# SELECT COMMITTEE ON INTELLIGENCE

**UNITED STATES SENATE** 



QUESTIONNAIRE FOR COMPLETION BY PRESIDENTIAL NOMINEES

### SELECT COMMITTEE ON INTELLIGENCE UNITED STATES SENATE

## OUESTIONNAIRE FOR COMPLETION BY PRESIDENTIAL NOMINEES

#### PART A - BIOGRAPHICAL INFORMATION

 FULL NAME: Paul M. Nakasone OTHER NAMES USED: N/A

 DATE AND PLACE OF BIRTH:
 19 November 1963, Saint Paul, MN CITIZENSHIP: US

3. MARITAL STATUS: Married

4. SPOUSE'S NAME: Susan S. Nakasone

5. SPOUSE'S MAIDEN NAME IF APPLICABLE: Sternberg

6. NAMES AND AGES OF CHILDREN:

NAME AGE

#### INFORMATION REDACTED

#### 7. EDUCATION SINCE HIGH SCHOOL:

INSTITUTION	DATES ATTENDED	DEGREE RECEIVED	DATE OF DEGREE
Saint John's University	Sep '82-Jun '86	Bachelor Of Arts	May '86
Univ Of Southern Calif	May '87-May '89	Master Of Science In Systems Management	May '89
Defense Intelligence College	Aug '90-Jun '91	Master Of Science In Strategic Intelligence	Jun '92
Army War College	Aug '06-Jun '07	Master Of Strategic Studies	Jun '07

## 8. EMPLOYMENT RECORD (LIST ALL POSITIONS HELD SINCE COLLEGE, INCLUDING MILITARY SERVICE. INDICATE NAME OF EMPLOYER, POSITION, TITLE OR DESCRIPTION, LOCATION, AND DATES OF EMPLOYMENT).

EMPLOYER	POSITION/TITLE	LOCATION	DATES
U.S. Army	Commanding General, United States Army Cyber Command	Fort Belvoir, Virginia	Oct 16 - Present
U.S. Army	Commander, Cyber National Mission Force, United States Cyber Command	Fort Meade, Maryland	May 14 - Oct 16
U.S. Army	Deputy Commanding General (Operations), United States Army Cyber Command	Fort Belvoir, Virginia	Aug 13 - May 14
U.S. Army	Director, Information Dominance Center, later Deputy Chief of Staff, Intelligence, CJ-2, International Security Assistance Force Joint Command, OPERATION ENDURING FREEDOM	Afghanistan	Jun 12 - Jun 13
U.S. Army	Deputy Director for Information and Cyberspace Policy, later Deputy Director for Trans-Regional Policy, J-5, Joint Staff	Washington, DC	Jun 11 - Jun 12
U.S. Army	Executive Assistant to the Commander, United States Cyber Command	Fort Meade, Maryland	Jun 10 - Jun 11
U.S. Army	Commander, Meade Operations Center, National Security Agency/Central Security Service	Fort Meade, Maryland	Jun 07 - Jun 10
U.S. Army	Student, United States Army War College	Carlisle Barracks, Pennsylvania	Aug 06 - Jun 07
U.S. Army	Chief, Intelligence Plans Division, Office of the Deputy Chief of Staff for Strategy, Plans and Assessment, Multi-National Force-Iraq, OPERATION IRAQI FREEDOM	Iraq	Jul 05 - Jun 06
U.S. Army	Assistant Chief of Staff, G-2, 24th Infantry Division (Mechanized)	Fort Riley, Kansas	Jun 04 - May 05
U.S. Army	Commander, 206th Military Intelligence Battalion, 116th Military Intelligence Group	Fort Gordon, Georgia	Jun 02 - Jun 04
U.S. Army	Intelligence Planner, J-2, Joint Staff (Defense Intelligence Agency)	Washington, DC	Jul 99 - May 02
U.S. Army	Plans Officer, later Operations Officer, 501st Military Intelligence Brigade, Eighth United States Army	Republic of Korea	Jul 97 - Jun 99
U.S. Army	Student, United States Army Command and General Staff College	Fort Leavenworth, Kansas	Aug 96 - Jun 97
U.S. Army	Assignments Officer, Military Intelligence Branch, United States Total Army Personnel Command	Alexandria, Virginia	Feb 94 - Jun 96
U.S. Army	Commander, A Company, 102d Military Intelligence Battalion, 2d Infantry Division, Eighth United States Army	Republic of Korea	Jan 93 - Jan 94
U.S. Army	Assistant Operations Officer, 102d Military Intelligence Battalion, 2d Infantry Division, Eighth United States Army	Republic of Korea	Jul 92 - Jan 93
U.S. Army	Plans and Operations Officer, Plans and Targets Branch, C/J-2, Eighth United States Army	Republic of Korea	Oct 91 - Jul 92
U.S. Army	Student, Postgraduate Intelligence Program, Defense Intelligence College	Washington, DC	Aug 90 - Jun 91

