

# SELECT COMMITTEE ON INTELLIGENCE

UNITED STATES SENATE



QUESTIONNAIRE FOR COMPLETION BY  
PRESIDENTIAL NOMINEES

**SELECT COMMITTEE ON INTELLIGENCE  
UNITED STATES SENATE**

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**PART A - BIOGRAPHICAL INFORMATION**

1. FULL NAME: John Lee Ratcliffe

OTHER NAMES USED: None

2. DATE AND PLACE OF BIRTH: October 20, 1965, Chicago IL

CITIZENSHIP: USA

3. MARITAL STATUS: Married

4. SPOUSE'S NAME: Michele Addington Ratcliffe

5. SPOUSE'S MAIDEN NAME IF APPLICABLE: Michele Dawn Addington

6. NAMES AND AGES OF CHILDREN:

NAME

**INFORMATION REDACTED**

7. EDUCATION SINCE HIGH SCHOOL:

<u>INSTITUTION</u>	<u>DATES ATTENDED</u>	<u>DEGREE RECEIVED</u>	<u>DATE OF DEGREE</u>
Univ. of Notre Dame	1983-1986	B.A.	May 1986
Southern Methodist Univ.	1986-1989	J.D.	May 1989

8. EMPLOYMENT RECORD (LIST ALL POSITIONS HELD SINCE COLLEGE, INCLUDING MILITARY SERVICE. INDICATE NAME OF EMPLOYER, POSITION, TITLE OR DESCRIPTION, LOCATION, AND DATES OF EMPLOYMENT).

<u>EMPLOYER</u>	<u>POSITION/TITLE</u>	<u>LOCATION</u>	<u>DATES</u>
Ray Trotti Hemphill & Finrock, P.C.	Law Clerk/Attorney	Texas	1987 – 1990
Maloney & Smith, P.C.	Attorney	Texas	1990 – 1991
Holmes Millard & Duncan	Attorney	Texas	1991 – 1993
Texas Wesleyan Univ. (now TX A&M)	Adjunct Professor (Part Time)	Texas	1991 – 1995
Sifford & Anderson, LLP	Attorney	Texas	1994 – 2000
Tucker & Ratcliffe, LLP	Attorney	Texas	2000 – 2004
City of Heath	City Council	Texas	2001 – 2004

Southern Methodist Univ.	Adjunct Professor (Part Time)	Texas	2002 – 2004
City of Heath	Mayor	Texas	2004 – 2012
U.S. Dept. of Justice	First Asst. U.S. Attorney	Texas	2005 – 2007
U.S. Dept. of Justice	U.S. Attorney, E. Dist. of TX	Texas	2007 – 2008
Ashcroft Sutton Ratcliffe, LLP	Partner	Texas	2009 – 2014
U.S. House of Representatives	Member of Congress, TX-4	Wash. DC	2015 – 2020

9. GOVERNMENT EXPERIENCE (INDICATE EXPERIENCE IN OR ASSOCIATION WITH FEDERAL, STATE, OR LOCAL GOVERNMENTS, INCLUDING ADVISORY, CONSULTATIVE, HONORARY, OR OTHER PART-TIME SERVICE OR POSITION. DO NOT REPEAT INFORMATION ALREADY PROVIDED IN QUESTION 8).

See Above, Question 8.

10. INDICATE ANY SPECIALIZED INTELLIGENCE OR NATIONAL SECURITY EXPERTISE YOU HAVE ACQUIRED HAVING SERVED IN THE POSITIONS DESCRIBED IN QUESTIONS 8 AND/OR 9.

During almost ten years of federal government federal service, I have gained extensive experience on national security and intelligence issues. While in the Department of Justice from 2005 to 2008, I served as the chief of anti-terrorism and national security overseeing the district-wide terrorism, international drug trafficking, human smuggling and immigration investigations and cases for the Eastern District of Texas including time as the US Attorney from 2007 to 2008. In addition to handling a docket of 34 separate terrorism and national security related investigations, I also served as the federal coordinator to the FBI's Joint Terrorism Task Force on terrorism related matters arising in the district with responsibility for conducting quarterly 315 case reviews of international terrorism matters, including the use of Foreign Intelligence Surveillance Act authorities.

Additionally, now in my sixth year as a member of the House of Representatives, I have been legislating on national security and intelligence issues while serving on the Homeland Security, Intelligence and Judiciary committees. As Chairman of the Homeland Cybersecurity Subcommittee for four years, I authored and passed bipartisan national security and cybersecurity bills signed into law during both Democratic and Republican administrations. As a member of the House Permanent Select Committee on Intelligence, I've authored bipartisan election security legislation and have gained considerable knowledge of sensitive intelligence issues and programs through our congressional oversight of the ODNI.

11. HONORS AND AWARDS (PROVIDE INFORMATION ON SCHOLARSHIPS, FELLOWSHIPS, HONORARY DEGREES, MILITARY DECORATIONS, CIVILIAN SERVICE CITATIONS, OR ANY OTHER SPECIAL RECOGNITION FOR OUTSTANDING PERFORMANCE OR ACHIEVEMENT).

