

SELECT COMMITTEE ON INTELLIGENCE

UNITED STATES SENATE



QUESTIONNAIRE FOR COMPLETION BY
PRESIDENTIAL NOMINEES

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PRESIDENTIAL NOMINEES**

PART A - BIOGRAPHICAL INFORMATION

1. FULL NAME: Kate Elizabeth Heinzelman
OTHER NAMES USED: Kate Cooper
2. DATE AND PLACE OF BIRTH: October 28, 1981; New York City.
CITIZENSHIP: United States
3. MARITAL STATUS: Married
4. SPOUSE'S NAME: Jonathan G. Cooper
5. SPOUSE'S MAIDEN NAME IF APPLICABLE: N/A
6. NAMES AND AGES OF CHILDREN:

INFORMATION REDACTED

7. EDUCATION SINCE HIGH SCHOOL:

<u>INSTITUTION</u>	<u>DATES ATTENDED</u>	<u>DEGREE RECEIVED</u>	<u>DATE OF DEGREE</u>
Yale University	2000-2004	B.A.	2004
Yale Law School	2006-2009	J.D.	2009

8. EMPLOYMENT RECORD (LIST ALL POSITIONS HELD SINCE COLLEGE, INCLUDING MILITARY SERVICE. INDICATE NAME OF EMPLOYER, POSITION, TITLE OR DESCRIPTION, LOCATION, AND DATES OF EMPLOYMENT).

<u>EMPLOYER</u>	<u>POSITION/TITLE</u>	<u>LOCATION</u>	<u>DATES</u>
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U.S. Department of Justice; Chief Counselor, Office of the Attorney General; Washington, DC; Jan. 2021 – Present

PT Fund, Inc. (Presidential Transition); Vetting Director, Office of General Counsel; Washington, DC; Aug. 2020 – Jan. 2021

Sidley Austin LLP; Counsel then Partner; Washington, DC; Sept. 2017 – July 2020

U.S. Department of Health & Human Services; Deputy General Counsel; Washington, DC; Dec. 2015 – Jan. 2017

White House Counsel's Office; Associate Counsel then Special Assistant to the President and Associate Counsel to the President; Washington, DC; May 2013 – Dec. 2015

U.S. Department of Justice; Counsel to the Assistant Attorney General for National Security; Washington, DC; Jan. 2012 – May 2013

U.S. Supreme Court; Law Clerk to Chief Justice John G. Roberts, Jr.; Washington, DC; July 2010 – July 2011

U.S. Court of Appeals for the D.C. Circuit; Law Clerk to Judge Merrick B. Garland; Washington, DC; Aug. 2009 – July 2010

Wachtell, Lipton, Rosen & Katz; Summer Associate; New York, NY; June – July 2008

Yale Law School; Research Assistant to Professors Kate Stith and Peter Schuck (part-time); New Haven, CT; 2007 – 2008 (approx.)

Supreme Court Senior Advocate, Ashok Desai; Legal Intern; Delhi, India; Aug. 2007

U.S. Attorney's Office for the Southern District of New York; Legal Intern; New York, NY; May – July 2007 (approx.)

Office of the Director of National Intelligence; Special Assistant to the Chief of Staff; Washington, DC; June 2005 – June 2006

Commission on the Intelligence Capabilities of the United States Regarding Weapons of Mass Destruction; Special Assistant to the General Counsel; Arlington, VA; Aug. 2004 – June 2005

9. GOVERNMENT EXPERIENCE (INDICATE EXPERIENCE IN OR ASSOCIATION WITH FEDERAL, STATE, OR LOCAL GOVERNMENTS, INCLUDING ADVISORY, CONSULTATIVE, HONORARY, OR OTHER PART-TIME SERVICE OR POSITION. DO NOT REPEAT INFORMATION ALREADY PROVIDED IN QUESTION 8).

Please see response to question 8.

10. INDICATE ANY SPECIALIZED INTELLIGENCE OR NATIONAL SECURITY EXPERTISE YOU HAVE ACQUIRED HAVING SERVED IN THE POSITIONS DESCRIBED IN QUESTIONS 8 AND/OR 9.

Throughout my government service, I have had the opportunity to work on national security matters. I have served in both legal and non-legal national security roles and worked with members of the U.S. Intelligence Community on a broad variety of national security legal and policy issues.

My introduction to the Intelligence Community was during the summer of 2003, when I served as an intern at the Central Intelligence Agency as an analyst.

From 2004 to 2005, I worked at the President's Commission on the Intelligence Capabilities of the United States Regarding Weapons of Mass Destruction. In that role, I assisted in the Commission's investigation and drafting the Commission's final report about the Intelligence Community's capabilities and efforts to respond to the development and transfer of knowledge, expertise, technologies, materials, and resources associated with the proliferation of weapons of mass destruction, related means of delivery, and other related threats of the 21st century and their employment by foreign powers.

Following the Commission, I joined the Office of the Director of National Intelligence (ODNI) to help establish the office during its first year in operation under Ambassador John D. Negroponte, the first DNI.

After serving twice as a federal court law clerk, I joined the Department of Justice to serve as Counsel to the Assistant Attorney General for National Security. In that role I provided legal advice to the Department and interagency partners on national security matters affecting the law enforcement and intelligence communities.

At the White House Counsel's Office, where I served from 2013 to 2015, my portfolio involved working closely with the National Security Council staff on legal policy issues, including matters of national security and intelligence policy.

Finally, in my position in the Office of the Attorney General, I have served as an adviser on a number of national security matters—work that often involves other interagency partners.

11. HONORS AND AWARDS (PROVIDE INFORMATION ON SCHOLARSHIPS, FELLOWSHIPS, HONORARY DEGREES, MILITARY DECORATIONS, CIVILIAN SERVICE CITATIONS, OR ANY OTHER SPECIAL RECOGNITION FOR OUTSTANDING PERFORMANCE OR ACHIEVEMENT).

Since high school, I have received the following honors, awards, scholarships, and fellowships:

Yale College:

Summa cum laude, 2004

Phi Beta Kappa, 2003

Wrexham Prize, 2004

Wright Memorial Prize, 2004

Riggs Prize, 2001

Leitner Summer Award in International Political Economy, 2003

Ethics, Politics and Economics fellowship for senior paper research, 2003

Yale Law School:

Thomas Swan Barristers' Union Semifinalist, 2009

Post-clerkship:

Temple Bar Scholarship, 2011

Department of Justice:

Assistant Attorney General Award for Outstanding New Initiative, 2013

Department of Health and Human Services:

Office of the National Coordinator for Health Information Technology Honorary Award, 2016

Sidley Austin:

Vincent F. Prada Pro Bono Award, 2017 and 2018

12. ORGANIZATIONAL AFFILIATIONS (LIST MEMBERSHIPS IN AND OFFICES HELD WITHIN THE LAST TEN YEARS IN ANY PROFESSIONAL, CIVIC, FRATERNAL, BUSINESS, SCHOLARLY, CULTURAL, CHARITABLE, OR OTHER SIMILAR ORGANIZATIONS).