EMPLOYER.	POSITION/TITLE	<u>LOCATION</u>	<u>DATES</u>
U.S. Army	Student, Military Intelligence Officer Advanced	Fort	May 90 - Jul 90
_	Course, United States Army Military Intelligence	Huachuca,	
	Center and School	Arizona	
U.S. Army	Platoon Leader, later Executive Officer, A Company, 104th Military Intelligence Battalion, 4th Infantry Division (Mechanized)	Fort Carson, Colorado	Apr 89 - Apr 90
U.S. Army	Intelligence Officer, 1st Battalion, 8th Infantry, 4th Infantry Division (Mechanized)	Fort Carson, Colorado	Dec 87 - Apr 89
U.S. Army	Assistant Intelligence Officer, 3d Brigade, 4th Infantry Division (Mechanized)	Fort Carson, Colorado	Mar 87 - Dec 87

9. GOVERNMENT EXPERIENCE (INDICATE EXPERIENCE IN OR ASSOCIATION WITH FEDERAL, STATE, OR LOCAL GOVERNMENTS, INCLUDING ADVISORY, CONSULTATIVE, HONORARY, OR OTHER PART-TIME SERVICE OR POSITION. DO NOT REPEAT INFORMATION ALREADY PROVIDED IN QUESTION 8).

None

10. INDICATE ANY SPECIALIZED INTELLIGENCE OR NATIONAL SECURITY EXPERTISE YOU HAVE ACQUIRED HAVING SERVED IN THE POSITIONS DESCRIBED IN QUESTIONS 8 AND/OR9.

2011 Service on Joint Chiefs of Staff; Regularly attended National Security Council meetings

2012 Director of Intelligence, IJC, Afghanistan; Intelligence Senior for US and Coalition Nations supporting combat operations in Afghanistan

11. HONORS AND AWARDS (PROVIDE INFORMATION ON SCHOLARSHIPS, FELLOWSHIPS, HONORARY DEGREES, MILITARY DECORATIONS, CIVILIAN SERVICE CITATIONS, OR ANY OTHER SPECIAL RECOGNITION FOR OUTSTANDING PERFORMANCE OR ACHIEVEMENT).

Distinguished Service Medal
Defense Superior Service Medal (with 3 Bronze Oak Leaf Clusters)
Legion of Merit
Bronze Star Medal

Defense Meritorious Service Medal (with 1 Bronze Oak Leaf Cluster)

Meritorious Service Medal (with 4 Bronze Oak Leaf Clusters)

Army Commendation Medal

Joint Service Achievement Medal (with 1 Bronze Oak Leaf Cluster)

Army Achievement Medal (with 4 Bronze Oak Leaf Clusters)

Combat Action Badge

Joint Chiefs of Staff Identification Badge

12. ORGANIZATIONAL AFFILIATIONS (LIST MEMBERSHIPS IN AND OFFICES HELD WITHIN THE LAST TEN YEARS IN ANY PROFESSIONAL, CIVIC, FRATERNAL, BUSINESS, SCHOLARLY, CULTURAL, CHARITABLE, OR OTHER SIMILAR ORGANIZATIONS).

ORGANIZATION	OFFICE HELD	DATES
Japanese American Veterans Association	None	Apr 2011-Present

13. PUBLISHED WRITINGS AND SPEECHES (LIST THE TITLES, PUBLISHERS, BLOGS AND PUBLICATION DATES OF ANY BOOKS, ARTICLES, REPORTS, OR OTHER PUBLISHED MATERIALS YOU HAVE AUTHORED. ALSO LIST ANY PUBLIC SPEECHES OR REMARKS YOU HAVE MADE WITHIN THE LAST TEN YEARS FOR WHICH THERE IS A TEXT, TRANSCRIPT, OR VIDEO). IF ASKED, WILL YOU PROVIDE A COPY OF EACH REQUESTED PUBLICATION, TEXT, TRANSCRIPT, OR VIDEO?