- Daily Memorial Academic Scholarship University of Notre Dame 1983-1986
- Adjunct Professor of the Year 1992 Texas Wesleyan University School of Law
- Federal Bureau of Investigation (FBI) – Dallas Division – 2008. Presented to John L. Ratcliffe, United States Attorney, United States Department of Justice Eastern District of Texas, "With deep appreciation for your leadership, partnership, and support of the Dallas Division of the Federal Bureau of Investigation, January 23, 2005 - May 9, 2008."
- United States Attorney's Office – Eastern District of Texas – 2008 (est.). Presented to John L. Ratcliffe, "For your extraordinary service and remarkable leadership as United States Attorney."

- United States Secret Service – 2008. Presented “in appreciation to John Ratcliffe, United States Attorney Eastern District of Texas for outstanding assistance and support on behalf of the investigative and protective responsibilities of the United States Secret Service.”
- Internal Revenue Service Criminal Investigation – Dallas Field Office – 2008. Presented to John Ratcliffe, United States Attorney, “in recognition of outstanding service and cooperation in support of the mission of the IRS Criminal Investigation Dallas Field Office.”

12. ORGANIZATIONAL AFFILIATIONS (LIST MEMBERSHIPS IN AND OFFICES HELD WITHIN THE LAST TEN YEARS IN ANY PROFESSIONAL, CIVIC, FRATERNAL, BUSINESS, SCHOLARLY, CULTURAL, CHARITABLE, OR OTHER SIMILAR ORGANIZATIONS).

<u>ORGANIZATION</u>	<u>OFFICE HELD</u>	<u>DATES</u>
Texas Bar Association	Member (License #16560500)	1989-Present

13. PUBLISHED WRITINGS AND SPEECHES (LIST THE TITLES, PUBLISHERS, BLOGS AND PUBLICATION DATES OF ANY BOOKS, ARTICLES, REPORTS, OR OTHER PUBLISHED MATERIALS YOU HAVE AUTHORED. ALSO LIST ANY PUBLIC SPEECHES OR REMARKS YOU HAVE MADE WITHIN THE LAST TEN YEARS FOR WHICH THERE IS A TEXT, TRANSCRIPT, OR VIDEO). IF ASKED, WILL YOU PROVIDE A COPY OF EACH REQUESTED PUBLICATION, TEXT, TRANSCRIPT, OR VIDEO?

Please see Annex A for a comprehensive list of speeches and op-eds.

## **PART B - QUALIFICATIONS**

14. QUALIFICATIONS (DESCRIBE WHY YOU BELIEVE YOU ARE QUALIFIED TO SERVE AS THE DIRECTOR OF NATIONAL INTELLIGENCE).

As set forth in my response to question 10, I have extensive experience from positions held in the Department of Justice and the U.S. House of Representatives with national security and intelligence issues. In particular, my experiences integrating and coordinating national security information and priorities at the federal level, between federal agencies and with state and local partners in a nonpartisan manner is consistent with one of the core job responsibilities of the director of national intelligence. My demonstrated leadership in managing federal civil servants and budgets in pursuit of national security objectives while upholding legal and constitutional protections has likewise provided invaluable preparation for the challenges of this position.

Similarly, in Congress, successfully legislating in the area of integrating, coordinating and sharing of information and intelligence to protect against threats to our national security underscores my ability and commitment to directing the critical role of ODNI in that mission. My leadership and oversight roles in Congress have also provided important insights into the components within the DNI's jurisdiction and the corresponding obligations to accurately and timely inform Congress of intelligence matters as allowed, provided or mandated by federal law.

My qualifications are also reflected in the exercise of good analysis and judgment on important publicly disclosed intelligence issues, including the improper use of certain intelligence authorities. Should I be confirmed as DNI, I will continue to demonstrate a willingness to speak truth to power when intelligence failures may jeopardize national security or violate constitutional boundaries.

Finally, I believe my ability to lead, manage, integrate and coordinate across multiple branches of government to drive solutions in a nonpartisan manner makes me well qualified to serve as DNI, and in doing so, to advocate, elevate and augment the vitally important efforts of the men and women working throughout the intelligence community.

### **PART C - POLITICAL AND FOREIGN AFFILIATIONS**

15. POLITICAL ACTIVITIES (LIST ANY MEMBERSHIPS OR OFFICES HELD IN OR FINANCIAL CONTRIBUTIONS OR SERVICES RENDERED TO, ANY POLITICAL PARTY, ELECTION COMMITTEE, POLITICAL ACTION COMMITTEE, OR INDIVIDUAL CANDIDATE DURING THE LAST TEN YEARS).

Ratcliffe for Congress (principal campaign committee) (FEC ID C00554113)  
Lone Star Liberty Fund Political Contributions (FEC ID C00627661)

Please see Annex B for political contributions made personally and through PACs.