<u>ORGANIZATION</u>	<u>OFFICE HELD</u>	<u>DATES</u>
Adas Israel Congregation	Member	2010 – present
American Bar Association (ABA)	Member	2017 – 2020 ¹
District of Columbia Bar	Member	2016 – present
Friends of the National Zoo	Member	c. 2017 – present
Liaison Neighbors Committee ²	Member	2016 – present
National Aquarium	Member	c. 2020 – 2021

¹ As part of my ABA membership, I also temporarily served on an ABA Antitrust Section task force on artificial intelligence and machine learning.

² This is a committee created by a neighborhood cooperation agreement between the Phillips Collection museum, Advisory Neighborhood Commission 2B, and the neighbors of the Phillips Collection.

New York Bar
Phillips Collection

Member
Member

2009 – present
2010 – present

13. PUBLISHED WRITINGS AND SPEECHES (LIST THE TITLES, PUBLISHERS, BLOGS AND PUBLICATION DATES OF ANY BOOKS, ARTICLES, REPORTS, OR OTHER PUBLISHED MATERIALS YOU HAVE AUTHORED. ALSO LIST ANY PUBLIC SPEECHES OR REMARKS YOU HAVE MADE WITHIN THE LAST TEN YEARS FOR WHICH THERE IS A TEXT, TRANSCRIPT, OR VIDEO). IF ASKED, WILL YOU PROVIDE A COPY OF EACH REQUESTED PUBLICATION, TEXT, TRANSCRIPT, OR VIDEO?

I have sought to identify each of the published writings I have personally authored and public remarks I have given within the last ten years. I have not identified all writings for school newspapers that I authored prior to 2005. I would be happy to provide to the Committee copies of any of the publications or speeches that I can locate. For remarks, there are several instances in which I don't have a text, transcript, or video.

Sidley Austin "Data Matters" Blog

SAMHSA Releases Final 42 CFR Part 2 Revised Rule (July 27, 2020) (with Megan Svedman), available at <https://datamatters.sidley.com/samhsa-releases-final-42-cfr-part-2-revised-rule#page=1>.

The Return of the Mac: CCPA 2.0 Qualifies for California's November 2020 Ballot and Could Usher in Sweeping Changes for CCPA (June 26, 2020) (with Colleen Theresa Brown, Alan Charles Raul, Sheri Porath Rockwell, Christopher D. Joyce), available at <https://datamatters.sidley.com/the-return-of-the-mac-ccpa-2-0-qualifies-for-californias-november-2020-ballot-and-could-usher-in-sweeping-changes-to-ccpa>.

CCPA Enforcement Date Rapidly Approaching: California Attorney General Proposes Regulations for Final Review With July 1, 2020 Less Than One Month Away (June 4, 2020) (with Colleen Theresa Brown, Christopher Fonzone, Alan Charles Raul, Sheri Porath Rockwell, Michael R. Roberts), available at <https://datamatters.sidley.com/ccpa-enforcement-date-rapidly-approaching-california-attorney-general-proposes-regulations-for-final-review-with-july-1-2020-less-than-one-month-away#page=1>.

COVID-19 - Privacy and Cybersecurity: An Action Plan for Business (May 11, 2020) (with Colleen Theresa Brown, Christopher Fonzone, William RM Long, Alan Charles Raul), available at <https://datamatters.sidley.com/covid-19-privacy-and-cybersecurity-an-action-plan-for-business#page=1>.

In Midst of COVID-19 Pandemic, Senators Propose Privacy Bill Aimed At Businesses' Use of Consumer Data (May 7, 2020) (with Colleen Theresa Brown, Christopher Fonzone, Michael R. Roberts), available at <https://datamatters.sidley.com/in-midst-of-covid-19-pandemic-senators-propose-privacy-bill-aimed-at-businesses-use-of-consumer-data#page=1>.

HHS Announces Exercise of Enforcement Discretion for Entities Engaged in COVID-19 Relief Efforts (May 5, 2020) (with Megan Svedman), available at <https://datamatters.sidley.com/hhs-announces-exercise-of-enforcement-discretion-for-entities-engaged-in-covid-19-relief-efforts#page=1>.

Returning to Work: Employer COVID-19 Testing (May 4, 2020) (with Jaclyn G. Fonteyne, Abigail Hudson, Coleen Klasmeier, Wendy M. Lazerson), available at <https://www.sidley.com/en/insights/newsupdates/2020/05/returning-to-work-employer-covid-19-testing>.

CCPA Marches On: California Attorney General Proposes Further Revisions to CCPA Regulations, Industry Pleads for Enforcement Delay Amid COVID-19 Crisis (April 10, 2020) (with Colleen Theresa Brown, Christopher Fonzone, Alan Charles Raul, Michael R. Roberts, Sheri Porath Rockwell), available at <https://datamatters.sidley.com/ccpa-marches-on-california-attorney-general-proposes-further-revisions-to-ccpa-regulations-industry-pleads-for-enforcement-delay-amid-covid-19-crisis#page=1>.

HHS Issues Limited Waiver of Certain HIPAA Privacy Rule Obligations and Exercises Enforcement Discretion with Respect to Telehealth Services In Light of COVID Public Health Emergency (March 18, 2020), available at <https://datamatters.sidley.com/hhs-issues-limited-waiver-of-certain-hipaa-privacy-rule-obligations->

and-exercises-enforcement-discretion-with-respect-to-telehealth-services-in-light-of-covid-public-health-emergency#page=1.

HHS Secretary Azar Announces Certain Protections From Liability For Covered Medical Countermeasures to Combat COVID-19 (March 16, 2020) (with Erika Maley and Rebecca Wood), available at <https://www.sidley.com/en/insights/newsupdates/2020/03/hhs-secretary-azar-announces-certain-protections-from-liability-for-covered>.

Coronavirus Risks - U.S. and European Employment and Privacy Law Issues (March 5, 2020) (with Colleen Theresa Brown, Susan Fanning, Christopher Fonzone, Wendy M. Lazerson, John G. Levi, William RM Long, Alan Charles Raul, Katherine A. Roberts), available at <https://datamatters.sidley.com/coronavirus-risks-u-s-and-european-employment-and-privacy-law-issues#page=1>.

Coronavirus Risks - U.S. and European Employment and Privacy Law Issues (March 4, 2020) (with Susan Fanning, Wendy Lazerson, John G. Levi, Katherine A. Roberts, Colleen Theresa Brown, William RM Long), available at <https://www.sidley.com/en/insights/newsupdates/2020/03/coronavirus-risks-us-and-european-employment-and-privacy-law-issues>.

A February 2020 Surprise: California Attorney General Proposes Significant Revisions to CCPA Regulations (February 12, 2020) (with Colleen Theresa Brown, Christopher Fonzone, Alan Charles Raul, Michael R. Roberts, Sheri Porath Rockwell), available at <https://datamatters.sidley.com/a-february-2020-surprise-california-attorney-general-proposes-significant-revisions-to-ccpa-regulations#page=1>.