EVENT	LOCATION	DATE
PANELIST: AFCEA Cyber Education Resource &	Augusta, GA	January 17, 2018
Training symposium – https://www.afcea.org/site/certs18-	,	
archive		
KEYNOTE SPEAKER: Association of the United States	Arlington, VA	December 13, 2017
Army, Hot Topics: Cyber -		
https://www.youtube.com/watch?v=hMqszDCJHQc		
KEYNOTE SPEAKER: University of Pittsburgh academic	Pittsburgh, PA	November 15, 2017
outreach	, , , , , , , , , , , , , , , , , , , ,	
PANELIST: ISRC2 Battle Management Conference	Bethesda, MD	November 7, 2017
KEYNOTE SPEAKER: Army Cyber Institute CyCon –	Washington, DC	November 7, 2017
https://www.youtube.com/watch?v=hMqszDCJHQc		7
KEYNOTE SPEAKER - Cyber Georgia	Augusta, GA	October 13, 2017
https://echo360.org/media/c145e6f6-cb8d-4c62-a096-	1 3	, , , , , , , , , , , , , , , , , , , ,
8c5906f9670e/public		
PANELIST: Association of the United States Army,	Washington, DC	October 12, 2017
Contemporary Military Forum, Cyber Workforce		
https://www.dvidshub.net/video/558976/ausa-2017-cmf-9-		
new-kind-force-new-fighting-domain-cyber-talent-		
management		
MEDIA INTERVIEW: Cyber Support to Tactical Units	Washington, DC	October 12, 2017
KEYNOTE SPEAKER: Hawaii Future Focus Conference	Honolulu, HI	October 4, 2017
PANELIST: Institute for the Study of War conference -	Washington, DC	September 25, 2017
PANELIST: Intelligence and National Security Summit -	Washington, DC	September 6, 2017
PANELIST: US Military Academy dinner	New York, NY	September 5, 2017
KEYNOTE SPEAKER: Task Force Echo launch	Ft. Meade, MD	August 15, 2017
ceremony	-	
https://www.dvidshub.net/video/544338/task-force-echo-	ĺ	į
transfer-authority-ceremony		
KEYNOTE SPEAKER: AFCEA TechNet	Augusta, GA	August 8, 2017
https://www.youtube.com/watch?v=_WJmfhuvBaI_		
KEYNOTE SPEAKER: Defense One Tech Summit -	Washington, DC	July 13, 2017
http://www.defenseone.com/feature/defense-one-tech-		
summit-2017-livestream/		
KEYNOTE SPEAKER: National Cyber Summit –	Huntsville, AL	June 6, 2017
KEYNOTE SPEAKER: Civilian Aides to the Secretary of	Ft. Bragg, NC	June 5, 2017
the Army, National Summit		
KEYNOTE SPEAKER: Asian-Pacific Islander Month –	Ft. Belvoir, VA	May 31, 2017
TESTIMONY: Senate Armed Services, Cyber	Washington, DC	May 23, 2017
Subcommittee		
https://www.youtube.com/watch?v=6CR61_0T2-c		

EVENT	LOCATION	DATE
KEYNOTE SPEAKER: Billington International Cyber	Washington, DC	March 30, 2017
Summit	washington, DC	141d1 GH 50, 2017
PUBLICATION: Cyber Defense Review - Article co-	Publication	March 28, 2017
author, "Cyberspace in Multi-Domain Battle"		2,201,
http://cyberdefensereview.army.mil/The-		
Journal/Article-Display/Article/1134630/cyberspace-in-		
multi-domain-battle/		
PANELIST: AFCEA OffSet Symposium	San Francisco, CA	February 15, 2017
MEDIA INTERVIEW: Government Matters TV;	San Francisco, CA	February 14, 2017
https://www.youtube.com/watch?v=OwJhCIHXc		
PANELIST: BENS Dinner Event	San Francisco, CA	February 14, 2017
PANELIST: RSA Public Sector Day	San Francisco, CA	February 13, 2017
KEYNOTE SPEAKER: Groundbreaking Ceremony	Ft. Gordon, GA	November 29, 2016
Army Cyber Headquarters -		
https://www.youtube.com/watch?v=FNzJ4i7ZFsg&t=3s		
INTRODUCTORY SPEAKER: Association of the	Arlington, VA	November 15, 2016
United States Army, Hot Topics: Cyber		
https://www.youtube.com/watch?v=y-		
Y3STh4wWk&t=364s		
PANELIST: AUSA Hot Topics, Army Networks,	Arlington, VA	July 14, 2016
Readiness and the Joint Information Environment		
https://www.youtube.com/watch?v=qnpHXSiI0CA		
PANELIST: Association of the United States Army	Washington, DC	October 14, 2015
Annual Meeting: Army Cyber Today and Tomorrow;		
https://www.youtube.com/watch?v=oDOiBiey4cU		
PANELIST: Center for Strategic and International	Washington, DC	October 13, 2015
Studies, The Role of the U.S. Military in Cyberspace		
https://www.youtube.com/watch?v=qHKE4Remyow	27 77 1 277	
PANELIST: Army Cyber Institute Leadership Discussion, Leading and Winning in a Complex World	New York, NY	September 30, 2015
CLOSING REMARKS; Army Cyber Talks 2014 -	West Point, NY	- I05 0015
https://www.youtube.com/watch?v=uRUgwQKZ60Q	west Point, NY	January 25, 2015
MEDIA INTERVIEW — Reuters;	Washington, DC	May 13, 2014
https://in.reuters.com/video/2014/05/13/army-adding-	washington, DC	Way 13, 2014
cyber-armor-against-inside-t?videoId=312922396		
MODERATOR: International Cyber Collaboration	Omaha, NE	November 16, 2011
Panel at the 2011 Cyber and Space Symposium	Omana, 112	110 YOMOCI 10, 2011
https://www.youtube.com/watch?v=00PAT7eHS8o		
, , , , , , , , , , , , , , , , , , ,		
US Army War College Strategy Research Project, A	Publication	March 2007
Strategy for the End Game in Iraq, available at		
http://www.dtic.mil/get-tr-doc/pdf?AD=ADA468944		