16. CANDIDACY FOR PUBLIC OFFICE (FURNISH DETAILS OF ANY CANDIDACY FOR ELECTIVE PUBLIC OFFICE).

Candidate for Council Member 2001, 2003 (unpaid/nonpartisan)  
Candidate for Mayor, Heath, TX 2004, 2006, 2008, 2010 (unpaid/nonpartisan)  
Candidate for U.S. House of Representatives, Texas's 4<sup>th</sup> District: 2014, 2016, 2018

17. FOREIGN AFFILIATIONS

(NOTE: QUESTIONS 17A AND B ARE NOT LIMITED TO RELATIONSHIPS REQUIRING REGISTRATION UNDER THE FOREIGN AGENTS REGISTRATION ACT. QUESTIONS 17A, B, AND C DO NOT CALL FOR A POSITIVE RESPONSE IF THE REPRESENTATION OR TRANSACTION WAS AUTHORIZED BY THE UNITED STATES GOVERNMENT IN CONNECTION WITH YOUR OR YOUR SPOUSE'S EMPLOYMENT IN GOVERNMENT SERVICE.)

- A. HAVE YOU OR YOUR SPOUSE EVER REPRESENTED IN ANY CAPACITY (E.G. EMPLOYEE, ATTORNEY, OR POLITICAL/BUSINESS CONSULTANT), WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No.

- B. HAVE ANY OF YOUR OR YOUR SPOUSE'S ASSOCIATES REPRESENTED, IN ANY CAPACITY, WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No.

C. DURING THE PAST TEN YEARS, HAVE YOU OR YOUR SPOUSE RECEIVED ANY COMPENSATION FROM, OR BEEN INVOLVED IN ANY FINANCIAL OR BUSINESS TRANSACTIONS WITH, A FOREIGN GOVERNMENT OR ANY ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No.

D. HAVE YOU OR YOUR SPOUSE EVER REGISTERED UNDER THE FOREIGN AGENTS REGISTRATION ACT? IF SO, PLEASE PROVIDE DETAILS.

No.

18. DESCRIBE ANY LOBBYING ACTIVITY DURING THE PAST TEN YEARS, OTHER THAN IN AN OFFICIAL U.S. GOVERNMENT CAPACITY, IN WHICH YOU OR YOUR SPOUSE HAVE ENGAGED FOR THE PURPOSE OF DIRECTLY OR INDIRECTLY INFLUENCING THE PASSAGE, DEFEAT, OR MODIFICATION OF FEDERAL LEGISLATION, OR FOR THE PURPOSE OF AFFECTING THE ADMINISTRATION AND EXECUTION OF FEDERAL LAW OR PUBLIC POLICY.

None. I have never been a registered lobbyist or engaged in lobbying activity. As a partner in Ashcroft Sutton Ratcliffe LLP, I provided legal services at various times between 2009-2014 to a U.S. born expatriate Bill Browder relating to efforts by the Government of Russia to extradite him through Interpol Red Notice issuances. Those legal services were a result of retaliatory efforts taken against Mr. Browder as a result of his efforts to support passage of the Sergei Magnitsky Rule of Law Accountability Act of 2012.

#### **PART D - FINANCIAL DISCLOSURE AND CONFLICT OF INTEREST**

19. DESCRIBE ANY EMPLOYMENT, BUSINESS RELATIONSHIP, FINANCIAL TRANSACTION, INVESTMENT, ASSOCIATION, OR ACTIVITY (INCLUDING, BUT NOT LIMITED TO, DEALINGS WITH THE FEDERAL GOVERNMENT ON YOUR OWN BEHALF OR ON BEHALF OF A CLIENT), WHICH COULD CREATE, OR APPEAR TO CREATE, A CONFLICT OF INTEREST IN THE POSITION TO WHICH YOU HAVE BEEN NOMINATED.

None.

20. DO YOU INTEND TO SEVER ALL BUSINESS CONNECTIONS WITH YOUR PRESENT EMPLOYERS, FIRMS, BUSINESS ASSOCIATES AND/OR PARTNERSHIPS, OR OTHER ORGANIZATIONS IN THE EVENT THAT YOU ARE CONFIRMED BY THE SENATE? IF NOT, PLEASE EXPLAIN.

Yes, if necessary. However I'm not aware of any such business connections or partnerships.

21. DESCRIBE THE FINANCIAL ARRANGEMENTS YOU HAVE MADE OR PLAN TO MAKE, IF YOU ARE CONFIRMED, IN CONNECTION WITH SEVERANCE FROM YOUR CURRENT POSITION. PLEASE INCLUDE SEVERANCE PAY, PENSION RIGHTS, STOCK OPTIONS, DEFERRED INCOME ARRANGEMENTS, AND ANY AND ALL COMPENSATION THAT WILL OR MIGHT BE RECEIVED IN THE FUTURE AS A RESULT OF YOUR CURRENT BUSINESS OR PROFESSIONAL RELATIONSHIPS.

As a current federal employee, I have no outside business connections or arrangements to sever.

