEN THE SUBJECT OF A DISCIPLINARY PROCEEDING OR CITED FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT BY, OR BEEN THE SUBJECT OF A COMPLAINT TO, ANY COURT, ADMINISTRATIVE AGENCY, PROFESSIONAL ASSOCIATION, DISCIPLINARY COMMITTEE, OR OTHER PROFESSIONAL GROUP? IF SO, PLEASE PROVIDE DETAILS.

No.

39. HAVE YOU EVER BEEN INVESTIGATED, HELD, ARRESTED, OR CHARGED BY ANY FEDERAL, STATE, OR OTHER LAW ENFORCEMENT AUTHORITY FOR VIOLATION OF ANY FEDERAL STATE, COUNTY, OR MUNICIPAL LAW, REGULATION, OR ORDINANCE, OTHER THAN A MINOR TRAFFIC OFFENSE, OR NAMED AS A DEFENDANT OR OTHERWISE IN ANY INDICTMENT OR INFORMATION RELATING TO SUCH VIOLATION? IF SO, PLEASE PROVIDE DETAILS.

No.

40. HAVE YOU EVER BEEN CONVICTED OF OR ENTERED A PLEA OF GUILTY OR NOLO CONTENDERE TO ANY CRIMINAL VIOLATION OTHER THAN A MINOR TRAFFIC OFFENSE? IF SO, PLEASE PROVIDE DETAILS.

No.

41. ARE YOU PRESENTLY OR HAVE YOU EVER BEEN A PARTY IN INTEREST IN ANY ADMINISTRATIVE AGENCY PROCEEDING OR CIVIL LITIGATION? IF SO, PLEASE PROVIDE DETAILS.

No.

42. HAVE YOU BEEN INTERVIEWED OR ASKED TO SUPPLY ANY INFORMATION AS A WITNESS OR OTHERWISE IN CONNECTION WITH ANY CONGRESSIONAL INVESTIGATION, FEDERAL, OR STATE AGENCY PROCEEDING, GRAND JURY INVESTIGATION, OR CRIMINAL OR CIVIL LITIGATION IN THE PAST TEN YEARS? IF SO, PLEASE PROVIDE DETAILS.

As I recall, in 2015, I was interviewed by the NGA Office of Inspector General as a witness regarding an investigation about alleged misuse of government funds. Another attorney in the NGA Office of General Counsel had provided legal advice about the matter. I believe those allegations were not substantiated.

As I recall, in 2018 and 2019, I provided witness statements to the NGA Office of Equal Employment Opportunity related to that office's review of allegations of discrimination or unequal treatment during the NGA promotion process. In my role as NGA General Counsel, I had provided legal advice concerning the NGA promotion process, but I was not a voting member of the promotion panel at issue or the subject of the allegations. I believe the claims were not substantiated.

43. HAS ANY BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, DIRECTOR, OR PARTNER BEEN A PARTY TO ANY ADMINISTRATIVE AGENCY PROCEEDING OR CRIMINAL OR CIVIL LITIGATION RELEVANT TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED? IF SO, PLEASE PROVIDE DETAILS. (WITH RESPECT TO A BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, YOU NEED ONLY CONSIDER PROCEEDINGS AND LITIGATION THAT OCCURRED WHILE YOU WERE AN OFFICER OF THAT BUSINESS.)

No.

44. HAVE YOU EVER BEEN THE SUBJECT OF ANY INSPECTOR GENERAL INVESTIGATION? IF SO, PLEASE PROVIDE DETAILS.

No.

PART F - SECURITY INFORMATION

45. HAVE YOU EVER BEEN DENIED ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION FOR ANY REASON? IF YES, PLEASE EXPLAIN IN DETAIL.

No.

46. HAVE YOU BEEN REQUIRED TO TAKE A POLYGRAPH EXAMINATION FOR ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION? IF YES, PLEASE EXPLAIN.

Yes. I have been required to take polygraph examinations as part of the routine security clearance background investigations and reinvestigations.

47. HAVE YOU EVER REFUSED TO SUBMIT TO A POLYGRAPH EXAMINATION? IF YES, PLEASE EXPLAIN.

No.