This list reflects my best efforts to identify all published writings and speeches. As a senior officer, I frequently make public remarks, at times unscheduled, and these remarks may not be reflected in my records.

If asked, I will provide a copy of each requested publication, text, transcript, or video.

#### PART B - OUALIFICATIONS

14. QUALIFICATIONS (DESCRIBE WHY YOU BELIEVE YOU ARE QUALIFIED TO SERVE AS THE DIRECTOR OF THE NATIONAL SECURITY AGENCY).

I am a career intelligence officer. For over three decades, I have served in intelligence positions across Joint and Army forces in peace and war. I understand how to produce timely, accurate, and valued intelligence, and what consumers demand of our intelligence products. During all of these tours I have benefitted from the intelligence produced by the National Security Agency.

Besides serving as a senior intelligence officer, I have also led and commanded large organizations, both Joint and Army, at every level of our military. I understand the importance of strategic vision, the criticality of placing people first, and the power of a mission centered on the defense of our Nation.

My service has also included formative assignments with the Joint Staff, Multi-National Forces Iraq, US Forces Afghanistan, and US Cyber Command. During these tours I have served with and under some of the finest civilian and military leaders our nation has produced. These experiences have afforded me insight into leadership at the strategic, operational, and tactical levels, with broadening exposure to the interagency, coalition partners, commercial industry, and academia.

Finally, I have served within the National Security Agency on three separate occasions. On each of these tours, I have admired the talent, the technological and innovative spirit, and the tradecraft of this world-class intelligence organization. If confirmed, I believe my previous intelligence experience, my ability to lead large organizations, and my familiarity with the NSA mission and its people would serve as a firm foundation upon which to serve as its next Director.

#### PART C - POLITICAL AND FOREIGN AFFILIATIONS

15. POLITICAL ACTIVITIES (LIST ANY MEMBERSHIPS OR OFFICES HELD IN OR FINANCIAL CONTRIBUTIONS OR SERVICES RENDERED TO, ANY POLITICAL PARTY, ELECTION COMMITTEE, POLITICAL ACTION COMMITTEE, OR INDIVIDUAL CANDIDATE DURING THE LAST TEN YEARS).

None

16. CANDIDACY FOR PUBLIC OFFICE (FURNISH DETAILS OF ANY CANDIDACY FOR ELECTIVE PUBLIC OFFICE).

None

#### 17. FOREIGN AFFILIATIONS

(NOTE: QUESTIONS 17A AND B ARE NOT LIMITED TO RELATIONSHIPS REQUIRING REGISTRATION UNDER THE FOREIGN AGENTS REGISTRATION ACT. QUESTIONS 17A, B, AND C DO NOT CALL FOR A POSITIVE RESPONSE IF THE REPRESENTATION OR TRANSACTION WAS AUTHORIZED BY THE UNITED STATES GOVERNMENT IN CONNECTION WITH YOUR OR YOUR SPOUSE'S EMPLOYMENT IN GOVERNMENT SERVICE.)