22. DO YOU HAVE ANY PLANS, COMMITMENTS, OR AGREEMENTS TO PURSUE OUTSIDE EMPLOYMENT, WITH OR WITHOUT COMPENSATION, DURING YOUR SERVICE WITH THE GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No.

23. AS FAR AS CAN BE FORESEEN, STATE YOUR PLANS AFTER COMPLETING GOVERNMENT SERVICE. PLEASE SPECIFICALLY DESCRIBE ANY AGREEMENTS OR UNDERSTANDINGS, WRITTEN OR UNWRITTEN, CONCERNING EMPLOYMENT AFTER LEAVING GOVERNMENT SERVICE. IN PARTICULAR, DESCRIBE ANY AGREEMENTS, UNDERSTANDINGS, OR OPTIONS TO RETURN TO YOUR CURRENT POSITION.

I currently have no plans nor any agreements with any prospective employer.

24. IF YOU ARE PRESENTLY IN GOVERNMENT SERVICE, DURING THE PAST FIVE YEARS OF SUCH SERVICE, HAVE YOU RECEIVED FROM A PERSON OUTSIDE OF GOVERNMENT AN OFFER OR EXPRESSION OF INTEREST TO EMPLOY YOUR SERVICES AFTER YOU LEAVE GOVERNMENT SERVICE? IF YES, PLEASE PROVIDE DETAILS.

None.

25. IS YOUR SPOUSE EMPLOYED? IF YES AND THE NATURE OF THIS EMPLOYMENT IS RELATED IN ANY WAY TO THE POSITION FOR WHICH YOU ARE SEEKING CONFIRMATION, PLEASE INDICATE YOUR SPOUSE'S EMPLOYER, THE POSITION, AND THE LENGTH OF TIME THE POSITION HAS BEEN HELD. IF YOUR SPOUSE'S EMPLOYMENT IS NOT RELATED TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED, PLEASE SO STATE.

Yes, my spouse is employed but not in a field that is related to the ODNI, the intelligence community, or any portion of the federal government.

26. LIST BELOW ALL CORPORATIONS, PARTNERSHIPS, FOUNDATIONS, TRUSTS, OR OTHER ENTITIES TOWARD WHICH YOU OR YOUR SPOUSE HAVE FIDUCIARY OBLIGATIONS OR IN WHICH YOU OR YOUR SPOUSE HAVE HELD DIRECTORSHIPS OR OTHER POSITIONS OF TRUST DURING THE PAST FIVE YEARS.

<u>NAME OF ENTITY</u>	<u>POSITION</u>	<u>DATES HELD</u>	<u>SELF OR SPOUSE</u>
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INFORMATION REDACTED

27. LIST ALL GIFTS EXCEEDING \$100 IN VALUE RECEIVED DURING THE PAST FIVE YEARS BY YOU, YOUR SPOUSE, OR YOUR DEPENDENTS. (NOTE: GIFTS RECEIVED FROM RELATIVES AND GIFTS GIVEN TO YOUR SPOUSE OR DEPENDENT NEED NOT BE INCLUDED UNLESS THE GIFT WAS GIVEN WITH YOUR KNOWLEDGE AND ACQUIESCENCE AND YOU HAD REASON TO BELIEVE THE GIFT WAS GIVEN BECAUSE OF YOUR OFFICIAL POSITION.)

None.

28. LIST ALL SECURITIES, REAL PROPERTY, PARTNERSHIP INTERESTS, OR OTHER INVESTMENTS OR RECEIVABLES WITH A CURRENT MARKET VALUE (OR, IF MARKET VALUE IS NOT ASCERTAINABLE, ESTIMATED CURRENT FAIR VALUE) IN EXCESS OF \$1,000. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE A OF THE DISCLOSURE FORMS OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CURRENT VALUATIONS ARE USED.)

DESCRIPTION OF PROPERTY

VALUE

METHOD OF VALUATION

## INFORMATION REDACTED

29. LIST ALL LOANS OR OTHER INDEBTEDNESS (INCLUDING ANY CONTINGENT LIABILITIES) IN EXCESS OF \$10,000. EXCLUDE A MORTGAGE ON YOUR PERSONAL RESIDENCE UNLESS IT IS RENTED OUT, AND LOANS SECURED BY AUTOMOBILES, HOUSEHOLD FURNITURE, OR APPLIANCES. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE C OF THE DISCLOSURE FORM OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CONTINGENT LIABILITIES ARE ALSO INCLUDED.)

NATURE OF OBLIGATION

NAME OF OBLIGEE

AMOUNT

None.



30. ARE YOU OR YOUR SPOUSE NOW IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION? HAVE YOU OR YOUR SPOUSE BEEN IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION IN THE PAST TEN YEARS? HAVE YOU OR YOUR SPOUSE EVER BEEN REFUSED CREDIT OR HAD A LOAN APPLICATION DENIED? IF THE ANSWER TO ANY OF THESE QUESTIONS IS YES, PLEASE PROVIDE DETAILS.