Attorney General Issues Draft California Consumer Privacy Act Regulations, Prompting New Compliance Needs Before Effective Date (October 29, 2019) (with Colleen Theresa Brown, Christopher Fonzone, Clayton G. Northouse, Alan Charles Raul, Sheri Porath Rockwell), available at <https://www.sidley.com/en/insights/newsupdates/2019/10/attorney-general-issues-draft-california-consumer-privacy-act-regulations-prompting-new-compliance>.

Part 2 Proposed Rule Seeks to Reduce Barriers to Coordination of Care for Substance Use Disorders (October 2, 2019) (with Britney Garr), available at <https://datamatters.sidley.com/part-2-proposed-rule-seeks-to-reduce-barriers-to-coordination-of-care-for-substance-use-disorders#page=1>.

OCR Reduces HIPAA Penalties and Clarifies Liability for Transferring ePHI to Third-Party Health Apps (April 30, 2019) (with Meenakshi Datta, Rina Mady, Britney Alexandria Garr), available at <https://datamatters.sidley.com/ocr-reduces-hipaa-penalties-and-clarifies-liability-for-transferring-ephi-to-third-party-health-apps#page=1>.

Health Sector Council Released Cybersecurity Recommendations for Medical Devices and Health IT (February 14, 2019) (with Nancy Stade), available at <https://datamatters.sidley.com/health-sector-council-released-cybersecurity-recommendations-for-medical-devices-and-health-it#page=1>.

HHS Releases Cybersecurity Guidance for Healthcare Organizations (January 8, 2019) (with Meenakshi Datta and Rina Mady), available at <https://datamatters.sidley.com/hhs-releases-cybersecurity-guidance-for-healthcare-organizations#page=1>.

HHS Requests Feedback on HIPAA Changes Designed to Improve Care Coordination (December 14, 2018) (with Meenakshi Datta and Corbin Santo), available at <https://datamatters.sidley.com/hhs-requests-feedback-on-hipaa-changes-designed-to-improve-care-coordination#page=1>.

Proposed Changes to Federal Health Privacy Regulations Now at OMB for Review (November 19, 2018) (with Meenakshi Datta and Britney Garr), available at <https://datamatters.sidley.com/proposed-changes-to-federal-health-privacy-regulations-now-at-omb-for-review#page=1>.

Clean-Up Bill Advances to Amend the New California Consumer Privacy Act (September 5, 2018) (with Alan Charles Raul, Colleen Theresa Brown, Christopher Fonzone, Sheri Porath Rockwell), available at <https://datamatters.sidley.com/clean-up-bill-advances-to-amend-the-new-california-consumer-privacy-act>.

HHS Secretary Azar Signals Future Changes to Federal Health Privacy Regulations (August 6, 2018) (with Meenakshi Datta and Corbin Santo), available at <https://www.sidley.com/en/insights/newsupdates/2018/08/hhs-secretary-azar-signals-future-changes-to-federal-health-privacy-regulations>.

Movement on Section 702 of the Foreign Intelligence Surveillance Act (FISA) (January 22, 2018) (with Christopher Fonzone, Cameron F. Kerry, Alan Charles Raul), available at <https://datamatters.sidley.com/movement-section-702-foreign-intelligence-surveillance-act-fisa#page=1>.

Sidley Updates

U.S. Department of Transportation Issues Third Round of Guidance on Automated Vehicles (November 5, 2018) (with Colleen Theresa Brown, Christopher Fonzone, Michael Mallow, Edward R. McNicholas, Matthew J. Warren, Elizabeth Macgill, Rachel Straus), available at <https://www.sidley.com/en/insights/newsupdates/2018/11/us-department-of-transportation-issues-third-round-of-guidance-on-automated-vehicles>.

Federal Judge Invalidates U.S. Health and Human Services' Approval of Changes to Kentucky Medicaid Program (July 5, 2018) (with Meenakshi Datta and Meredith Toole Reiter), available at <https://www.sidley.com/en/insights/newsupdates/2018/07/federal-judge-invalidates-us-health-and-human-services-approval-of-changes>.

(Sidley Austin would occasionally send client updates. These are the ones that I have been able to identify, but there may have been others that I co-authored.)

Other Publications

COVID-19: Employment Law Update and Guidance for Employers, BenefitsPRO (April 16, 2020) (with Marketa Lindt and Kate Roberts), available at <https://web.archive.org/web/20210302234629/https://www.benefitspro.com/2020/04/16/covid-19-employment-law-update-and-guidance-for-employers/>.

American Bar Association Section of Antitrust, Artificial Intelligence & Machine Learning: Emerging Legal and Self-Regulatory Considerations, Part I (September 30, 2019) (authored with contributions from task force members, of which I was one), available at https://www.americanbar.org/content/dam/aba/administrative/antitrust_law/comments/october-2019/clean-antitrust-ai-report-pt1-093019.pdf.

Carpenter and Everything After: The Supreme Court Nudges the Fourth Amendment into the Information Age, American Bar Association Infrastructure and Regulated Industries Journal (Summer 2019) (with Christopher Fonzone and Michael R. Roberts), available at <https://heinonline.org/HOL/LandingPage?handle=hein.journals/infrastr58&div=22&id=&page=>.

Carpenter v. United States: A Revolution in Fourth Amendment Jurisprudence?, Pratt's Privacy & Cybersecurity Law Report (November/December 2018) (with Christopher Fonzone and Michael R. Roberts), available at https://lawsdocbox.com/Legal_Issues/124903812-Privacy-cybersecurity-law.html.

After LabMD, Questions Remain for the Healthcare Sector, Digital Health Legal (August 15, 2018) (with Christopher Fonzone).

Life Sciences and the AI Revolution, Chambers and Partners (June 2018) (with Christopher Fonzone).

What Congress's First Steps Into AI Legislation Portend, Bloomberg Law (May 8, 2018) (with Christopher Fonzone), available at <https://news.bloomberglaw.com/tnsiness-and-practice/what-congresss-first-steps-into-ailegislation-portend>.

Should the Government Regulate Artificial Intelligence? It Already Is, The Hill (February 26, 2018) (with Christopher Fonzone), available at [http://thehill.com/Vopiniur/technology/3756\(Xi-should-the-governnnnt-regulateartificial-intelligence-it-already-is](http://thehill.com/Vopiniur/technology/3756(Xi-should-the-governnnnt-regulateartificial-intelligence-it-already-is).

When and How Cos. Should Address Cyber Legal Compliance, Law360 (October 24, 2017) (with Alan Raul).

2011 Temple Bar Scholar Report, American Inns of Court, available at https://home.innsofcourt.org/AIC/Awards_and_Scholarships/Temple_Bar_Scholarships/Temple_Bar_Scholarship_Reports/2011_Kate_Heinzelman.aspx?WebsiteKey=bdf4f35a-b610-4343-a37e-4aed911e9abc.

Introduction: Essays in Honor of W. Michael Reisman, Yale Journal of International Law (2009) (with Jeffrey Sandberg), available at <https://digitalcommons.law.yale.edu/yjil/vol34/iss2/6>.