A. HAVE YOU OR YOUR SPOUSE EVER REPRESENTED IN ANY CAPACITY (E.G. EMPLOYEE, ATTORNEY, OR POLITICAL/BUSINESS CONSULTANT), WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No

B. HAVE ANY OF YOUR OR YOUR SPOUSE'S ASSOCIATES REPRESENTED, IN ANY CAPACITY, WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

No

C. DURING THE PAST TEN YEARS, HAVE YOU OR YOUR SPOUSE RECEIVED ANY COMPENSATION FROM, OR BEEN INVOLVED IN ANY FINANCIAL OR BUSINESS TRANSACTIONS WITH, A FOREIGN GOVERNMENT OR ANY ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No

D. HAVE YOU OR YOUR SPOUSE EVER REGISTERED UNDER THE FOREIGN AGENTS REGISTRATION ACT? IF SO, PLEASE PROVIDE DETAILS.

No

18. DESCRIBE ANY LOBBYING ACTIVITY DURING THE PAST TEN YEARS, OTHER THAN IN AN OFFICIAL U.S. GOVERNMENT CAPACITY, IN WHICH YOU OR YOUR SPOUSE HAVE ENGAGED FOR THE PURPOSE OF DIRECTLY OR INDIRECTLY INFLUENCING THE PASSAGE, DEFEAT, OR MODIFICATION OF FEDERAL LEGISLATION, OR FOR THE PURPOSE OF AFFECTING THE ADMINISTRATION AND EXECUTION OF FEDERAL LAW OR PUBLIC POLICY.

None

#### PART D - FINANCIAL DISCLOSURE AND CONFLICT OF INTEREST

19. DESCRIBE ANY EMPLOYMENT, BUSINESS RELATIONSHIP, FINANCIAL TRANSACTION, INVESTMENT, ASSOCIATION, OR ACTIVITY (INCLUDING, BUT NOT LIMITED TO, DEALINGS WITH THE FEDERAL GOVERNMENT ON YOUR OWN BEHALF OR ON BEHALF OF A CLIENT), WHICH COULD CREATE, OR APPEAR TO CREATE, A CONFLICT OF INTEREST IN THE POSITION TO WHICH YOU HAVE BEEN NOMINATED.

None

20. DO YOU INTEND TO SEVER ALL BUSINESS CONNECTIONS WITH YOUR PRESENT EMPLOYERS, FIRMS, BUSINESS ASSOCIATES AND/OR PARTNERSHIPS, OR OTHER ORGANIZATIONS IN THE EVENT THAT YOU ARE CONFIRMED BY THE SENATE? IF NOT, PLEASEEXPLAIN.

Yes

21. DESCRIBE THE FINANCIAL ARRANGEMENTS YOU HAVE MADE OR PLAN TO MAKE, IF YOU ARE CONFIRMED, IN CONNECTION WITH SEVERANCE FROM YOUR CURRENT POSITION. PLEASE INCLUDE SEVERANCE PAY, PENSION RIGHTS, STOCK OPTIONS, DEFERRED INCOME ARRANGEMENTS, AND ANY AND ALL COMPENSATION THAT WILL OR MIGHT BE RECEIVED IN THE FUTURE AS A RESULT OF YOUR CURRENT BUSINESS OR PROFESSIONAL RELATIONSHIPS.

None

22. DO YOU HAVE ANY PLANS, COMMITMENTS, OR AGREEMENTS TO PURSUE OUTSIDE EMPLOYMENT, WITH OR WITHOUT COMPENSATION, DURING YOUR SERVICE WITH THE GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No.

23. AS FAR AS CAN BE FORESEEN, STATE YOUR PLANS AFTER COMPLETING GOVERNMENT SERVICE. PLEASE SPECIFICALLY DESCRIBE ANY AGREEMENTS OR UNDERSTANDINGS, WRITTEN OR UNWRITTEN, CONCERNING EMPLOYMENT AFTER LEAVING GOVERNMENT SERVICE. IN PARTICULAR, DESCRIBE ANY AGREEMENTS, UNDERSTANDINGS, OR OPTIONS TO RETURN TO YOUR CURRENT POSITION.

Upon completion of my military service, I intend to retire, but have no current plans nor have I entered into any written or unwritten agreements or understandings, concerning employment after leaving government service.