No.

31. LIST THE SPECIFIC SOURCES AND AMOUNTS OF ALL INCOME RECEIVED DURING THE LAST FIVE YEARS, INCLUDING ALL SALARIES, FEES, DIVIDENDS, INTEREST, GIFTS, RENTS, ROYALTIES, PATENTS, HONORARIA, AND OTHER ITEMS EXCEEDING \$200. (COPIES OF U.S. INCOME TAX RETURNS FOR THESE YEARS MAY BE SUBSTITUTED HERE, BUT THEIR SUBMISSION IS NOT REQUIRED.)

## INFORMATION REDACTED

32. IF ASKED, WILL YOU PROVIDE THE COMMITTEE WITH COPIES OF YOUR AND YOUR SPOUSE'S FEDERAL INCOME TAX RETURNS FOR THE PAST THREE YEARS?

Yes.

33. LIST ALL JURISDICTIONS IN WHICH YOU AND YOUR SPOUSE FILE ANNUAL INCOME TAX RETURNS.

Texas.

34. HAVE YOUR FEDERAL OR STATE TAX RETURNS BEEN THE SUBJECT OF AN AUDIT, INVESTIGATION, OR INQUIRY AT ANY TIME? IF SO, PLEASE PROVIDE DETAILS, INCLUDING THE RESULT OF ANY SUCH PROCEEDING.

No.

35. IF YOU ARE AN ATTORNEY, ACCOUNTANT, OR OTHER PROFESSIONAL, PLEASE LIST ALL CLIENTS AND CUSTOMERS WHOM YOU BILLED MORE THAN \$200 WORTH OF SERVICES DURING THE PAST FIVE YEARS. ALSO, LIST ALL JURISDICTIONS IN WHICH YOU ARE LICENSED TO PRACTICE.

I have performed no legal billing within the last 5 years. I am licensed to practice law in the State of Texas (License #16560500).

36. DO YOU INTEND TO PLACE YOUR FINANCIAL HOLDINGS AND THOSE OF YOUR SPOUSE AND DEPENDENT MEMBERS OF YOUR IMMEDIATE HOUSEHOLD IN A BLIND TRUST? IF YES, PLEASE FURNISH DETAILS. IF NO, DESCRIBE OTHER ARRANGEMENTS FOR AVOIDING ANY POTENTIAL CONFLICTS OF INTEREST.

As a U.S. Representative for the previous five years, I have ensured my financial holdings meet U.S. House of Representatives requirements, and I do not believe any current holding would present a conflict of interest. If confirmed, I will execute, and abide by, an agreement with the ODNI to avoid any conflict of interest under the applicable statutes and regulations.

37. IF APPLICABLE, LIST THE LAST THREE YEARS OF ANNUAL FINANCIAL DISCLOSURE REPORTS YOU HAVE BEEN REQUIRED TO FILE WITH YOUR AGENCY, DEPARTMENT, OR BRANCH OF GOVERNMENT. IF ASKED, WILL YOU PROVIDE A COPY OF THESE REPORTS?

2019 U.S. House of Representatives Financial Disclosure Form  
2018 U.S. House of Representatives Financial Disclosure Form  
2017 U.S. House of Representatives Financial Disclosure Form

## **PART E - ETHICAL MATTERS**

38. HAVE YOU EVER BEEN THE SUBJECT OF A DISCIPLINARY PROCEEDING OR CITED FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT BY, OR BEEN THE SUBJECT OF A COMPLAINT TO, ANY COURT, ADMINISTRATIVE AGENCY, PROFESSIONAL ASSOCIATION, DISCIPLINARY COMMITTEE, OR OTHER PROFESSIONAL GROUP? IF SO, PLEASE PROVIDE DETAILS.

No.

39. HAVE YOU EVER BEEN INVESTIGATED, HELD, ARRESTED, OR CHARGED BY ANY FEDERAL, STATE, OR OTHER LAW ENFORCEMENT AUTHORITY FOR VIOLATION OF ANY FEDERAL STATE, COUNTY, OR MUNICIPAL LAW, REGULATION, OR ORDINANCE, OTHER THAN A MINOR TRAFFIC OFFENSE, OR NAMED AS A DEFENDANT OR OTHERWISE IN ANY INDICTMENT OR INFORMATION RELATING TO SUCH VIOLATION? IF SO, PLEASE PROVIDE DETAILS.

No.

40. HAVE YOU EVER BEEN CONVICTED OF OR ENTERED A PLEA OF GUILTY OR NOLO CONTENDERE TO ANY CRIMINAL VIOLATION OTHER THAN A MINOR TRAFFIC OFFENSE? IF SO, PLEASE PROVIDE DETAILS.

No.

41. ARE YOU PRESENTLY OR HAVE YOU EVER BEEN A PARTY IN INTEREST IN ANY ADMINISTRATIVE AGENCY PROCEEDING OR CIVIL LITIGATION? IF SO, PLEASE PROVIDE DETAILS.