Note, Towards Common Interests and Responsibilities: The U.S.-India Civil Nuclear Deal and the International Nonproliferation Regime, Yale Journal of International Law (2008).

Intelligence Reform Crossroads, Editorial, Washington Times (January 18, 2007) (with Elbridge Colby).

Job Search Begins for Worried Seniors, Yale Daily News (September 16, 2002), available at <https://yaledailynews.com/blog/2002/09/16/job-search-begins-for-worried-seniors/>.

Out of the Yale Gates, Yale Daily News (June 30, 2002), available at <https://yaledailynews.com/blog/2002/06/30/out-of-the-yale-gates/>.

Yale's First Classes of Women Look Back, Yale Daily News (February 20, 2002), available at <https://yaledailynews.com/blog/2002/02/20/yales-first-classes-of-women-look-back/>.

Public Speaking / Webinars / Podcasts / Lectures

5th Annual Bay Area Lifesciences Roundtable, *Artificial Intelligence in Regulated Industries: Comparing Experiences in the Life Sciences and Financial Sectors* (June 30, 2020) (co-presenter).

Sidley podcast, *Walking the Line Between Worker Safety and Privacy* (April 2020) (co-presenter), available at <https://www.sidley.com/en/insights/podcasts/2020/podcast-covid19-walking-the-line-between-worker-safety-and-privacy>.

Sidley webinar, *The California Consumer Privacy Act: What Happened and What's to Come* (January 22, 2020) (co-presenter), materials available at <https://datamatters.sidley.com/the-california-consumer-privacy-act-what-happened-and-whats-to-come>.

17th Annual Washington Health Law Summit, *Rulemaking After Allina* (panel presentation), (December 10, 2019) (co-presenter), materials available at <https://www.americanbar.org/content/dam/aba/administrative/healthlaw/WHS2019/16-panel.pdf>.

Sidley Tech Forum Webinar, *The Final Countdown: What You Need to Know About the CCPA and Its Draft Regulations Before January 1* (November 5, 2019) (co-presenter), description available at <https://www.sidley.com/en/insights/events/2019/11/the-final-countdown-what-you-need-to-know-about-the-ccpa-and-its-draft-regulations-before-january-1>.

Sidley webinar, *California, Here We Come: Getting Ready for the California Consumer Privacy Act of 2018* (March 27, 2019) (co-presenter), description available at <https://datamatters.sidley.com/event/california-here-we-come-getting-ready-for-the-california-consumer-privacy-act-of-2018>.

28th National HIPAA Summit, *GPDR and New California Privacy Law Update* (March 5, 2019) (co-presenter), materials available at http://www.ehcca.com/presentations/HIPAA28/heinzelman_gottlieb_clearwater_ms4.pdf.

Sidley webinar, *Getting Ready for the California Consumer Privacy Act* (September 25, 2018) (co-presenter), description available at <https://datamatters.sidley.com/event/getting-ready-for-the-california-consumer-privacy-act-of-2018>.

11th Annual Sidley MCLE Mini Marathon, *Law on The Cutting Edge: Emerging Legal Issues in Cybersecurity and Artificial Intelligence* (June 14, 2018) (co-presenter).

Conference of the Democratic Attorneys General Association, *Healthcare Exchanges* (panel presentation) (September 14, 2017) (co-presenter) (final title for these remarks is unknown).

Yale Ivy Scholars Program, *Balancing National Security & Civil Liberties Post-9/11* (July 2012).

PART B - QUALIFICATIONS

14. QUALIFICATIONS (DESCRIBE WHY YOU BELIEVE YOU ARE QUALIFIED TO SERVE AS THE GENERAL COUNSEL OF THE CENTRAL INTELLIGENCE AGENCY).

My work in the national security community, as a government lawyer in a variety of settings, and as counsel to senior leaders in government and in the private sector has given me the experience, knowledge, and perspective that I would bring to the position of General Counsel of the Central Intelligence Agency.

First, and as described in response to question 10, I have spent years working in the national security community within the U.S. Government. These experiences have shaped my understanding of some of the core legal issues and challenges of advising government clients on national security and intelligence issues.

In addition to this national security experience, my work counseling clients in a variety of federal government settings—from the Department of Justice, to the White House Counsel’s Office, to the Department of Health and Human Services—has given me a broad perspective on federal government practice and experience working on an array of legal issues confronting federal agencies. As Deputy General Counsel at the Department of Health and Human Services I also helped lead a large federal general counsel’s office in a department that confronts a variety of challenging legal issues on a daily basis.

Finally, in both private practice—where I served as a partner at a global law firm—and in government service, I have advised senior leaders on complex and time-sensitive legal issues.

I believe that these experiences would serve me well were I to be confirmed to this position.

PART C - POLITICAL AND FOREIGN AFFILIATIONS

15. POLITICAL ACTIVITIES (LIST ANY MEMBERSHIPS OR OFFICES HELD IN OR FINANCIAL CONTRIBUTIONS OR SERVICES RENDERED TO, ANY POLITICAL PARTY, ELECTION COMMITTEE, POLITICAL ACTION COMMITTEE, OR INDIVIDUAL CANDIDATE DURING THE LAST TEN YEARS).

Biden for President	\$1,500	(3/2020)
Hillary for America	\$800	(7/2016)
Obama Victory Fund 2012	\$350	(11/2012)

Obama for America

\$350

(11/2012)

I also performed volunteer work for the Biden campaign in 2020 and served on the presidential transition in 2020 and 2021.

16. CANDIDACY FOR PUBLIC OFFICE (FURNISH DETAILS OF ANY CANDIDACY FOR ELECTIVE PUBLIC OFFICE).

None.

17. FOREIGN AFFILIATIONS

(NOTE: QUESTIONS 17A AND B ARE NOT LIMITED TO RELATIONSHIPS REQUIRING REGISTRATION UNDER THE FOREIGN AGENTS REGISTRATION ACT. QUESTIONS 17A, B, AND C DO NOT CALL FOR A POSITIVE RESPONSE IF THE REPRESENTATION OR TRANSACTION WAS AUTHORIZED BY THE UNITED STATES GOVERNMENT IN CONNECTION WITH YOUR OR YOUR SPOUSE'S EMPLOYMENT IN GOVERNMENT SERVICE.)

A. HAVE YOU OR YOUR SPOUSE EVER REPRESENTED IN ANY CAPACITY (E.G. EMPLOYEE, ATTORNEY, OR POLITICAL/BUSINESS CONSULTANT), WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

I have not had any such representations to the best of my knowledge. During his time at the law firm Quinn Emanuel Urquhart & Sullivan, my husband has represented DP World, a port and logistics company indirectly owned by the Government of Dubai, in various matters, primarily its dispute with the Republic of Djibouti over the Doraleh Container Terminal in Djibouti.

B. HAVE ANY OF YOUR OR YOUR SPOUSE'S ASSOCIATES REPRESENTED, IN ANY CAPACITY, WITH OR WITHOUT COMPENSATION, A FOREIGN GOVERNMENT OR AN ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE FULLY DESCRIBE SUCH RELATIONSHIP.