24. IF YOU ARE PRESENTLY IN GOVERNMENT SERVICE, DURING THE PAST FIVE YEARS OF SUCH SERVICE, HAVE YOU RECEIVED FROM A PERSON OUTSIDE OF GOVERNMENT AN OFFER OR EXPRESSION OF INTEREST TO EMPLOY YOUR SERVICES AFTER YOU LEAVE GOVERNMENT SERVICE? IF YES, PLEASE PROVIDE DETAILS.

No

25. IS YOUR SPOUSE EMPLOYED? IF YES AND THE NATURE OF THIS EMPLOYMENT IS RELATED IN ANY WAY TO THE POSITION FOR WHICH YOU ARE SEEKING CONFIRMATION, PLEASE INDICATE YOUR SPOUSE'S EMPLOYER, THE POSITION, AND THE LENGTH OF TIME THE POSITION HAS BEEN HELD. IF YOUR SPOUSE'S EMPLOYMENT IS NOT RELATED TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED, PLEASE SO STATE.

26. LIST BELOW ALL CORPORATIONS, PARTNERSHIPS, FOUNDATIONS, TRUSTS, OR OTHER ENTITIES TOWARD WHICH YOU OR YOUR SPOUSE HAVE FIDUCIARY OBLIGATIONS OR IN WHICH YOU OR YOUR SPOUSE HAVE HELD DIRECTORSHIPS OR OTHER POSITIONS OF TRUST DURING THE PAST FIVE YEARS.

NAME OF ENTITY POSITION

DATES HELD

SELF OR SPOUSE

None

27. LIST ALL GIFTS EXCEEDING \$100 IN VALUE RECEIVED DURING THE PAST FIVE YEARS BY YOU, YOUR SPOUSE, OR YOUR DEPENDENTS. (NOTE: GIFTS RECEIVED FROM RELATIVES AND GIFTS GIVEN TO YOUR SPOUSE OR DEPENDENT NEED NOT BE INCLUDED UNLESS THE GIFT WAS GIVEN WITH YOUR KNOWLEDGE AND ACQUIESCENCE AND YOU HAD REASON TO BELIEVE THE GIFT WAS GIVEN BECAUSE OF YOUR OFFICIAL POSITION.)

None

28. LIST ALL SECURITIES, REAL PROPERTY, PARTNERSHIP INTERESTS, OR OTHER INVESTMENTS OR RECEIVABLES WITH A CURRENT MARKET VALUE (OR, IF MARKET VALUE IS NOT ASCERTAINABLE, ESTIMATED CURRENT FAIR VALUE) IN EXCESS OF \$1,000. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE A OF THE DISCLOSURE FORMS OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CURRENT VALUATIONS ARE USED.)

DESCRIPTION OF PROPERTY

**VALUE** 

METHOD OF VALUATION

See section 6 of attached executive branch personnel public financial disclosure report (OGE Form 278e)

29. LIST ALL LOANS OR OTHER INDEBTEDNESS (INCLUDING ANY CONTINGENT LIABILITIES) IN EXCESS OF \$10,000. EXCLUDE A MORTGAGE ON YOUR PERSONAL RESIDENCE UNLESS IT IS RENTED OUT, AND LOANS SECURED BY AUTOMOBILES, HOUSEHOLD FURNITURE, OR APPLIANCES. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE C OF THE DISCLOSURE FORM OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CONTINGENT LIABILITIES ARE ALSO INCLUDED.)

**NATURE OF OBLIGATION** 

NAME OF OBLIGEE

**AMOUNT** 

See section 8 of attached executive branch personnel public financial disclosure report (OGE Form 278e)

ARE YOU OR YOUR SPOUSE NOW IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION? HAVE YOU OR YOUR SPOUSE BEEN IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION IN THE PAST TEN YEARS? HAVE YOU OR YOUR SPOUSE EVER BEEN REFUSED CREDIT OR HAD A LOAN APPLICATION DENIED? IF THE ANSWER TO ANY OF THESE QUESTIONS IS YES, PLEASE PROVIDE DETAILS.

<b>30.</b>	LIST THE SPECIFIC SOURCES AND AMOUNTS OF ALL INCOME RECEIVED DURING THE
	LAST FIVE YEARS, INCLUDING ALL SALARIES, FEES, DIVIDENDS, INTEREST, GIFTS,
	RENTS, ROYALTIES, PATENTS, HONORARIA, AND OTHER ITEMS EXCEEDING \$200.
	(COPIES OF U.S. INCOME TAX RETURNS FOR THESE YEARS MAY BE SUBSTITUTED
	HERE, BUT THEIR SUBMISSION IS NOT REQUIRED.)