Yes. Please see the below and attachment.

1. Civil action filed in Dallas County, Texas in 2002 against my wife, me and our law firm (Tucker & Ratcliffe, LLP) by the another law firm where we had both worked previously but which we had departed to start our own firm (Tucker & Ratcliffe, LLP). The dispute was over responsibility for case files. The other firm alleged that we had taken cases files without the authority of the clients. Both clients provided testimony that we did in fact have express authority to handle the matters in question, and a Take Nothing Judgment in our favor was signed and entered by Judge Karen Johnson in the 95th Judicial District Court on January 13, 2004. (Copy provided – Please see Annex C)
  2. Civil action filed in Dallas County, Texas in 2002 by Steger Towne Crossing, LP against SMJM, Inc and Ratcliffe Enterprises, Inc. From 1998-2001, My wife and I were sole shareholders of Ratcliffe Enterprises, Inc, a corporation which owned an Athlete's Foot franchise store in Rockwall, Texas. Ratcliffe Enterprises entered a landlord-tenant lease agreement with Steger Towne Crossing, LP, which managed the shopping center where the store was located. In May 2001, Ratcliffe Enterprises sold the store to SMJM, Inc., who was approved as sublessee on the lease agreement. SMJM, Inc. later defaulted on the lease for nonpayment of rent. Ratcliffe Enterprises, Inc. was named in the suit as the original lessee. SMJM subsequently reached an agreement with Steger Towne Crossing on outstanding rent due. All claims against Ratcliffe Enterprises, Inc. were dismissed with by an Agreed Order of Dismissal with Prejudice signed by Judge Sally Montgomery in County Court at Law #3 on March 1, 2004 (Copy provided – Please see Annex C)
42. HAVE YOU BEEN INTERVIEWED OR ASKED TO SUPPLY ANY INFORMATION AS A WITNESS OR OTHERWISE IN CONNECTION WITH ANY CONGRESSIONAL INVESTIGATION, FEDERAL, OR STATE AGENCY PROCEEDING, GRAND JURY INVESTIGATION, OR CRIMINAL OR CIVIL LITIGATION IN THE PAST TEN YEARS? IF SO, PLEASE PROVIDE DETAILS.

Yes. I have testified before the House Judiciary Committee regarding my proposed balanced budget amendment.

43. HAS ANY BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, DIRECTOR, OR PARTNER BEEN A PARTY TO ANY ADMINISTRATIVE AGENCY PROCEEDING OR CRIMINAL OR CIVIL LITIGATION RELEVANT TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED? IF SO, PLEASE PROVIDE DETAILS. (WITH RESPECT TO A BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, YOU NEED ONLY CONSIDER PROCEEDINGS AND LITIGATION THAT OCCURRED WHILE YOU WERE AN OFFICER OF THAT BUSINESS.)

No.

44. HAVE YOU EVER BEEN THE SUBJECT OF ANY INSPECTOR GENERAL INVESTIGATION? IF SO, PLEASE PROVIDE DETAILS.

N/A.

## **PART F - SECURITY INFORMATION**

45. HAVE YOU EVER BEEN DENIED ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION FOR ANY REASON? IF YES, PLEASE EXPLAIN IN DETAIL.

No.

46. HAVE YOU BEEN REQUIRED TO TAKE A POLYGRAPH EXAMINATION FOR ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION? IF YES, PLEASE EXPLAIN.

No.

47. HAVE YOU EVER REFUSED TO SUBMIT TO A POLYGRAPH EXAMINATION? IF YES, PLEASE EXPLAIN.

No.

## **PART G - ADDITIONAL INFORMATION**

48. DESCRIBE IN YOUR OWN WORDS THE CONCEPT OF CONGRESSIONAL OVERSIGHT OF U.S. INTELLIGENCE ACTIVITIES. IN PARTICULAR, CHARACTERIZE WHAT YOU BELIEVE TO BE THE OBLIGATIONS OF THE DIRECTOR OF NATIONAL INTELLIGENCE AND THE INTELLIGENCE COMMITTEES OF THE CONGRESS, RESPECTIVELY, IN THE OVERSIGHT PROCESS.

The law requires the President, and by extension the Intelligence Community, to keep the congressional intelligence committees “fully and currently informed” of its activities (50 USC 3091-93), which it does through a variety of means, including its annual budget submission, hearings, briefings, and both formal and informal congressional notifications. The congressional intelligence committees, in turn, oversee and examine the intelligence and intelligence-related programs and activities of the U.S. Government to ensure those activities are in conformity with the Constitution and U.S. law. Through a combination of legislation, hearings, investigations and reviews, analysis, the confirmation process, and regular engagement, the Congress, led by the Senate and House Intelligence Committees, oversee proposed or ongoing intelligence programs and activities to ensure they conform to the U.S. Constitution and the laws of the United States. If confirmed, I would fully support the congressional intelligence committees’ oversight of the IC, and specifically the ODNI through the proper reporting requirements so established and consistent engagement with the committees.