From 2017 until 2020, I worked at the law firm Sidley Austin LLP. Sidley Austin is headquartered in Chicago, Illinois and has offices around the world. Attorneys at Sidley Austin have represented foreign governments or entities controlled by foreign governments as part of the firm's practices in a range of areas, including global arbitration, trade, advocacy, litigation, government contracts, and project development and finance. My understanding is that the firm's records do not systematically identify which of its clients are foreign government or foreign government-controlled entities. I have therefore reviewed public information and the firm's recent filings under the Foreign Agents Registration Act (FARA) and have identified the following as examples of current or former clients of Sidley Austin that may be responsive to this question as foreign governments (sometimes represented through particular ministries), foreign government-controlled entities, or other entities listed on the firm's recent FARA reports: Bangladesh; beIN Media Group LLC; Bhutan; Canada; China; Columbia; Costa Rica; Guyana; Hong Kong Trade Development Council; Israel; JSC VTB Bank; Mexico; Peru; Qatar; Senegal; State Bank of Vietnam; Singapore; TENEX, Joint Stock Company; Turkey; United Kingdom; and YPF Sociedad Anónima.

My husband works at the law firm Quinn Emanuel Urquhart & Sullivan. The firm is headquartered in Los Angeles, California and has offices around the world. Attorneys at Quinn Emanuel have represented foreign governments or entities controlled by foreign governments as part of the firm's practices in a range of areas, including international disputes and investment fund litigation. My understanding is that the firm's records do not systematically identify which of its clients are foreign government or foreign government-controlled entities. I have therefore reviewed public information and the firm's recent FARA filings and have identified the following as examples of current or former clients of Quinn Emanuel that may be responsive to this question as foreign governments (sometimes represented through particular ministries) or foreign

government-controlled entities: Abu Dhabi Commercial Bank, Azerbaijan, Colombia, Guatemala, Ministry of Finance of Ukraine, Panama, Peru, and Tunisia.

- C. DURING THE PAST TEN YEARS, HAVE YOU OR YOUR SPOUSE RECEIVED ANY COMPENSATION FROM, OR BEEN INVOLVED IN ANY FINANCIAL OR BUSINESS TRANSACTIONS WITH, A FOREIGN GOVERNMENT OR ANY ENTITY CONTROLLED BY A FOREIGN GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

DP World paid Quinn Emanuel for the work my husband performed on the company's behalf (as discussed in response to Question 17.A). My husband and I have also shared in the income of the firms' partnerships, and that income may have been derived in part from engagements with foreign governments and entities controlled by foreign governments (as discussed in response to Question 17.B).

- D. HAVE YOU OR YOUR SPOUSE EVER REGISTERED UNDER THE FOREIGN AGENTS REGISTRATION ACT? IF SO, PLEASE PROVIDE DETAILS.

No.

18. DESCRIBE ANY LOBBYING ACTIVITY DURING THE PAST TEN YEARS, OTHER THAN IN AN OFFICIAL U.S. GOVERNMENT CAPACITY, IN WHICH YOU OR YOUR SPOUSE HAVE ENGAGED FOR THE PURPOSE OF DIRECTLY OR INDIRECTLY INFLUENCING THE PASSAGE, DEFEAT, OR MODIFICATION OF FEDERAL LEGISLATION, OR FOR THE PURPOSE OF AFFECTING THE ADMINISTRATION AND EXECUTION OF FEDERAL LAW OR PUBLIC POLICY.

At Sidley Austin, a portion of my practice consisted of advising clients on legal matters involving federal law or policy, including in connection with litigation, enforcement, compliance matters, and requests for public comment. I have not been a registered lobbyist.

PART D - FINANCIAL DISCLOSURE AND CONFLICT OF INTEREST

19. DESCRIBE ANY EMPLOYMENT, BUSINESS RELATIONSHIP, FINANCIAL TRANSACTION, INVESTMENT, ASSOCIATION, OR ACTIVITY (INCLUDING, BUT NOT LIMITED TO, DEALINGS WITH THE FEDERAL GOVERNMENT ON YOUR OWN BEHALF OR ON BEHALF OF A CLIENT), WHICH COULD CREATE, OR APPEAR TO CREATE, A CONFLICT OF INTEREST IN THE POSITION TO WHICH YOU HAVE BEEN NOMINATED.

During the nomination process, I consulted with agency ethics officials, including the Alternate Designated Ethics Official, at the Central Intelligence Agency who consulted with the Office of Government Ethics (OGE) to identify any potential conflicts of interest. As a result of this process, potential conflicts with my former employers, former clients, and with my husband's law firm and clients, have been identified in an Ethics Agreement, which has been provided to the Committee.

More broadly, I will follow the advice of ethics officials to address any potential conflicts of interest identified to resolve them in a manner consistent with the conflicts of interest statutes, applicable standards of conduct, the ethics pledge set forth in Executive Order 13989, and the terms of my Ethics Agreement.

20. DO YOU INTEND TO SEVER ALL BUSINESS CONNECTIONS WITH YOUR PRESENT EMPLOYERS, FIRMS, BUSINESS ASSOCIATES AND/OR PARTNERSHIPS, OR OTHER ORGANIZATIONS IN THE EVENT THAT YOU ARE CONFIRMED BY THE SENATE? IF NOT, PLEASE EXPLAIN.

I do not believe that I have business connections that are responsive to this question. If confirmed, I will resign from the U.S. Department of Justice.

21. DESCRIBE THE FINANCIAL ARRANGEMENTS YOU HAVE MADE OR PLAN TO MAKE, IF YOU ARE CONFIRMED, IN CONNECTION WITH SEVERANCE FROM YOUR CURRENT POSITION.

PLEASE INCLUDE SEVERANCE PAY, PENSION RIGHTS, STOCK OPTIONS, DEFERRED INCOME ARRANGEMENTS, AND ANY AND ALL COMPENSATION THAT WILL OR MIGHT BE RECEIVED IN THE FUTURE AS A RESULT OF YOUR CURRENT BUSINESS OR PROFESSIONAL RELATIONSHIPS.

If confirmed, I will resign from the U.S. Department of Justice. Please see Part 3 of the OGE Form 278e for more information on arrangements I retain in connection with Sidley Austin.

22. DO YOU HAVE ANY PLANS, COMMITMENTS, OR AGREEMENTS TO PURSUE OUTSIDE EMPLOYMENT, WITH OR WITHOUT COMPENSATION, DURING YOUR SERVICE WITH THE GOVERNMENT? IF SO, PLEASE PROVIDE DETAILS.

No.

23. AS FAR AS CAN BE FORESEEN, STATE YOUR PLANS AFTER COMPLETING GOVERNMENT SERVICE. PLEASE SPECIFICALLY DESCRIBE ANY AGREEMENTS OR UNDERSTANDINGS, WRITTEN OR UNWRITTEN, CONCERNING EMPLOYMENT AFTER LEAVING GOVERNMENT SERVICE. IN PARTICULAR, DESCRIBE ANY AGREEMENTS, UNDERSTANDINGS, OR OPTIONS TO RETURN TO YOUR CURRENT POSITION.