PART G - ADDITIONAL INFORMATION

48. DESCRIBE IN YOUR OWN WORDS THE CONCEPT OF CONGRESSIONAL OVERSIGHT OF U.S. INTELLIGENCE ACTIVITIES. IN PARTICULAR, CHARACTERIZE WHAT YOU BELIEVE TO BE THE OBLIGATIONS OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY AND THE INTELLIGENCE COMMITTEES OF THE CONGRESS, RESPECTIVELY, IN THE OVERSIGHT PROCESS.

Congressional oversight helps ensure that intelligence activities are conducted in compliance with the Constitution and law, and are in keeping with our basic values. Congressional oversight by the intelligence committees plays a critical role for the American people because intelligence activities by their nature often must be undertaken in secret.

The IC IG helps enable Congressional oversight by keeping the intelligence committees fully and currently informed of significant problems and deficiencies relating to programs and activities within the responsibility and authority of the DNI, and the necessity for, and progress of, corrective actions. In addition, the IC IG's enacting statute lists specific matters that obligate the IC IG to immediately notify and report to the congressional intelligence committees.

Congress and IGs have a complementary oversight role and shared responsibility to help promote good and accountable government, ensure an efficient and effective IC, and be the eyes and ears for the American people because full transparency is not possible. By doing so, Congress and IGs help foster trust and confidence in the IC.

During my time as Acting Inspector General of the Intelligence Community, I believe I have demonstrated my understanding of the importance of congressional oversight by actively engaging with the intelligence oversight committees on multiple occasions.

49. EXPLAIN YOUR UNDERSTANDING OF THE RESPONSIBILITIES OF THE INSPECTOR GENERAL OF THE INTELLIGENCE COMMUNITY.

The Inspector General of the Intelligence Community takes a solemn Constitutional oath to support and defend the Constitution of the United States against all enemies, foreign and domestic, and to bear true faith and allegiance to the Constitution. In accordance with this oath, the person in this position must have an unwavering commitment to independent and objective oversight in order to strengthen the IC in service to the Nation.

The specific duties, responsibilities, and authorities of the IC IG are found in the Intelligence Authorization Act for FY 2010 [50 U.S.C. § 3033] and the Inspector General Act of 1978, as amended. The mission of the IC IG is to promote economy, efficiency, and effectiveness and to prevent and detect fraud, waste, and abuse in the programs and activities within the DNI's responsibility and authority. The IC IG independently conducts audits, inspections, investigations, and reviews of programs or activities within the DNI's responsibility and authority, and issues reports that frequently contain recommendations for corrective actions. The IC IG also tracks the implementation of those corrective actions. The IC IG is authorized to receive and investigate complaints or information from whistleblowers, and to conduct independent reviews of IC whistleblower reprisal claims.

The IC IG keeps the DNI and Congress appropriately informed regarding problems and deficiencies relating to programs or activities within the DNI's responsibility and authority.

The IC IG also serves as the Chair of the IC IG Forum. The Forum members consist of the statutory and administrative IGs with oversight responsibility for an IC element. The Forum provides a venue for coordination and collaboration regarding matters of common interest, questions of jurisdiction, and access to personnel and information that may affect more than one Office of the Inspector General.

The IC IG also has specific authorities related to personnel and budget in order to help ensure adequate resourcing and appropriate independence.

AFFIRMATION

I, **THOMAS A. MONHEIM**, DO SWEAR THAT THE ANSWERS I HAVE PROVIDED TO THIS QUESTIONNAIRE ARE ACCURATE AND COMPLETE.

May 17, 2021
(Date)

SIGNATURE OF THOMAS MONHEIM

SIGNATURE OF NOTARY

TO THE CHAIRMAN, SELECT COMMITTEE ON INTELLIGENCE:

In connection with my nomination to be the Inspector General of the Intelligence Community, I hereby express my willingness to respond to requests to appear and testify before any duly constituted committee of the Senate.

SIGNATURE OF THOMAS MONHEIM

Date: May 17, 2021

SIGNATURE OF NOTARY