49. EXPLAIN YOUR UNDERSTANDING OF THE RESPONSIBILITIES OF THE DIRECTOR OF NATIONAL INTELLIGENCE.

The DNI has a primary responsibility of integrating and coordinating intelligence collected and analyzed through 16 component agencies in order to timely, accurately and objectively inform the President, National Security Council, Congress and other senior policy makers. To be successful in that mission, the DNI must be a chief collaborator, providing leadership to find balances between competing agencies, resolve conflicts, and mitigate administrative and operational barriers. Analyzing short-term IC priorities and needs while facilitating the development of long-term strategies for emerging national security trends and threats is a vital function and responsibility of the DNI within the National Intelligence Program. In addition, the DNI must serve as a strong voice advocating for Intelligence Community operations and interests through consistent engagement with Congress, other intelligence consumers and stakeholders and where appropriate, the American public. As the President’s principal intelligence advisor, the DNI has both a responsibility and duty to ensure that the intelligence informing strategic, tactical and operational national security decisions and policies, to every extent possible, is collected, analyzed and reported without bias, prejudice and remains free from political influence.

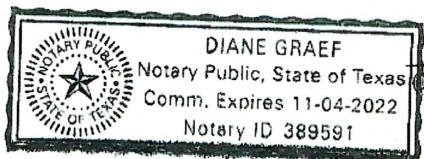
**AFFIRMATION**

I, **JOHN L. RATCLIFFE**, DO SWEAR THAT THE ANSWERS I HAVE PROVIDED TO THIS QUESTIONNAIRE ARE ACCURATE AND COMPLETE.

MARCH 18, 2020  
(Date)

SIGNATURE OF JOHN L. RATCLIFFE

JC



SIGNATURE OF NOTARY

TO THE CHAIRMAN, SELECT COMMITTEE ON INTELLIGENCE:

In connection with my nomination to be the Director of National Intelligence, I hereby express my willingness to respond to requests to appear and testify before any duly constituted committee of the Senate.

SIGNATURE OF JOHN L. RATCLIFFE

Date: MARCH 16, 2020

**SENATE SELECT COMMITTEE ON INTELLIGENCE  
QUESTIONNAIRE FOR COMPLETION BY PRESIDENTIAL  
NOMINEES**

**ANNEX A**

## **John Ratcliffe Public Speeches**

- ▣ **1/12/15: 2015 Heritage Conservative Policy Summit**
  - [Conservative Policy Summit - Sen. Ted Cruz, Rep. Jim Jordan, New Congressmen](#) (1:30:34)
  - [Conservative Policy Summit - Sen. Ted Cruz, Rep. Jim Jordan, New Congressmen](#) (1:59:14)
- ▣ **02/06/16: 2016 Heritage Conservative Policy Summit**
  - [2016 Conservative Policy Summit](#) (5:13:29)
- ▣ **06/16/16: A Better Way to Defend the Constitution**
  - [A Better Way to Defend the Constitution](#) (24:55)
- ▣ **10/29/16: Weekly Republican Address (Obamacare Repeal)**
  - [Weekly Republican Address](#) (Full Video)
- ▣ **10/27/17: Palo Alto's Ignite Conference**
  - [Congressman John Ratcliffe - Federal Ignite 2017](#) (2:30)
- ▣ **11/02/17: NVIDIA Tech Conference**
  - [GPU Technology Conference Live Stream Rep. Ratcliffe](#)
- ▣ **11/07/17: International Trademark Association 2017 Leadership Meeting**
  - [U.S. Representatives Ted Deutch and John Ratcliffe Address INTA Members at Leadership Meeting](#) (Full Transcript)
- ▣ **05/31/18: Texas-Israel Cybersecurity Chamber of Commerce Event "Securing Our Critical Infrastructure"**
  - [Texas-Israel Chamber \(Speech Clip 1\)](#)
  - [Texas-Israel Chamber \(Speech Clip 2\)](#)



## **John Ratcliffe Op-Eds/Publications: 2012-2020**

### **2015**

1. Notre Dame Journal of Law, Ethics & Public Policy, Vol. 26. Issue 1, Art. 3
  - i. [The Recent and Unusual Evolution of an Expanding FCPA \(1/1/12\)](#)
2. Washington Examiner, February 15, 2015, Cybersecurity
  - i. [OPINION: Cybersecurity and the threats we cannot ignore](#)
2. The Hill, March 9, 2015, Cybersecurity
  - i. [Cybersecurity legislation needed to safeguard personal information](#)
3. Herald Democrat, May 3, 2015, Environment
  - i. [JOHN RATCLIFFE: Floodplain executive order could cost taxpayers millions in Grayson County](#)
4. Washington Times, July 30, 2015, Economy
  - i. [Abolishing the Consumer Financial Protection Bureau](#)
5. The Fannin County Leader, November 6, 2015, Veterans
  - i. [Congressman John Ratcliffe: Veterans Day Editorial](#)
6. Washington Times, November 11, 2015, Energy
  - i. [The dirty business of 'clean power'](#)
7. Herald Democrat, November 22, 2015, Homeland Security
  - i. [JOHN RATCLIFFE: Syrian refugees: Security, compassion, and fighting this problem at the source](#)