I do not have plans, agreements, or understandings for future employment following my government service.

24. IF YOU ARE PRESENTLY IN GOVERNMENT SERVICE, DURING THE PAST FIVE YEARS OF SUCH SERVICE, HAVE YOU RECEIVED FROM A PERSON OUTSIDE OF GOVERNMENT AN OFFER OR EXPRESSION OF INTEREST TO EMPLOY YOUR SERVICES AFTER YOU LEAVE GOVERNMENT SERVICE? IF YES, PLEASE PROVIDE DETAILS.

No.

25. IS YOUR SPOUSE EMPLOYED? IF YES AND THE NATURE OF THIS EMPLOYMENT IS RELATED IN ANY WAY TO THE POSITION FOR WHICH YOU ARE SEEKING CONFIRMATION, PLEASE INDICATE YOUR SPOUSE'S EMPLOYER, THE POSITION, AND THE LENGTH OF TIME THE POSITION HAS BEEN HELD. IF YOUR SPOUSE'S EMPLOYMENT IS NOT RELATED TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED, PLEASE SO STATE.

My husband is a partner at Quinn Emanuel Urquhart & Sullivan. He joined the firm as an associate in 2014 after serving at the U.S. Department of Justice. His practice focuses on commercial and government-related litigation. Potential conflicts of interest with my husband's work have been identified in an Ethics Agreement, which has been provided to the Committee.

26. LIST BELOW ALL CORPORATIONS, PARTNERSHIPS, FOUNDATIONS, TRUSTS, OR OTHER ENTITIES TOWARD WHICH YOU OR YOUR SPOUSE HAVE FIDUCIARY OBLIGATIONS OR IN WHICH YOU OR YOUR SPOUSE HAVE HELD DIRECTORSHIPS OR OTHER POSITIONS OF TRUST DURING THE PAST FIVE YEARS.

INFORMATION REDACTED

27. LIST ALL GIFTS EXCEEDING \$100 IN VALUE RECEIVED DURING THE PAST FIVE YEARS BY YOU, YOUR SPOUSE, OR YOUR DEPENDENTS. (NOTE: GIFTS RECEIVED FROM RELATIVES AND GIFTS GIVEN TO YOUR SPOUSE OR DEPENDENT NEED NOT BE INCLUDED UNLESS THE GIFT WAS GIVEN WITH YOUR KNOWLEDGE AND ACQUIESCENCE AND YOU HAD REASON TO BELIEVE THE GIFT WAS GIVEN BECAUSE OF YOUR OFFICIAL POSITION.)

Over the last five years, aside from gifts from relatives, we have received gifts from personal friends and acquaintances in connection with special occasions and events. For instance, our children have received occasional gifts for their birth or birthdays, some of which may have exceeded \$100 in value, and we have had dinner and received hospitality from personal friends and partners at Sidley Austin and Quinn Emanuel. The realtor who helped my husband and me purchase a home recently gave us a gift at the closing of our new house. The gifts I have received from these individuals were either not given during the period in which I was under consideration for the position for which I have been nominated or were not given by individuals who were aware that I was being considered for such a position.

28. LIST ALL SECURITIES, REAL PROPERTY, PARTNERSHIP INTERESTS, OR OTHER INVESTMENTS OR RECEIVABLES WITH A CURRENT MARKET VALUE (OR, IF MARKET VALUE IS NOT ASCERTAINABLE, ESTIMATED CURRENT FAIR VALUE) IN EXCESS OF \$1,000. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE A OF THE DISCLOSURE FORMS OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CURRENT VALUATIONS ARE USED.)

<u>DESCRIPTION OF PROPERTY</u>	<u>VALUE</u>	<u>METHOD OF VALUATION</u>
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Please see Parts 2, 5, and 6 of my OGE Form 278e. In addition, my husband and I own our current residence, and recently purchased another home that will be our residence.

29. LIST ALL LOANS OR OTHER INDEBTEDNESS (INCLUDING ANY CONTINGENT LIABILITIES) IN EXCESS OF \$10,000. EXCLUDE A MORTGAGE ON YOUR PERSONAL RESIDENCE UNLESS IT IS RENTED OUT, AND LOANS SECURED BY AUTOMOBILES, HOUSEHOLD FURNITURE, OR APPLIANCES. (NOTE: THE INFORMATION PROVIDED IN RESPONSE TO SCHEDULE C OF THE DISCLOSURE FORM OF THE OFFICE OF GOVERNMENT ETHICS MAY BE INCORPORATED BY REFERENCE, PROVIDED THAT CONTINGENT LIABILITIES ARE ALSO INCLUDED.)

<u>NATURE OF OBLIGATION</u>	<u>NAME OF OBLIGEE</u>	<u>AMOUNT</u>
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None.

30. ARE YOU OR YOUR SPOUSE NOW IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION? HAVE YOU OR YOUR SPOUSE BEEN IN DEFAULT ON ANY LOAN, DEBT, OR OTHER FINANCIAL OBLIGATION IN THE PAST TEN YEARS? HAVE YOU OR YOUR SPOUSE EVER BEEN REFUSED CREDIT OR HAD A LOAN APPLICATION DENIED? IF THE ANSWER TO ANY OF THESE QUESTIONS IS YES, PLEASE PROVIDE DETAILS.

No.

31. LIST THE SPECIFIC SOURCES AND AMOUNTS OF ALL INCOME RECEIVED BY YOU OR YOUR SPOUSE DURING THE LAST FIVE YEARS, INCLUDING ALL SALARIES, FEES, DIVIDENDS, INTEREST, GIFTS, RENTS, ROYALTIES, PATENTS, HONORARIA, AND OTHER ITEMS EXCEEDING \$200. (COPIES OF U.S. INCOME TAX RETURNS FOR THESE YEARS MAY BE SUBSTITUTED HERE, BUT THEIR SUBMISSION IS NOT REQUIRED.)

INFORMATION REDACTED

INFORMATION REDACTED

32. IF ASKED, WILL YOU PROVIDE THE COMMITTEE WITH COPIES OF YOUR AND YOUR SPOUSE'S FEDERAL INCOME TAX RETURNS FOR THE PAST THREE YEARS?

Yes.

33. LIST ALL JURISDICTIONS IN WHICH YOU AND YOUR SPOUSE FILE ANNUAL INCOME TAX RETURNS.

My spouse and I plan to file joint returns for the 2021 tax year in the following jurisdictions: United States, California, New York, and Washington DC.

I previously joined composite tax returns filed by Sidley Austin, which in 2020 were filed in the following jurisdictions: California, Colorado, Delaware, Georgia, Iowa, Kentucky, Maine, Maryland, Massachusetts, Michigan, Minnesota, Missouri, New Jersey, New York, Ohio, Oklahoma, Oregon, United Kingdom, and Singapore.

My spouse joins composite tax returns filed by his law firm in various jurisdictions, typically including: Colorado, Connecticut, Georgia, Idaho, Illinois, Maryland, Massachusetts, Michigan, Minnesota, Missouri, Montana, New Jersey, North Carolina, Ohio, Oregon, Pennsylvania, France, Germany, Japan, and the United Kingdom.