#### INFORMATION REDACTED

31. IF ASKED, WILL YOU PROVIDE THE COMMITTEE WITH COPIES OF YOUR AND YOUR SPOUSE'S FEDERAL INCOME TAX RETURNS FOR THE PAST THREE YEARS?

Yes

32, LIST ALL JURISDICTIONS IN WHICH YOU AND YOUR SPOUSE FILE ANNUAL INCOME TAX RETURNS.

Federal, Virginia

33. HAVE YOUR FEDERAL OR STATE TAX RETURNS BEEN THE SUBJECT OF AN AUDIT, INVESTIGATION, OR INQUIRY AT ANY TIME? IF SO, PLEASE PROVIDE DETAILS, INCLUDING THE RESULT OF ANY SUCH PROCEEDING.

No

34. IF YOU ARE AN ATTORNEY, ACCOUNTANT, OR OTHER PROFESSIONAL, PLEASE LIST ALL CLIENTS AND CUSTOMERS WHOM YOU BILLED MORE THAN \$200 WORTH OF SERVICES DURING THE PAST FIVE YEARS. ALSO, LIST ALL JURISDICTIONS IN WHICH YOU ARE LICENSED TO PRACTICE.

N/A

35. DO YOU INTEND TO PLACE YOUR FINANCIAL HOLDINGS AND THOSE OF YOUR SPOUSE AND DEPENDENT MEMBERS OF YOUR IMMEDIATE HOUSEHOLD IN A BLIND TRUST? IF YES, PLEASE FURNISH DETAILS. IF NO, DESCRIBE OTHER ARRANGEMENTS FOR AVOIDING ANY POTENTIAL CONFLICTS OF INTEREST.

No

36. IF APPLICABLE, LIST THE LAST THREE YEARS OF ANNUAL FINANCIAL DISCLOSURE REPORTS YOU HAVE BEEN REQUIRED TO FILE WITH YOUR AGENCY, DEPARTMENT, OR BRANCH OF GOVERNMENT. IF ASKED, WILL YOU PROVIDE A COPY OF THESE REPORTS?

2015, 2016, 2017

Yes

#### PART E-ETHICAL MATTERS

37. HAVE YOU EVER BEEN THE SUBJECT OF A DISCIPLINARY PROCEEDING OR CITED FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT BY, OR BEEN THE SUBJECT OF A COMPLAINT TO, ANY COURT, ADMINISTRATIVE AGENCY, PROFESSIONAL ASSOCIATION, DISCIPLINARY COMMITTEE, OR OTHER PROFESSIONAL GROUP? IF SO, PLEASE PROVIDE DETAILS.

Νo

38. HAVE YOU EVER BEEN INVESTIGATED, HELD, ARRESTED, OR CHARGED BY ANY FEDERAL, STATE, OR OTHER LAW ENFORCEMENT AUTHORITY FOR VIOLATION OF ANY FEDERAL STATE, COUNTY, OR MUNICIPAL LAW, REGULATION, OR ORDINANCE, OTHER THAN A MINOR TRAFFIC OFFENSE, OR NAMED AS A DEFENDANT OR OTHERWISE IN ANY INDICTMENT OR INFORMATION RELATING TO SUCH VIOLATION? IF SO, PLEASE PROVIDE DETAILS.

No

39. HAVE YOU EVER BEEN CONVICTED OF OR ENTERED A PLEA OF GUILTY OR NOLO CONTENDERE TO ANY CRIMINAL VIOLATION OTHER THAN A MINOR TRAFFIC OFFENSE? IF SO, PLEASE PROVIDE DETAILS.

No

40. ARE YOU PRESENTLY OR HAVE YOU EVER BEEN A PARTY IN INTEREST IN ANY ADMINISTRATIVE AGENCY PROCEEDING OR CIVIL LITIGATION? IF SO, PLEASE PROVIDE DETAILS.

No

41. HAVE YOU BEEN INTERVIEWED OR ASKED TO SUPPLY ANY INFORMATION AS A WITNESS OR OTHERWISE IN CONNECTION WITH ANY CONGRESSIONAL INVESTIGATION, FEDERAL, OR STATE AGENCY PROCEEDING, GRAND JURY INVESTIGATION, OR CRIMINAL OR CIVIL LITIGATION IN THE PAST TEN YEARS? IF SO, PLEASE PROVIDE DETAILS.