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**SENATE SELECT COMMITTEE ON INTELLIGENCE  
QUESTIONNAIRE FOR COMPLETION BY PRESIDENTIAL  
NOMINEES**

**ANNEX B**

**Ratcliffe for Congress Political Contributions**

(FEC ID C00554113)

**Name**

**Amount**

**Date**

INFORMATION REDACTED

INFORMATION REDACTED

**Lone Star Liberty Fund Political Contributions**

(FEC ID C00627661)

**Name**

**Amount**

**Date**

INFORMATION REDACTED

**John L. Ratcliffe Personal Political Financial Contributions**

**NAME**

**AMOUNT**

**DATE**

INFORMATION REDACTED



**SENATE SELECT COMMITTEE ON INTELLIGENCE  
QUESTIONNAIRE FOR COMPLETION BY PRESIDENTIAL  
NOMINEES**

**ANNEX C**

SIFFORD, ANDERSON, VICE &  
MACFARLANE, L.L.P., formerly known as  
SIFFORD & ANDERSON, L.L.P., a Registered  
Limited Liability Partnership, Including  
Professional Corporations,

*Plaintiffs,*

v.

JOHN L. RATCLIFFE, MICHELE RATCLIFFE,  
JOHN L. RATCLIFFE, ATTORNEY &  
COUNSELOR, P.C., AND TUCKER &  
RATCLIFFE, L.L.P.,

*Defendants.*

§ IN THE DISTRICT COURT

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DALLAS COUNTY, TEXAS

95<sup>th</sup> JUDICIAL DISTRICT

**TAKE NOTHING JUDGMENT**

On the 13<sup>th</sup> day of January, 2004, came to be heard the above-entitled and numbered cause. The parties have appeared by and through their attorneys of record. The Court hereby

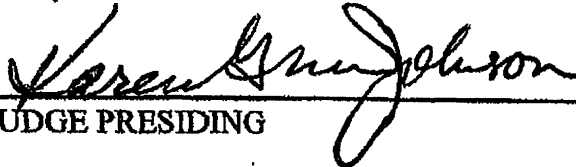
ORDERS, ADJUDGES, AND DECREES that Plaintiff Sifford, Anderson, Vice & McFarlane, L.L.P., formerly known as Sifford & Anderson, L.L.P., a Registered Limited Liability Partnership, Including Professional Corporations, take nothing for its claims against Defendants John L. Ratcliffe, Michele Ratcliffe, John L. Ratcliffe, P.C. and Tucker & Ratcliffe, L.L.P. and such claims are hereby dismissed with prejudice.

The Court further ORDERS, ADJUDGES, AND DECREES that Defendant John L. Ratcliffe take nothing for his counterclaims against Plaintiff and such claims are hereby dismissed with prejudice.

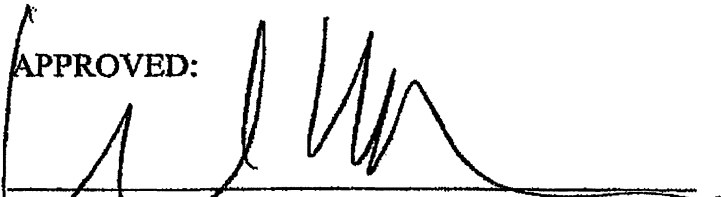
The parties each shall bear their own costs and attorneys' fees. All relief not expressly

granted is denied.

SIGNED this 13<sup>th</sup> day of January, 2004.

  
JUDGE PRESIDING

APPROVED:



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Facsimile: (214) 220-7716

*Attorney for Defendants*

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## CAUSE NO. 02-12805-C

STEGER TOWNE CROSSING II, L.P.  
a Texas Limited Partnership,

Plaintiff,

V.

RATCLIFFE ENTERPRISES, INC.,  
a Texas Corporation, and  
SMJM, INC., a Texas Corporation

Defendants.

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IN THE COUNTY COURT

AT LAW NO. 3

DALLAS COUNTY, TEXAS

**AGREED ORDER OF DISMISSAL WITH PREJUDICE**

Came to be heard the Agreed Motion to Dismiss with Prejudice filed on behalf of all the parties. The court having considered the Agreed Motion to Dismiss prior to disposition on the merits finds that the Motion should be GRANTED. It is therefore,

ORDERED, ADJUDGED and DECREED by the Court that the above-styled and numbered cause including any and all cross claims, counter claims and/or third party claims be, and are hereby dismissed with prejudice to the refiling of same in any form by any of the parties.

It is further ORDERED, ADJUDGED and DECREED that court costs be paid by the parties hereby incurring same.

SIGNED this 1 day of March, 2004.

  
JUDGE PRESIDING