34. HAVE YOUR FEDERAL OR STATE TAX RETURNS BEEN THE SUBJECT OF AN AUDIT, INVESTIGATION, OR INQUIRY AT ANY TIME? IF SO, PLEASE PROVIDE DETAILS, INCLUDING THE RESULT OF ANY SUCH PROCEEDING.

No.

35. IF YOU ARE AN ATTORNEY, ACCOUNTANT, OR OTHER PROFESSIONAL, PLEASE LIST ALL CLIENTS AND CUSTOMERS WHOM YOU BILLED MORE THAN \$200 WORTH OF SERVICES

DURING THE PAST FIVE YEARS. ALSO, LIST ALL JURISDICTIONS IN WHICH YOU ARE LICENSED TO PRACTICE.

23andMe, Inc.
Abbott Laboratories
AbbVie, Inc.
Advisor Group Inc.
Aetion, Inc.
AGRO Merchants Group
Aledade
Allergan, plc.
Allscripts Healthcare Solutions Inc.
Amazon.com, Inc.
American Board of Prosthodontics
Anixter International, Inc.
Apple, Inc.
Aprea Therapeutics Inc.
AT&T
Athene Holding Ltd.
Avanir Pharmaceuticals
Balyasny Asset Management L.P.
Banc of America Securities LLC
Baxter International Inc.
Boehringer Ingelheim USA Corp.
Cadian Capital Management LLC
Canyon Partners LLC
Cardiovascular Systems Inc.
Caterpillar, Inc.
Celgene Corp.
CIGNA Corp.
CIT Group Inc.
College of American Pathologists
Contego Medical, LLC
Corel Inc.
DaVita, Inc.
Deseret Mutual Benefit Administrators
Doheny Eye Institute
DP Legal (Sidley Austin)
Evercore Partners
Exact Sciences Corp.
Faraday & Future, Inc.
Figure Technologies, Inc.
An affiliate of Fidelity Investments
Fortive Corp.
Foundation Finance Company LLC
FPK Services LLC
Freedom Financial Network, LLC
Fresenius Kabi USA, LLC
FS Brands, Inc.
GBIG Holdings, Inc.
Gilead Sciences, Inc.
Glennview Capital Management, LLC
Great Rock Capital Management, LLC
Group of Federal Insurers
Grove Collaborative, Inc.
Guardian Life Insurance Company of America

Health Care Service Corporation
Health Net Inc.
Helsinn Therapeutics (U.S.), Inc.
Hilltop Securities, Inc.
HireRight, LLC
HMS Holdings Corp.
Humana
Icon plc
Illumina Inc.
Incentrum Group
Intel Corporation
Jackson Laboratory
Jazz Pharmaceuticals
JUUL Labs, Inc.
Kalderos LLC
Kuvare US Holdings, Inc.
Ladenburg Thalmann Financial Services Inc.
Las Vegas Sands Corp.
LifePoint Health, Inc.
Lone Pine Capital LLC
LPL Financial, LLC
Lyell Immunopharma Inc.
Magnetar Capital LLC
Mastercard
MatrixCare
McAfee, LLC
McKesson Corp.
Medela, Inc.
Medical Information Working Group
Metagenics, Inc.
Metropolitan Life Insurance Co.
Michaels Stores, Inc.
Microsoft
MiMedx Group, Inc.
MyHeritage Ltd
National Flood Services LLC
Neiman Marcus Group
Nektar Therapeutics
Nevro Corp.
New York Life Insurance Co.
Northwestern University
Novartis Gene Therapies
Novo Nordisk Inc.
Nurix Therapeutics, Inc.
Omniceil, Inc.
OrbiMed Advisors LLC
Pandora Media, Inc.
Patroness
Pentax of America, Inc.
Pfizer Inc.
PhRMA
Piper Sandler Companies
Principia Biopharma Inc
Provepharm Inc.
Purdue Pharma L.P.
Quartet Health

Quest Diagnostics
Quest Software Inc.
Renaissance Acquisition Holdings, LLC
RLI Corp.
Roche Diagnostics
Roche Molecular Systems Inc.
Ryan Specialty Group, LLC
Salesforce
Scoville Risk Partners
Seattle Genetics, Inc.
Shape Security, Inc.
Simon Markets LLC
Sixth Street Partners, LLC
SquarePoint Capital LLC
Synchrony Financial
The RealReal, Inc.
TIBCO Software, Inc.
TPG Global, LLC
U.S. Chamber Institute for Legal Reform
uBiome
United Airlines Holdings, Inc.
Urban Meyer
Van Drunen Farms
Vector Capital Corporation
Vista Equity Partners Management LLC
Vitalize LLC
Wal-Mart Stores, Inc.
Walgreen Co.,
Wintrust Financial Corporation
WuXi App Tec Co., LTD
Yardi Systems, Inc.

I have not disclosed the names of two U.S.-based clients for whom I did work at Sidley Austin because I have been advised by Sidley that these client relationships are confidential. I performed under one hour of work for each of those clients.

During this period I also worked for the PT Fund, Inc. and the U.S. Department of Justice, as noted above.

I am licensed to practice in New York and Washington, DC.

36. DO YOU INTEND TO PLACE YOUR FINANCIAL HOLDINGS AND THOSE OF YOUR SPOUSE AND DEPENDENT MEMBERS OF YOUR IMMEDIATE HOUSEHOLD IN A BLIND TRUST? IF YES, PLEASE FURNISH DETAILS. IF NO, DESCRIBE OTHER ARRANGEMENTS FOR AVOIDING ANY POTENTIAL CONFLICTS OF INTEREST.

No. I will follow the advice of government ethics officials to address any conflict of interest issues identified.

37. IF APPLICABLE, LIST THE LAST THREE YEARS OF ANNUAL FINANCIAL DISCLOSURE REPORTS YOU HAVE BEEN REQUIRED TO FILE WITH YOUR AGENCY, DEPARTMENT, OR BRANCH OF GOVERNMENT. IF ASKED, WILL YOU PROVIDE A COPY OF THESE REPORTS?

I filed an OGE Form 278e upon joining the U.S. Department of Justice in 2021. I am happy to provide a copy of this report.

PART E - ETHICAL MATTERS

38. HAVE YOU EVER BEEN THE SUBJECT OF A DISCIPLINARY PROCEEDING OR CITED FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT BY, OR BEEN THE SUBJECT OF A COMPLAINT TO, ANY COURT, ADMINISTRATIVE AGENCY, PROFESSIONAL ASSOCIATION, DISCIPLINARY COMMITTEE, OR OTHER PROFESSIONAL GROUP? IF SO, PLEASE PROVIDE DETAILS.

No.

39. HAVE YOU EVER BEEN INVESTIGATED, HELD, ARRESTED, OR CHARGED BY ANY FEDERAL, STATE, OR OTHER LAW ENFORCEMENT AUTHORITY FOR VIOLATION OF ANY FEDERAL STATE, COUNTY, OR MUNICIPAL LAW, REGULATION, OR ORDINANCE, OTHER THAN A MINOR TRAFFIC OFFENSE, OR NAMED AS A DEFENDANT OR OTHERWISE IN ANY INDICTMENT OR INFORMATION RELATING TO SUCH VIOLATION? IF SO, PLEASE PROVIDE DETAILS.