42. HAS ANY BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, DIRECTOR, OR PARTNER BEEN A PARTY TO ANY ADMINISTRATIVE AGENCY PROCEEDING OR CRIMINAL OR CIVIL LITIGATION RELEVANT TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED? IF SO, PLEASE PROVIDE DETAILS. (WITH RESPECT TO A BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, YOU NEED ONLY CONSIDER PROCEEDINGS AND LITIGATION THAT OCCURRED WHILE YOU WERE AN OFFICER OF THAT BUSINESS.)

N/A

43. HAVE YOU EVER BEEN THE SUBJECT OF ANY INSPECTOR GENERAL INVESTIGATION? IF SO, PLEASE PROVIDE DETAILS.

No

#### PART F - SECURITY INFORMATION

44. HAVE YOU EVER BEEN DENIED ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION FOR ANY REASON? IF YES, PLEASE EXPLAIN INDETAIL,

No

- 45. HAVE YOU BEEN REQUIRED TO TAKE A POLYGRAPH EXAMINATION FOR ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION? IF YES, PLEASEEXPLAIN.
  - 2002—Assignment To Battalion Command, Fort Gordon, GA
  - 2010-Assignment To Brigade Command, Fort Meade, MD
  - 2016—Assignment To Army Cyber Command, Fort Belvoir, VA
- 46. HAVE YOU EVER REFUSED TO SUBMIT TO A POLYGRAPH EXAMINATION? IF YES, PLEASE EXPLAIN.

#### PART G - ADDITIONAL INFORMATION

47. DESCRIBE IN YOUR OWN WORDS THE CONCEPT OF CONGRESSIONAL OVERSIGHT OF U.S. INTELLIGENCE ACTIVITIES. IN PARTICULAR, CHARACTERIZE WHAT YOU BELIEVE TO BE THE OBLIGATIONS OF THE DIRECTOR OF THE NATIONAL SECURITY AGENCY AND THE INTELLIGENCE COMMITTEES OF THE CONGRESS, RESPECTIVELY, IN THE OVERSIGHT PROCESS

Congressional oversight refers to the responsibility of the legislative branch, rooted in the US Constitution, to monitor the activities of the executive branch. Oversight of the US Intelligence Community began in the late 1970s following the Church and Pike Commissions and the discovery of abuses of power and violations of law by several intelligence agencies. The role of the Director of the National Security Agency is first to ensure that the Agency's activities are consistent with the law and with applicable policies and procedures, and to hold individuals accountable as necessary. He is also responsible for informing Congress on Agency activities and, when necessary, must make himself available to answer questions and provide testimony at the request of Congress. Overall, the Director of the National Security Agency sets the tone for effective oversight throughout the entire enterprise by his words and his deeds. Congressional oversight involves supervision of the Intelligence Community and checking the potential for abuse of power. The intelligence committees exercise their oversight through legislative hearings, specialized investigations, nomination confirmation hearings, directed reviews and studies by congressional support agencies and staffs, and the power of the purse.

48. EXPLAIN YOUR UNDERSTANDING OF THE RESPONSIBILITIES OF THE DIRECTOR OF THE NATIONAL SECURITY AGENCY.

Under the authority, direction, and control of the Under Secretary of Defense for Intelligence (USD(I)), the Director of the National Security Agency is primarily responsible for ensuring the NSA successfully conducts two principal missions: signals intelligence (SIGINT) collection and information assurance protection. The collection of SIGINT, under Executive Order 12333, provides intelligence on America's adversaries. Through information assurance, conducted primarily under National Security Directive 42, the NSA protects America's vital national security information and systems from theft or damage by others.

The Director of the NSA also is the Chief of the Central Security Service, which includes the elements of the armed forces - Army, Navy, Air Force, Marine Corps, and Coast Guard - that perform cryptologic activities, including codemaking and codebreaking, along with the NSA.

The Director of the NSA also is dual-hatted as the Commander of US Cyber Command, currently a subunified command under US Strategic Command, responsible for planning, coordinating, integrating, synchronizing and conducting cyberspace operations.

### **AFFIRMATION**

I, LIEUTENANT GENERAL PAUL M. NAKASONE, DO SWEAR THAT THE ANSWERS I HAVE PROVIDED TO THIS QUESTIONNAIRE ARE ACCURATE AND COMPLETE.

LTG NAKASONE SIGNATURE

**NOTARY SIGNATURE** 

(Notary)

IAN G. CORCY, COR, US ARMY

## TO THE CHAIRMAN, SELECT COMMITTEE ON INTELLIGENCE:

In connection with my nomination to be the Director of the National Security Agency, I hereby express my willingness to respond to requests to appear and testify before any duly constituted committee of the Senate.

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