No.

40. HAVE YOU EVER BEEN CONVICTED OF OR ENTERED A PLEA OF GUILTY OR NOLO CONTENDERE TO ANY CRIMINAL VIOLATION OTHER THAN A MINOR TRAFFIC OFFENSE? IF SO, PLEASE PROVIDE DETAILS.

No.

41. ARE YOU PRESENTLY OR HAVE YOU EVER BEEN A PARTY IN INTEREST IN ANY ADMINISTRATIVE AGENCY PROCEEDING OR CIVIL LITIGATION? IF SO, PLEASE PROVIDE DETAILS.

No.

42. HAVE YOU BEEN INTERVIEWED OR ASKED TO SUPPLY ANY INFORMATION AS A WITNESS OR OTHERWISE IN CONNECTION WITH ANY CONGRESSIONAL INVESTIGATION, FEDERAL, OR STATE AGENCY PROCEEDING, GRAND JURY INVESTIGATION, OR CRIMINAL OR CIVIL LITIGATION IN THE PAST TEN YEARS? IF SO, PLEASE PROVIDE DETAILS.

No.

43. HAS ANY BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, DIRECTOR, OR PARTNER BEEN A PARTY TO ANY ADMINISTRATIVE AGENCY PROCEEDING OR CRIMINAL OR CIVIL LITIGATION RELEVANT TO THE POSITION TO WHICH YOU HAVE BEEN NOMINATED? IF SO, PLEASE PROVIDE DETAILS. (WITH RESPECT TO A BUSINESS OF WHICH YOU ARE OR WERE AN OFFICER, YOU NEED ONLY CONSIDER PROCEEDINGS AND LITIGATION THAT OCCURRED WHILE YOU WERE AN OFFICER OF THAT BUSINESS.)

Not that I'm aware of.

44. HAVE YOU EVER BEEN THE SUBJECT OF ANY INSPECTOR GENERAL INVESTIGATION? IF SO, PLEASE PROVIDE DETAILS.

No.

PART F - SECURITY INFORMATION

45. HAVE YOU EVER BEEN DENIED ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION FOR ANY REASON? IF YES, PLEASE EXPLAIN IN DETAIL.

No.

46. HAVE YOU BEEN REQUIRED TO TAKE A POLYGRAPH EXAMINATION FOR ANY SECURITY CLEARANCE OR ACCESS TO CLASSIFIED INFORMATION? IF YES, PLEASE EXPLAIN.

Yes. I took a polygraph as part of the security clearance process for my position at the Central Intelligence Agency in 2003.

47. HAVE YOU EVER REFUSED TO SUBMIT TO A POLYGRAPH EXAMINATION? IF YES, PLEASE EXPLAIN.

No.

PART G - ADDITIONAL INFORMATION

48. DESCRIBE IN YOUR OWN WORDS THE CONCEPT OF CONGRESSIONAL OVERSIGHT OF U.S. INTELLIGENCE ACTIVITIES. IN PARTICULAR, CHARACTERIZE WHAT YOU BELIEVE TO BE THE OBLIGATIONS OF THE GENERAL COUNSEL OF THE CENTRAL INTELLIGENCE AGENCY AND THE INTELLIGENCE COMMITTEES OF THE CONGRESS, RESPECTIVELY, IN THE OVERSIGHT PROCESS.

The congressional intelligence committees have a critical role in ensuring the Central Intelligence Agency's (CIA's) accountability to the American people and in maintaining public trust in the agency, including trust that the agency acts in accordance with applicable law. Congress's role in oversight is all the more crucial in the context of the CIA's work because the agency's activities often cannot be fully disclosed to the American people given their classified nature.

Pursuant to federal statute, the CIA has an obligation to keep the congressional intelligence committees fully and currently informed about CIA intelligence activities and covert actions, including any significant anticipated intelligence activity and any significant intelligence failure, and to provide specified information (including with respect to the legal basis for such activities), to the extent consistent with due regard for the protection from unauthorized disclosure of certain national security information, as provided in 50 U.S.C. §§ 3092-93. Further, consistent with 50 U.S.C. § 3109, the CIA General Counsel is specifically responsible for notifying the intelligence committees of significant legal interpretations of the U.S. Constitution or federal law affecting intelligence activities conducted by the agency.

The CIA's obligations to provide information to the intelligence committees is an important part of ensuring that Congress can execute its vital responsibilities, including with respect to oversight of the agency. The CIA General Counsel plays an important role in ensuring the agency's compliance with applicable law, including interpreting and applying these obligations. If confirmed, I commit to working with agency leadership and personnel to adhere to these legal obligations, to act in accordance with the principles and values that underlie them, and—more generally—to support the agency in maintaining a strong relationship with Congress.

49. EXPLAIN YOUR UNDERSTANDING OF THE RESPONSIBILITIES OF THE GENERAL COUNSEL OF THE CENTRAL INTELLIGENCE AGENCY.

The General Counsel of the CIA serves as the chief legal officer of the agency. In that role, the General Counsel has responsibility for providing accurate and timely legal counsel. The General Counsel provides advice to the Director, senior leadership, and agency personnel on legal matters affecting the agency's operations. The General Counsel assists the Director in carrying out the agency's responsibilities and functions (including with respect to congressional oversight, as discussed above), oversees and manages the Office of General Counsel and its personnel, works to ensure that the agency is appropriately represented in legal matters and proceedings, and interacts with entities within and outside of the Executive Branch on appropriate matters, among other duties.

AFFIRMATION

I, **KATE ELIZABETH HEINZELMAN**, DO SWEAR THAT THE ANSWERS I HAVE PROVIDED TO THIS QUESTIONNAIRE ARE ACCURATE AND COMPLETE.

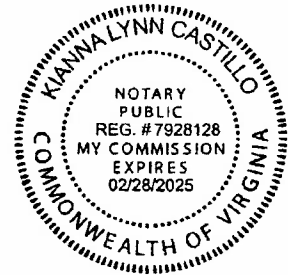
3/18/2022
(Date)

SIGNATURE OF KATE ELIZABETH HEINZELMAN

03/18/2022

SIGNATURE OF NOTARY

County/City of Fairfax
Commonwealth/State of Virginia
The foregoing instrument was acknowledged
before me this 16 day of March,
2022, by
Kate E. Heinzelman
(name of person seeking acknowledgement)
Kianna L. Castillo
Notary Public
My Commission Expires: 02/28/2025



TO THE CHAIRMAN, SELECT COMMITTEE ON INTELLIGENCE:

In connection with my nomination to be the General Counsel of the Central Intelligence Agency, I hereby express my willingness to respond to requests to appear and testify before any duly constituted committee of the Senate.

SIGNATURE OF KATE ELIZABETH HEINZELMAN

Date: 3/18/2022